



北京大学物理学院  
*School of Physics, Peking University*

# ***Ab initio* many-body perturbation theory for atomic nuclei**

**Furong Xu**

Collaborators: James Vary, Baishan Hu, Zhonghao Sun, Tong Li

## **Outline**

- I. The concept of MBPT**
- II. Corrections to the ground-state energy**
- III. Corrections to ground-state wavefunction, density, root-mean-square radius**
- IV. The examples of  $^4\text{He}$  and  $^{16}\text{O}$**
- V. Conclusions**

A happy and healthy birthday to our friend, Prof. James Vary

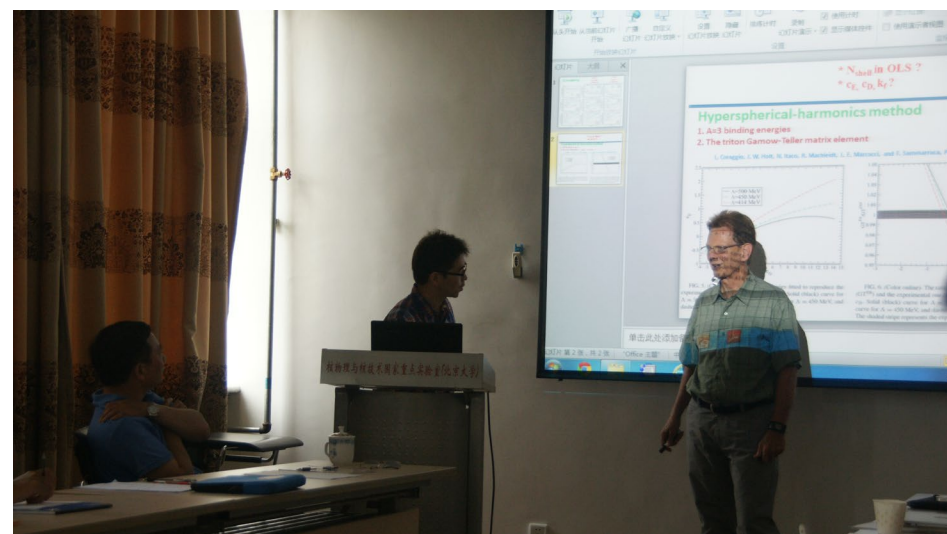




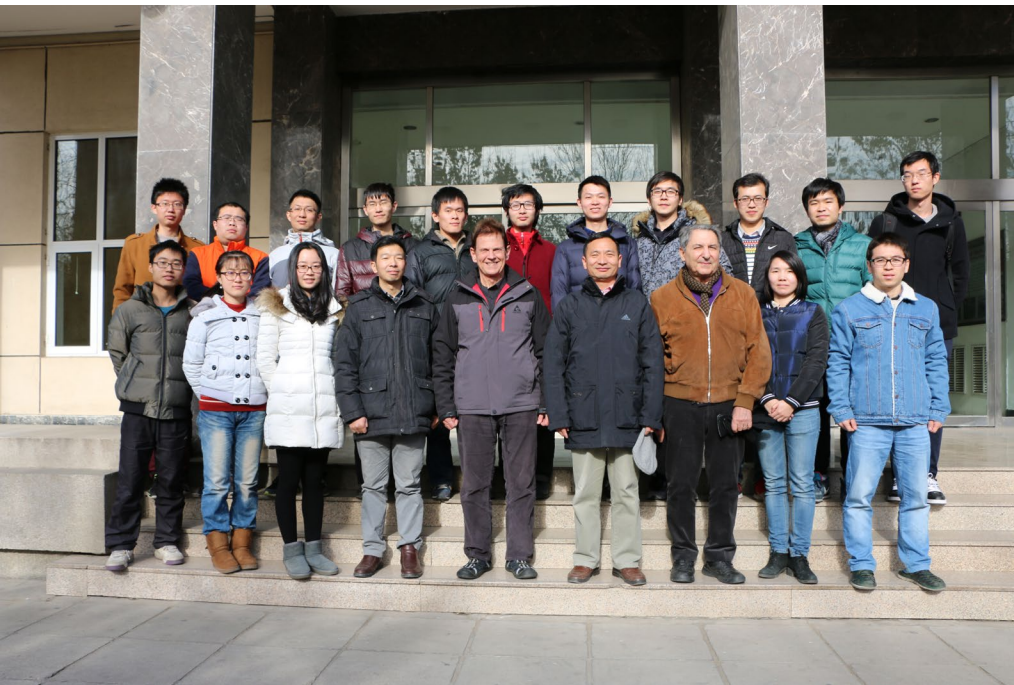
CUSTIPEN workshops, summer 2015

at PKU (organized by Furong Xu's group)

at IMP (organized by Prof. Wei Zuo's group)



Between workshops at PKU and IMP 2015, one-day discussion session with students at PKU



Mini workshop, 2016 winter, PKU (Beijing)



