Daejeon 16 interaction

Youngman Kim (RISP, IBS \rightarrow CENS, IBS)

NTSE 2023, Institute of Modern Physics, Chinese Academy of Sciences, June 5, 2023 (hybrid)

In collaboration with:

- Ik Jae Shin (IRIS, IBS)
- J. Vary, P. Maris (Iowa State U.)
- A. M. Shirokov (Moscow State U.)
- A. I. Mazur (Pacific National U.), I. A. Mazur (CENS, IBS)
- N. A. Smirnova, CENBG (CNRS/IN2P3 U. de Bordeaux) & more

Now RISP, the nest of RAON, has a new name: IRIS (Institute for Rare Isotope Sciences)

• Ab initio NCSM

- Ab initio: nuclei from first principles using fundamental interactions without uncontrolled approximations.
- No core: all nucleons are active, no inert core.
- Shell model: harmonic oscillator basis
- Point nucleons
- Daejeon 16 NN interaction
- Ab initio NCSM with Daejeon 16: a few selected works

Ab initio no-core shell model

• A-nucleon Schrödinger equation

$$\hat{H}\Psi(r_1,\cdots,r_A) = E\Psi(r_1,\cdots,r_A)$$

• Hamiltonian with NN(+NNN) interactions

$$\hat{H} = \frac{1}{A} \sum_{i < j} \frac{(\vec{p_i} - \vec{p_j})^2}{2m} + \sum_{i < j} V_{ij} + \sum_{i < j < k} V_{ijk} + \cdots$$

Wave functions are expanded in basis states

$$\Psi(r_1,\cdots,r_A) = \sum a_i \Phi_i(r_1,\cdots,r_A)$$

basis states Φ_i : Slater determinants of single particle states

• single particle states ϕ

for radial wave functions, harmonic oscillators are used

$$\Rightarrow \Phi_i \sim \phi_1^{(i)} \times \phi_2^{(i)} \times \dots \times \phi_A^{(i)}$$



June, 2012 (for a workshop) March, 2013 (for collaboration), ...

from the talk by J. Vary @ RISP, Mar. 2013

Daejeon 16 NN interaction

Used in many ab initio nuclear studies and more are planned

"N3LO NN interaction adjusted to light nuclei in ab exitu approach," A.M. Shirokov, I.J. Shin, Y. Kim, M. Sosonkina, P. Maris, J.P. Vary, PLB761 (2016) 87

- Unfortunately, the NN interaction at low energies needed for nuclear physics applications cannot be directly derived from QCD at the moment
- Ab initio theory (NCSM in our case) requires, of course, a realistic NN interaction accurately describing NN scattering data and deuteron properties
- NNN requires a significant increase of computational resources, e.g. by a factor of 30 in the case of p-shell nuclei
- Nice to avoid NNN forces? Yes



A.M. Shirokov, I.J. Shin, Y. Kim, M. Sosonkina, P. Maris, J.P. Vary, PLB761 (2016) 87

PET (phase equivalent transformation)

- Assume unitary matrix [U] has only a finite matrix mixing of a few selected basis function. Then H and H' have identical eigenvalues, and also asymptotic behavior of their eigenvector wave functions are same.
- ✓ does not change scattering phase shifts and bound state energies of twobody system
- ✓ but are supposed to modify two-body bound state observables such as the rms radius and electromagnetic moments
- ex) JISP16 [A.M. Shirokov, J.P. Vary, A.I. Mazur and T.A. Weber, Phys. Lett. B 644 (2007) 33]

$$\widetilde{[H]} = [U][H][U^{\dagger}]$$
$$[U] = [U_0] \oplus [I] = \begin{bmatrix} [U_0] & 0 \\ 0 & [I] \end{bmatrix}$$
$$[U_0] = \begin{bmatrix} \cos\beta & +\sin\beta \\ -\sin\beta & \cos\beta \end{bmatrix}$$

| | | | | - | | | |
|-------|-------------|--------------|-------------|---------------|---------------|--------------|-------------|
| Wave | $^{1}s_{0}$ | $^{3}sd_{1}$ | $^{1}p_{1}$ | ${}^{3}p_{0}$ | ${}^{3}p_{1}$ | $^{3}pf_{2}$ | $^{3}d_{2}$ |
| Angle | -2.997 | 4.461 | 5.507 | 1.785 | 4.299 | -2.031 | 7.833 |

PET angles (in degrees) defining the Daejeon16 NN interaction in various NN partial waves.