# International workshop on “ Many-body system with strong interactions ”

---Lanzhou, China. June 10-15 2019



Workshop for Dr. Nicolas Michel starting his position at IMP,

Organized by Profs. Zuo and Michel

## ***Ab initio* nuclear many-body perturbation calculations in the Hartree-Fock basis**

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Starting from realistic nuclear forces, the chiral  $N^3LO$  and JISP16, we have applied many-body perturbation theory (MBPT) to the structure of closed-shell nuclei,  $^4\text{He}$  and  $^{16}\text{O}$ . The two-body  $N^3LO$  interaction is softened by a similarity renormalization group transformation while JISP16 is adopted without renormalization. The MBPT calculations are performed within the Hartree-Fock (HF) bases. The angular momentum coupled scheme is used, which can reduce the computational task. Corrections up to the third order in energy and up to the second order in radius are evaluated. Higher-order corrections in the HF basis are small relative to the leading-order perturbative result. Using the antisymmetrized Goldstone diagram expansions of the wave function, we directly correct the one-body density for the calculation of the radius, rather than calculate corrections to the occupation probabilities of single-particle orbits as found in other treatments. We compare our results with other methods where available and find good agreement. This supports the conclusion that our methods produce reasonably converged results with these interactions. We also compare our results with experimental data.

# *Ab initio* many-body perturbation theory - MBPT

Two fundamental problems in nuclear structure calculations:

1) nuclear force; 2) many-body correlation

## *Ab initio* calculations of nuclei

1. Starting from realistic nuclear forces
2. Renormalization (softening) to speed up convergence
3. *Ab-initio* methods to treat many-body problems

$$\hat{H}_{int} = \sum_{i < j}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2mA} + \sum_{i < j}^A V_{NN,ij} + \sum_{i < j < k}^A V_{NNN,ijk}$$

$$H_{int} = \sum_{i=1}^A \frac{p_i^2}{2m} + \sum_{i < j} V(|\vec{r}_i - \vec{r}_j|) - \frac{P^2}{2Am} \quad \vec{P} = \sum_{i=1}^A \vec{p}_i$$



# MBPT calculations for closed-shell nuclei

$$\hat{H}_{int} = \sum_{i < j}^A \frac{(\vec{p}_i - \vec{p}_j)^2}{2mA} + \sum_{i < j}^A V_{NN,ij} \quad ; \quad H_{int} = \sum_{i=1}^A \frac{p_i^2}{2m} + \sum_{i < j} V(|\vec{r}_i - \vec{r}_j|) - \frac{P^2}{2Am} \quad , \quad \vec{P} = \sum_{i=1}^A \vec{p}_i$$

- a) First we performed spherical HF calculation (in HO basis)
- b) The HF state is chosen as a reference state, which limits to closed-shell nuclei.
- c) In the HF basis, we make MBPT: for energy up to 3rd-order perturbation corrections, and for radius up to 2<sup>nd</sup>-order corrections, in the  $j$ - $j$  scheme:

$$H_0 = \sum_{l_1 l_2} h_{l_1 l_2}^{HF} a_{l_1}^\dagger a_{l_2}$$

$$\hat{H} = \hat{H}_0 + (\hat{H} - \hat{H}_0) = \hat{H}_0 + \hat{V}$$

The exact solutions of the A-nucleon system are,

$$\hat{H}\psi_n = E_n\psi_n, \quad n = 0, 1, 2, \dots$$

The zero-order part is,

$$\hat{H}_0\phi_n = E_n^{(0)}\phi_n, \quad n = 0, 1, 2, \dots$$



For the ground state:

Perturbation (MBPT)

$$\chi_0 = \Psi_0 - \Phi_0$$

$$\Delta E = E_0 - E_0^{(0)}$$

$$\Psi_0 = \sum_{m=0}^{\infty} [\hat{R}_0(E_0^{(0)})(\hat{V} - \Delta E)]^m \Phi_0$$

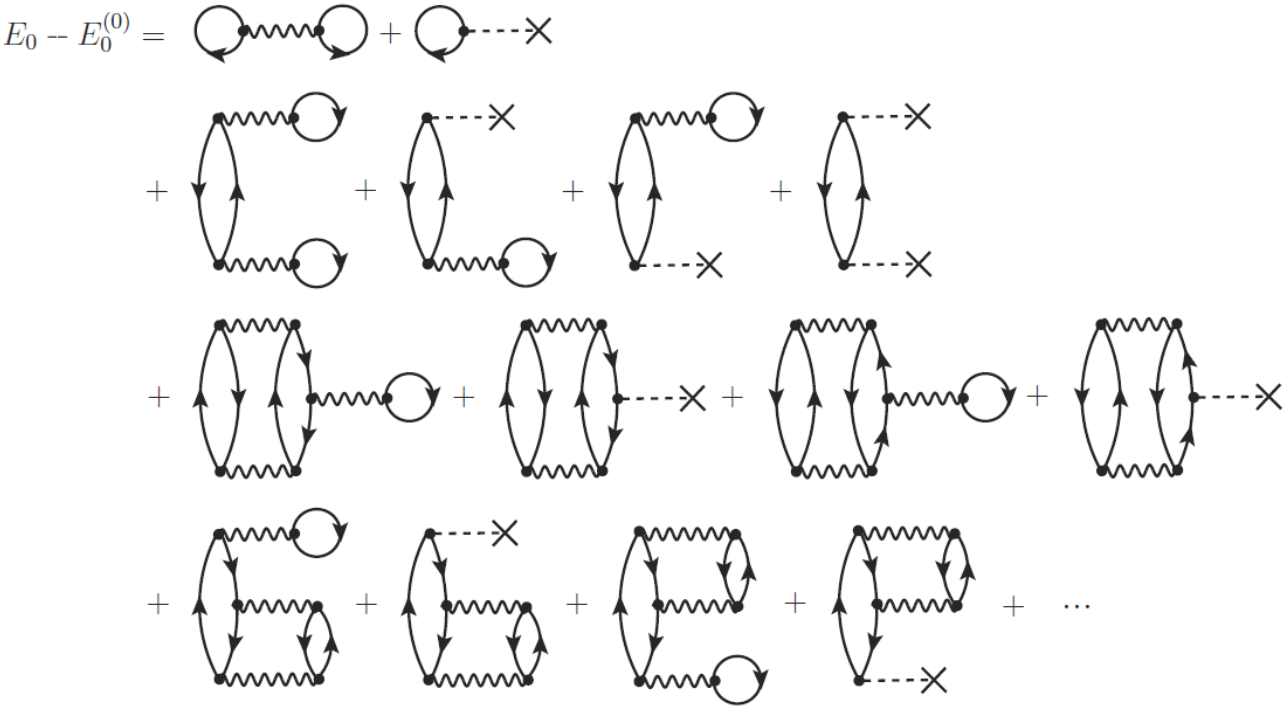
$$\Delta E = \sum_{m=0}^{\infty} \langle \Phi_0 | \hat{V} [\hat{R}_0(E_0^{(0)})(\hat{V} - \Delta E)]^m | \Phi_0 \rangle$$

where  $\hat{R}_0 = \sum_{i \neq 0} \frac{|\Phi_i\rangle\langle\Phi_i|}{E_0^{(0)} - E_i^{(0)}}$  is called the resolvent of  $\hat{H}_0$

**Rayleigh-Schrodinger method**

**Advantages in HF basis, compared with HO basis:**

- 1) faster convergence;**
- 2) some-type perturbation diagrams can be cancelled out, but not in HO basis;**
- 3) In HO basis, calculations could be  $\hbar\omega$  dependent, while less dependent in HF basis.**





$$E_0 = E_0^{(0)} + E_0^{(1)} + E_0^{(2)} + E_0^{(3)} + \dots$$

$$\text{HF energy} = \langle \phi_0 | H | \phi_0 \rangle, \quad \hat{H} = \hat{H}_0 + (\hat{H} - \hat{H}_0) = \hat{H}_0 + \hat{V}$$

$$E_0^{(1)} = \langle \Phi_0 | \hat{V} | \Phi_0 \rangle$$

$$E_0^{(2)} = \langle \Phi_0 | \hat{V} \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

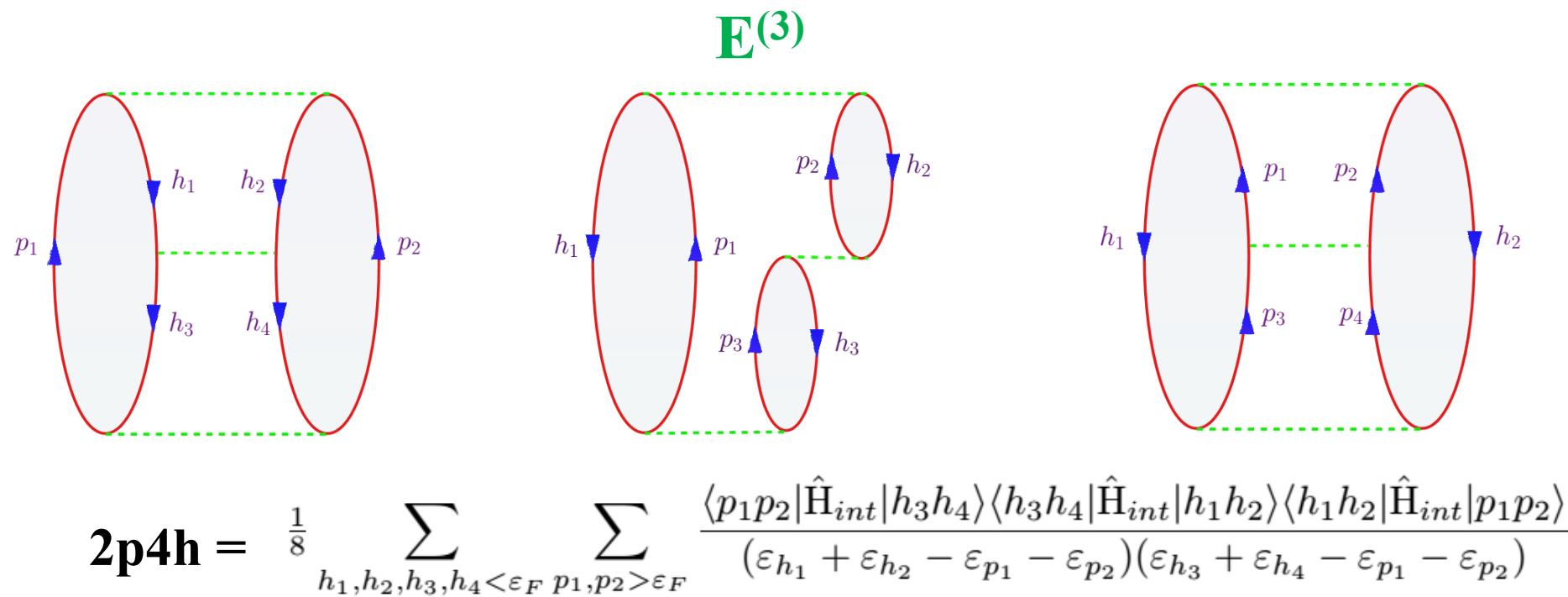
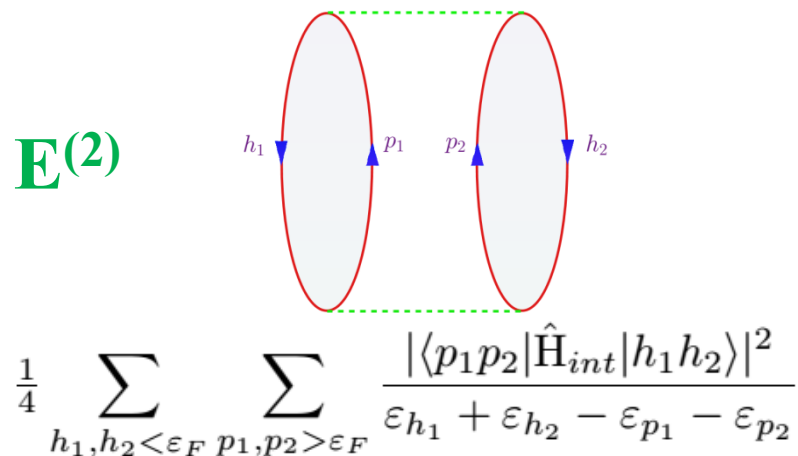
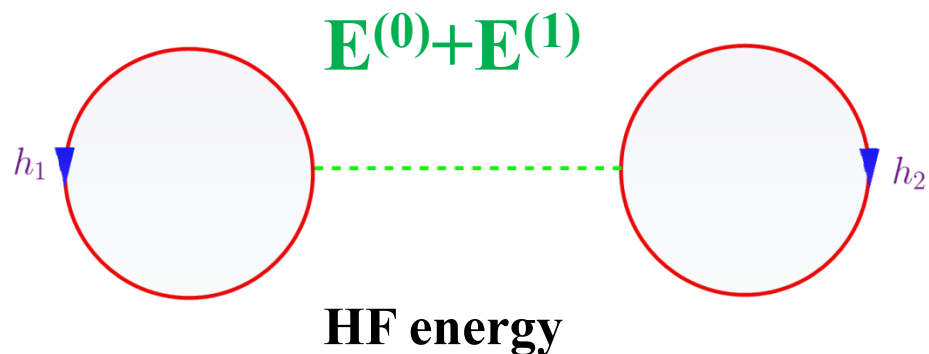
$$E_0^{(3)} = \langle \Phi_0 | \hat{V} \hat{R}_0 (\hat{V} - \langle \Phi_0 | \hat{V} | \Phi_0 \rangle) \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

$$\psi_0 = \underbrace{\Phi_0}_{\text{HF}} + \psi_0^{(1)} + \psi_0^{(2)} + \dots$$

$$\psi_0^{(1)} = \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

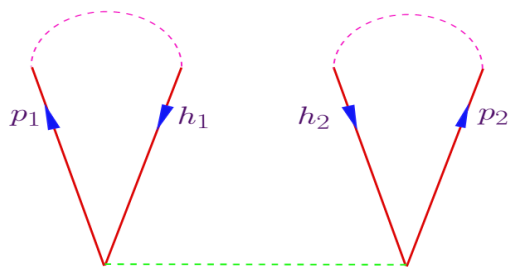
$$\psi_0^{(2)} = \hat{R}_0 (\hat{V} - E_0^{(1)}) \hat{R}_0 \hat{V} | \Phi_0 \rangle$$