- binding energies of ³H, ⁴He, ⁶Li, ⁸He, ¹⁰B, ¹²C, ¹⁶O
- excitation energies of ⁶Li [(3⁺,0), (0⁺,1)], ¹⁰B[(1⁺,0)], ¹²C[(2⁺,0)]



| | | Daeje | on16 | JISP16 | | | |
|-------------------|---------|-----------------------------|---------------|---------------|-----------|---------------|---------------|
| Nucleus | Nature | Theory | $\hbar\Omega$ | $N_{\rm max}$ | Theory | $\hbar\Omega$ | $N_{\rm max}$ |
| $^{3}\mathrm{H}$ | 8.482 | $8.442(^{+0.003}_{-0.000})$ | 12.5 | 16 | 8.370(3) | 15 | 20 |
| $^{3}\mathrm{He}$ | 7.718 | $7.744(^{+0.005}_{-0.000})$ | 12.5 | 16 | 7.667(5) | 17.5 | 20 |
| $^{4}\mathrm{He}$ | 28.296 | 28.372(0) | 17.5 | 16 | 28.299(0) | 22.5 | 18 |
| $^{6}\mathrm{He}$ | 29.269 | 29.39(3) | 12.5 | 14 | 28.80(5) | 17.5 | 16 |
| $^{8}\mathrm{He}$ | 31.409 | 31.28(1) | 12.5 | 14 | 29.9(2) | 20 | 14 |
| ⁶ Li | 31.995 | 31.98(2) | 12.5 | 14 | 31.48(3) | 20 | 16 |
| $^{10}\mathrm{B}$ | 64.751 | 64.79(3) | 17.5 | 10 | 63.9(1) | 22.5 | 10 |
| $^{12}\mathrm{C}$ | 92.162 | 92.9(1) | 17.5 | 8 | 94.8(3) | 27.5 | 10 |
| ^{16}O | 127.619 | 131.4(7) | 17.5 | 8 | 145(8) | 35 | 8 |

Binding energies (in MeV) of nuclei obtained with Daejeon16 NN interaction using Extrapolation B with estimated uncertainty of the extrapolation.

Ab initio NCSM with Daejeon 16: a few selected works

Parity Inversion in ¹¹Be

Y. Kim, I.J. Shin, A.M. Shirokov, M. Sosonkina, P. Maris and J. P. Vary, NTSE-2018 • e-Print: 1910.04367 [nucl-th]

 Experimentally: 1/2⁺ -65.483(6) MeV 1/2⁻ -65.165(7) MeV, Exc. energy 0.318(7) MeV
JISP16: 1/2⁺ -63.3(8) MeV, N_{max}=11

JISP16: 1/2⁺ -63.3(8) MeV, N_{max}=11 1/2⁻ -64.0(6) MeV, N_{max}=10

Daejeon16: 1/2⁺ -65.22(7) MeV, N_{max}=11 1/2⁻ -64.63(2) MeV, N_{max}=10



The ground state energies of several p-shell nuclei using Daejeon16 and JISP16 compared with experiment.



Energies of the ground and first-excited states of 11Be calculated within the ab initio NCSM with Daejeon16 and JISP16.

Daejeon 16 for heavier nuclear systems

Many-body method:

- HF + perturbation theory (MBPT) for ground states and radii
- RPA for the centroid energy of the isoscalar giant monopole resonance (E_c) and the electric dipole polarizability (a_D)
 - since at the moment NCSM is not applicable to heavier systems

Our strategy:

- We first discuss perturbative nature of Daejeon 16 in MBPT.

- We seek for a phenomenological corrections to Daejeon 16 to introduce density-dependent two nucleon interactions.

P. Papakonstantinou, J. P. Vary, Y. Kim, J. Phys. G 48 (2021) 085105

Experimental data referenced in our work

| Nuclide Property | $^{16}\mathrm{O}$ | $^{40}\mathrm{Ca}$ | $^{48}\mathrm{Ca}$ | $^{90}\mathrm{Zr}$ | $^{132}\mathrm{Sn}$ | $^{208}\mathrm{Pb}$ |
|--------------------------------------|-------------------|--------------------|--------------------|--------------------|---------------------|---------------------|
| E/A [MeV] | 7.976 | 8.551 | 8.667 | 8.710 | 8.355 | 7.867 |
| $R_{\rm ch}$ [fm] | 2.699 | 3.478 | 3.477 | 4.264 | 4.709 | 5.501 |
| R_p [fm] | 2.581 | 3.387 | 3.393 | 4.199 | 4.650 | 5.450 |
| $E_c(GMR) [MeV]^{(*)}$ | | 19.88 | 19.18 | 18.13 | | 13.96 |
| $a_D \left[\text{fm}^3/e^2 \right]$ | | | 2.07(22) | | | 20.1(6) |

^(*) Error bars for $E_c(GMR)$ are of the order of $10^{-1}MeV$.