# Anti-Symmetrized Goldstone (ASG) diagram expansion



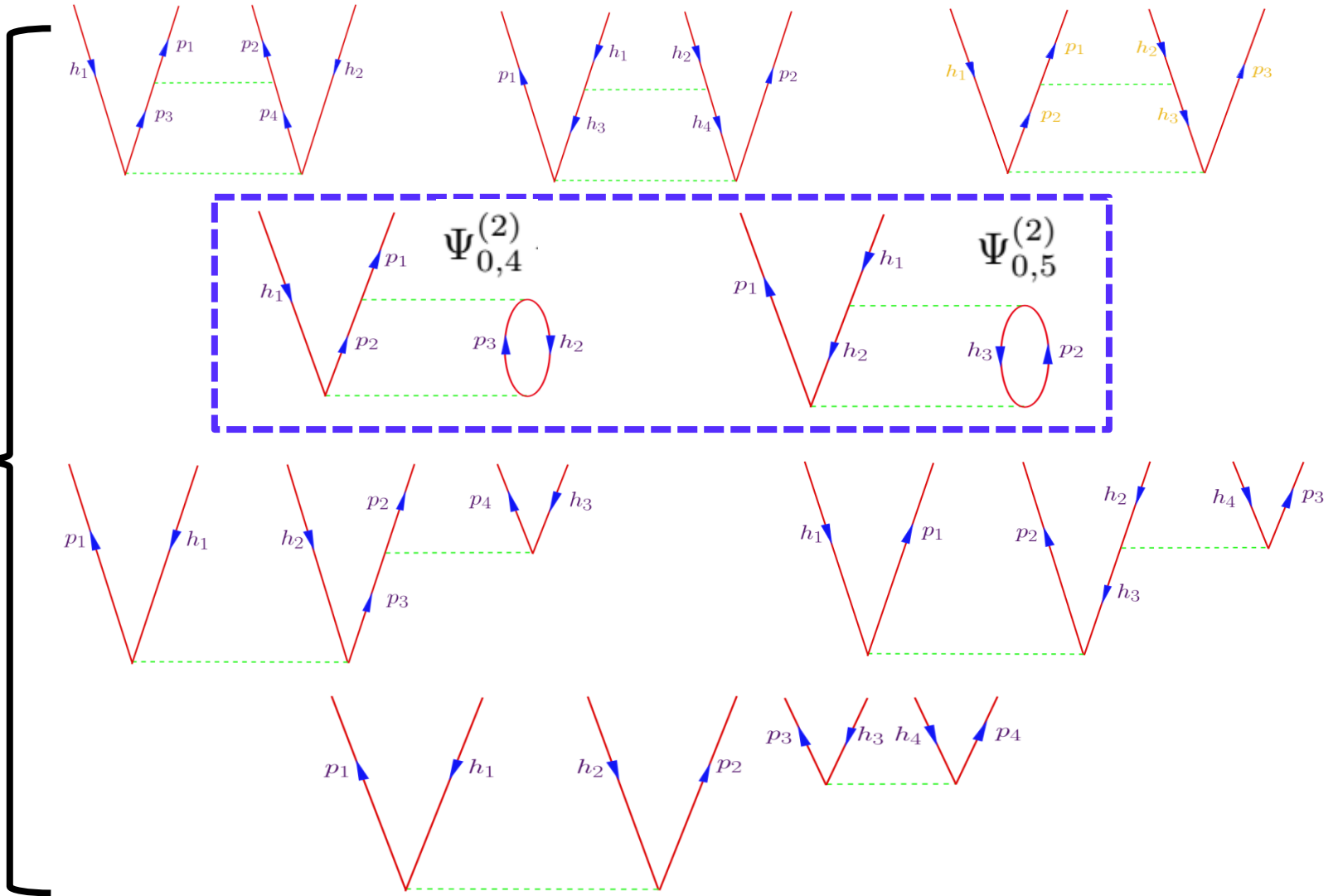
# ASG diagrams for wave functions

$\psi^{(1)}$

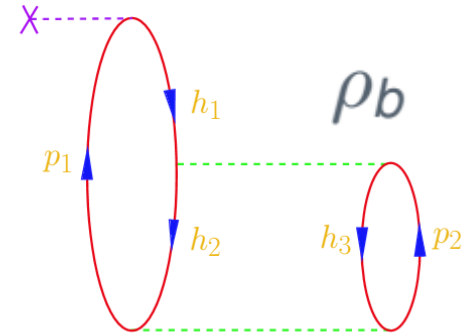
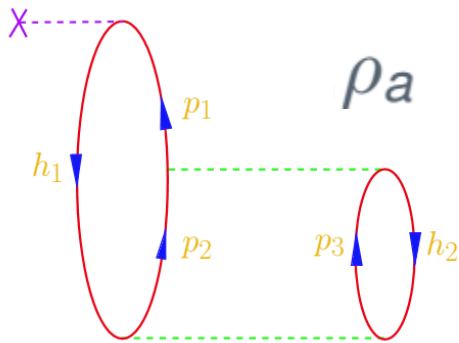


$$\Psi'_0 = \Phi_0 + \Psi_0^{(1)} + \Psi_{0,4}^{(2)} + \Psi_{0,5}^{(2)}$$

$\psi^{(2)}$

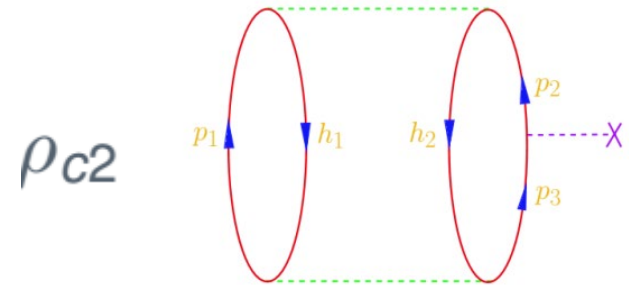
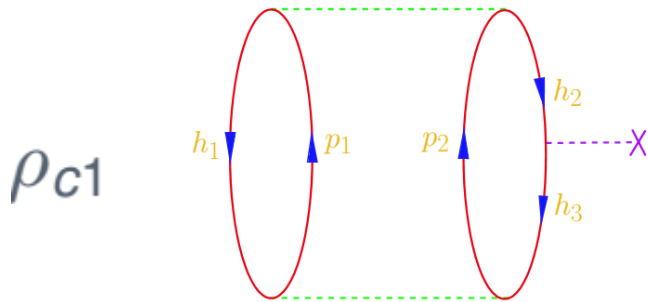


$$\rho(\vec{r}) = \underbrace{\langle \Phi_0 | \rho(\vec{r}) | \Phi_0 \rangle}_{\text{HF}} + \underbrace{\langle \Phi_0 | \rho(\vec{r}) | \Phi_0 \rangle \langle \Psi_0^{(1)} | \Psi_0^{(1)} \rangle}_{\text{2nd order}} + \underbrace{2\rho_a + 2\rho_b + \rho_{c1} + \rho_{c2} + \dots}_{\text{2nd order}}$$