Results for closed-shell nuclei: ¹⁶O, ²⁸O, ⁴⁰Ca, ⁴⁸Ca, ⁶⁰Ca, ⁹⁰Zr, ¹⁰⁰Sn, ¹³²Sn, ²⁰⁸Pb. Phenomenological corrections: density-dependent two nucleon interactions (two-plus-three-nucleon contact interaction within the mean field approximation)

$$V_{\rho} = \frac{1}{6} (1 + \hat{P}_{\sigma}) \{ t_0 + t_3 \rho([\vec{r}_i + \vec{r}_j]/2) \} \delta(\vec{r}_i - \vec{r}_j)$$

Shang-fang Tsai, Coordinate-space formalism of the random-phase approximation," Phys. Rev. C 17, 1862 (1978).





t₃ [MeV fm⁶]



Exemplary results with selected values of $(t_{0,}, t_3)$

| | E/A | [MeV] | R_p [fm] | | | |
|---------------------|--------------|--------------|--------------|--------------|--|--|
| | (-180, 1200) | (-240, 1600) | (-180, 1200) | (-240, 1600) | | |
| $^{16}\mathrm{O}$ | -7.367 | -7.912 | 2.614 | 2.655 | | |
| ^{28}O | -5.322 | -5.751 | 2.825 | 2.883 | | |
| ^{40}Ca | -8.082 | -8.493 | 3.308 | 3.383 | | |
| ^{48}Ca | -7.999 | -8.366 | 3.386 | 3.470 | | |
| 60 Ca | -7.036 | -7.290 | 3.509 | 3.607 | | |
| 90 Zr | -8.038 | -8.180 | 4.038 | 4.166 | | |
| 100 Sn | -7.432 | -7.554 | 4.226 | 4.358 | | |
| ^{132}Sn | -7.382 | -7.366 | 4.440 | 4.593 | | |
| $^{208}\mathrm{Pb}$ | -6.556 | -6.425 | 5.116 | 5.308 | | |

Effective shell-model interactions from Daejeon 16

A new microscopic effective shell-model interactions in the valence sd shell, obtained from the modern Daejeon16 nucleon-nucleon potential using no-core shell-model (NCSM) wave functions of ¹⁸F at N_{max} = 6

Ik Jae Shin, Nadezda A. Smirnova, A. M. Shirokov, Zuxing Yang, B. R. Barrett, Zhen Li, Y. Kim, P. Maris, and J. P. Vary, Effective interactions in the sd shell from Daejeon16, *in preparation*.



[N_{max} = 4: Nadezda A. Smirnova, B. R. Barrett, Y. Kim, Ik Jae Shin, A. M. Shirokov, E. Dikmen, P. Maris and J. P. Vary, Phys. Rev. C 100 (2019) 054329]

Harmonic Oscillator Representation of Scattering Equations

- This is one of the reliable method for describing two particle scattering For example, see Ref: Annals of Physics 280, 299 (2000)
- One-channel, short range potential case:
 - Radial Schrödinger Eq: $H^{\ell}u_{\ell}(E,r) = Eu_{\ell}(E,r)$
 - Expansion to oscillator functions: $u_{\ell}(E, r) = \sum_{n} a_{n\ell}(E) R_{n\ell}(r)$
 - Approximation of short range potential $\tilde{V}_{nn'} = \begin{cases} V_{nn'} & n, n' \le N \sim N_{\max} & (P \text{ space}) \\ 0 & n, n' > N \sim N_{\max} & (Q \text{ space}) \end{cases}$
 - Kinetic energy $T_{nn'}^{\ell}$ matrix stay full and infinite! (tri-diagonal, have explicit values)
 - Q space solution: $a_{n\ell}(E) = \#\operatorname{norm}\left(S_{n\ell}(E) + \tan \delta_{\ell} C_{n\ell}(E)\right)$ $S_{n\ell}(E) = \#\operatorname{norm}\left(\left(\frac{2E}{\hbar\Omega}\right)^{\frac{\ell+1}{2}}\exp\left(-\frac{E}{\hbar\Omega}\right)L_{n}^{\ell+\frac{1}{2}}\left(\frac{2E}{\hbar\Omega}\right)\right), \qquad \sum_{n=0}^{\infty}S_{n\ell}(E)R_{n\ell}(r) = j_{\ell}(r)$ $C_{n\ell}(E) = \#\operatorname{norm}\left(\left(\frac{2E}{\hbar\Omega}\right)^{-\frac{\ell}{2}}\exp\left(-\frac{E}{\hbar\Omega}\right){}_{1}F_{1}\left(-n + \ell - \frac{1}{2}, -\ell + \frac{1}{2}; \frac{2E}{\hbar\Omega}\right)\right), \qquad \sum_{n=0}^{\infty}C_{n\ell}(E)R_{n\ell}(r) \rightarrow n_{\ell}(r), r \rightarrow \infty.$ • After matching => phase shift: $\tan \delta_{\ell} = -\frac{S_{N\ell}(E) - G_{NN}(E)T_{NN+1}S_{N+1,\ell}(E)}{C_{N\ell}(E) - G_{NN}(E)T_{NN+1}C_{N+1,\ell}(E)}$ $G_{nn'}(E) = -\sum_{\nu=1}^{N} \frac{\langle \nu | n\ell \rangle \langle n'\ell | \nu \rangle}{E_{\nu} - E_{\nu}}, \qquad |\nu\rangle, E_{\nu} \text{ from: } \sum_{n'} H_{nn'}^{\ell} \langle n'\ell | \nu \rangle = E_{\nu} \langle n\ell | \nu \rangle \text{ (from } P \text{ space)}$
- Coulomb + short range, multi-channel scattering description: also exists

T + V

0

 $\left\| H^{\ell} \right\|_{max} =$

•
$$\tan \delta_{\ell} = -\frac{S_{N\ell}(E) - G_{NN}(E)T_{NN+1}S_{N+1,\ell}(E)}{C_{N\ell}(E) - G_{NN}(E)T_{NN+1}C_{N+1,\ell}(E)}$$
$$G_{nn'}(E) = -\sum_{\nu=1}^{N} \frac{\langle \nu | n\ell \rangle \langle n'\ell | \nu \rangle}{E_{\nu} - E}, \quad \sum_{n'} H_{nn'}^{\ell} \langle n'\ell | \nu \rangle = E_{\nu} \langle n\ell | \nu \rangle$$

In *P* space we may use various calculations with oscil lator basis, for example, *ab initio* No-Core Shell Mod el calculations

• Phase shifts require ALL eigenstates, number of them $(\mathcal{N} \sim \exp N_{\max})$ increase rapidly

• Special case
$$E = E_{\nu}$$
: $\tan \delta_{\ell} = -\frac{S_{N+1,\ell}(E_{\nu})}{C_{N+1,\ell}(E_{\nu})}$

- Two body test problem: $\delta_{\ell}(E_{\nu})$ are ``good" phases
- By varying N (or N_{\max}) and $\hbar\Omega$ we obtain E_{ν} and δ_{ℓ} in some interval, parametrize and extract resonances from $\delta_{\ell}(E)$



Example: na scattering

- 1. No Core Shell Model calculations: $E^{5He}(N_{max},\hbar\Omega), E^{4He}(N_{max},\hbar\Omega)$
- 2. $E_{rel.n\alpha} = E = E^{5He} E^{4He}$ tan $\mathcal{S} = f(E)$: obtaining phases in points, f is known function
- 3. Selection criteria for N_{max} and $\hbar\Omega$: phases form smooth curve
- 4. Parameterization: $\delta = \delta_r + \text{smooth function}$
- 5. Extracting E_{n} , Γ from δ_{r}



| Resonance | | This work | | | | | |
|---------------------------------------|----------------------------|-------------------|----------------------|---------------------|----------------------|-----------|-------------------|
| $\overline{J^{\pi}(^{7}\mathrm{He})}$ | $J^{\pi}(^{6}\mathrm{He})$ | | JISP16 | Daejeon16 | | Experim | ent |
| $3/2_1^-$ | 0+ | E_r Γ | 0.665(12) 0.57(4) | 0.28(4) 0.13(2) | 0.430(3) 0.182(5) | | |
| 1/2+ | 0+ | E_r Γ | | | | | |
| 1/2- | 0+ | E_r Γ | 2.7(8) 5.0(6) | 2.7(4) 4.3(3) | 3.0(1) 2 | 3.5 10 | 1.0(1) 0.75(8) |
| 5/2- | 0^+ | E_r Γ | 4.4(4) 1.56(4) | 3.63(16) 1.36(3) | | | |
| | 2^{+} | E_r Γ | 3.85(15) 2.5(2) | 3.23(25) 2.28(8) | | | |
| Predictio | ns | E_r Γ | 4.1(7) 2.0(7) | 3.4(4) 1.8(5) | 3.36(9) 1.99(17) | | |
| $3/2_{2}^{-}$ | 0^+ | E_r Γ | 5.8(5) 4.11(23) | 5.0(3) 2.84(24) | | | |
| | 2^{+} | E_r Γ | 5.3(4) 3.9(6) | 4.4(4) 3.9(3) | | | |
| Predictions E_{μ} | | E_r Γ | 5.6(7) 4.0(7) | 4.7(7) 3.4(8) | | | |

We describe all experimentally known ⁷He resonances and suggest an interpretation of an observed wide resonance of unknown spin-parity

I. A. Mazur, I. J. Shin, Y. Kim, A. I. Mazur, A. M. Shirokov, P. Maris and J. P. Vary, PRC 106, 064320 (2022)

Summary

- A few important and interesting results from ab initio NCSM with Daejeon 16 are briefly presented.
- The guidance of James, needless to say, was essential in developing Daejeon 16 and its applications to ab initio nuclear structure studies!