$$\frac{1}{2} \sum_{h_1, h_2 < \epsilon_F} \sum_{p_1, p_2, p_3 > \epsilon_F} \frac{\langle h_1 h_2 | \hat{H} | p_2 p_3 \rangle \langle p_2 p_3 | \hat{H} | p_1 h_2 \rangle \langle h_1 | \rho | p_1 \rangle}{(\epsilon_{h_1} - \epsilon_{p_1})(\epsilon_{h_1} + \epsilon_{h_2} - \epsilon_{p_2} - \epsilon_{p_3})}$$

$$-\frac{1}{2} \sum_{h_1, h_2, h_3 < \epsilon_F} \sum_{p_1, p_2 > \epsilon_F} \frac{\langle p_1 p_2 | \hat{H} | h_2 h_3 \rangle \langle h_2 h_3 | \hat{H} | h_1 p_2 \rangle \langle h_1 | \rho | p_1 \rangle}{(\epsilon_{h_1} - \epsilon_{p_1})(\epsilon_{h_2} + \epsilon_{h_3} - \epsilon_{p_1} - \epsilon_{p_2})}$$



$$-\frac{1}{2} \sum_{h_1, h_2, h_3 < \epsilon_F} \sum_{p_1, p_2 > \epsilon_F} \frac{\langle h_1 h_2 | \hat{H} | p_1 p_2 \rangle \langle p_1 p_2 | \hat{H} | h_1 h_3 \rangle \langle h_3 | \rho | h_2 \rangle}{(\epsilon_{h_1} + \epsilon_{h_2} - \epsilon_{p_1} - \epsilon_{p_2})(\epsilon_{h_1} + \epsilon_{h_3} - \epsilon_{p_1} - \epsilon_{p_2})}$$

$$\frac{1}{2} \sum_{h_1, h_2 < \epsilon_F} \sum_{p_1, p_2, p_3 > \epsilon_F} \frac{\langle p_1 p_3 | \hat{H} | h_1 h_2 \rangle \langle h_1 h_2 | \hat{H} | p_1 p_2 \rangle \langle p_2 | \rho | p_3 \rangle}{(\epsilon_{h_1} + \epsilon_{h_2} - \epsilon_{p_1} - \epsilon_{p_3})(\epsilon_{h_1} + \epsilon_{h_2} - \epsilon_{p_1} - \epsilon_{p_2})}$$

## Root-mean-square radius calculation

$$\hat{r}_m^2 = \frac{1}{A} \sum_{i=1}^A (\vec{r}_i - \vec{r}_0)^2 = \frac{1}{A^2} \sum_{i < j}^A (\vec{r}_i - \vec{r}_j)^2 = \left(1 - \frac{1}{A}\right) \left(\sum_{i=1}^A \vec{r}_i^2 / A\right) - \frac{2}{A^2} \left(\sum_{i < j}^A \vec{r}_i \cdot \vec{r}_j\right)$$

$$\approx \left(1 - \frac{1}{A}\right) \left(\sum_{i=1}^A \vec{r}_i^2 / A\right) \quad \vec{r}_0 = \frac{1}{A} \sum_{i=1}^A \vec{r}_i$$

$$\hat{r}_{pp}^2 = \frac{1}{Z} \sum_{i=1}^Z (\vec{r}_i - \vec{r}_0)^2 \approx \left(1 - \frac{1}{A}\right) \left(\sum_{i=1}^Z \vec{r}_i^2 / Z\right) \longrightarrow \langle R_{pp}^2 \rangle = \frac{\int r^2 \rho_p(\vec{r}) d^3 r}{\int \rho_p(\vec{r}) d^3 r}$$

c.m. effect

$$\langle r_{ch}^2 \rangle = \langle r_{pp}^2 \rangle + R_p^2 + \frac{N}{Z} R_n^2 + \frac{3\hbar^2}{4m_p^2 c^2}$$

A. Ekstron, *et al.*, PRC 91, 051301(R) (2015).

$$R_p = 0.8775(51) \text{ fm} \quad \frac{3\hbar^2}{4m_p^2 c^2} \approx 0.033 \text{ fm}^2, \quad R_n^2 = -0.1149(27) \text{ fm}^2$$

$$\Delta r_{c.m.} = \left[ \left(1 - \frac{1}{A}\right) \langle R_{pp}^2 \rangle \right]^{1/2} - \langle R_{pp}^2 \rangle^{1/2}$$

Approximate center-of-mass correction  
for charge radius (point-proton)



# NCSM

S.K. Bogner *et al.*,  
arXiv0708.3754v2 (2007)

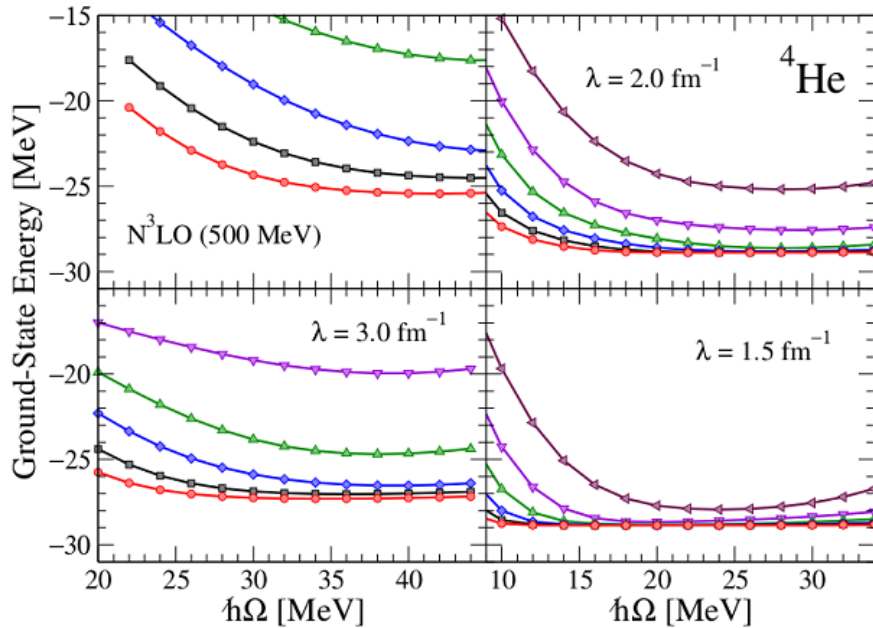


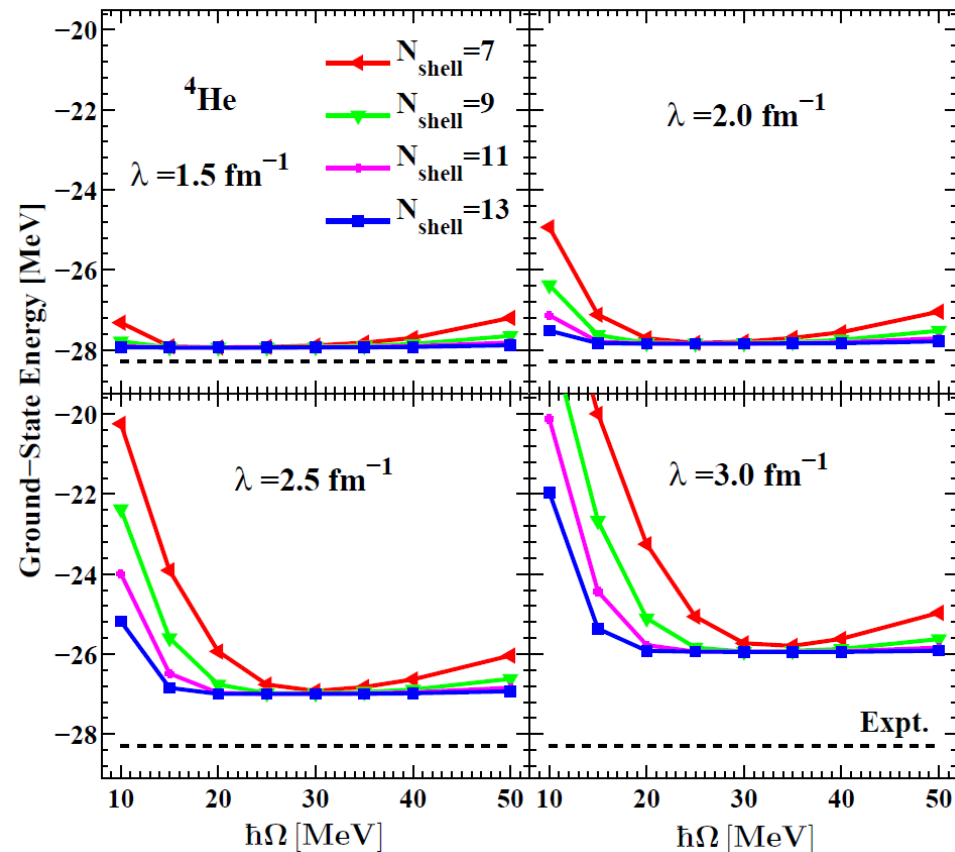
Fig. 3. Ground-state energy of  ${}^4\text{He}$  as a function of  $\hbar\Omega$  at four different value ( $\infty$ , 3, 2,  $1.5 \text{ fm}^{-1}$ ). The initial potential is the 500 MeV  $\text{N}^3\text{LO}$  NN-only pot from Ref. [13]. The legend from Fig. 1 applies here.

# ${}^4\text{He}$

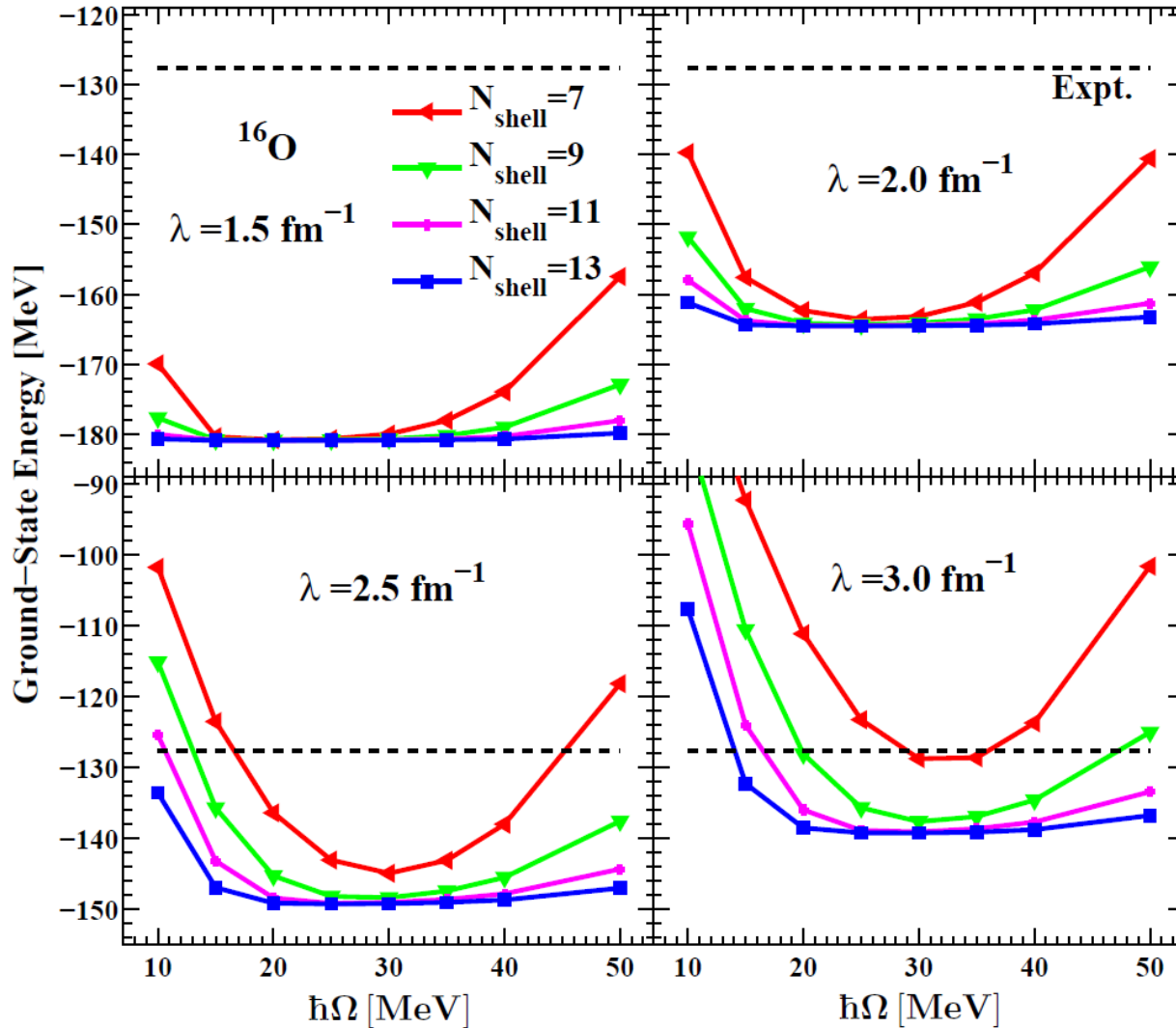
## Our MBPT calculations

B.S. Hu, F.R. Xu, Z.H. Sun, J.P. Vary, T. Li,  
PRC 94, 014303 (2016)

$\text{N}^3\text{LO} + \text{SRG}$  without 3NF

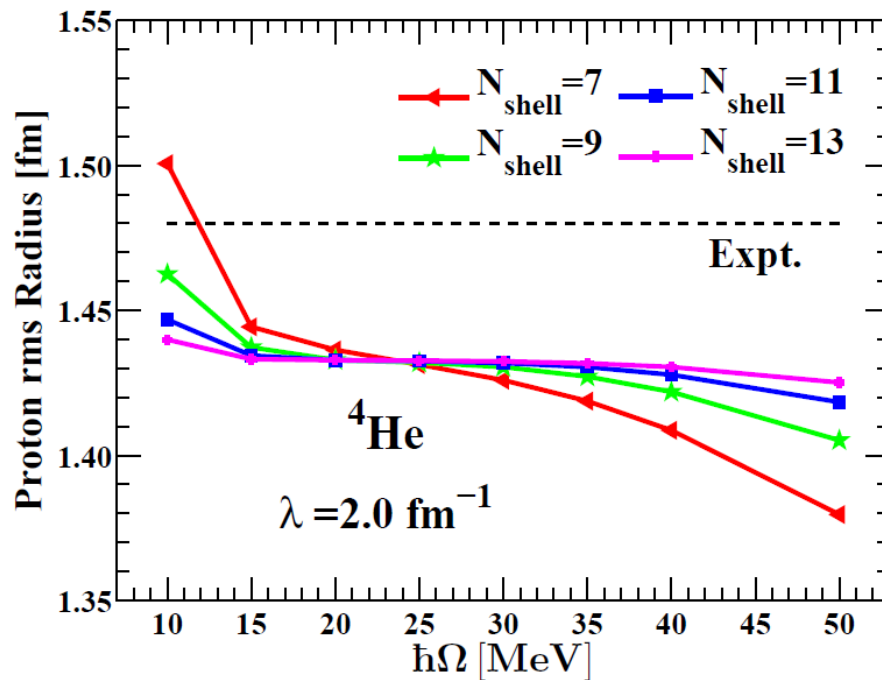
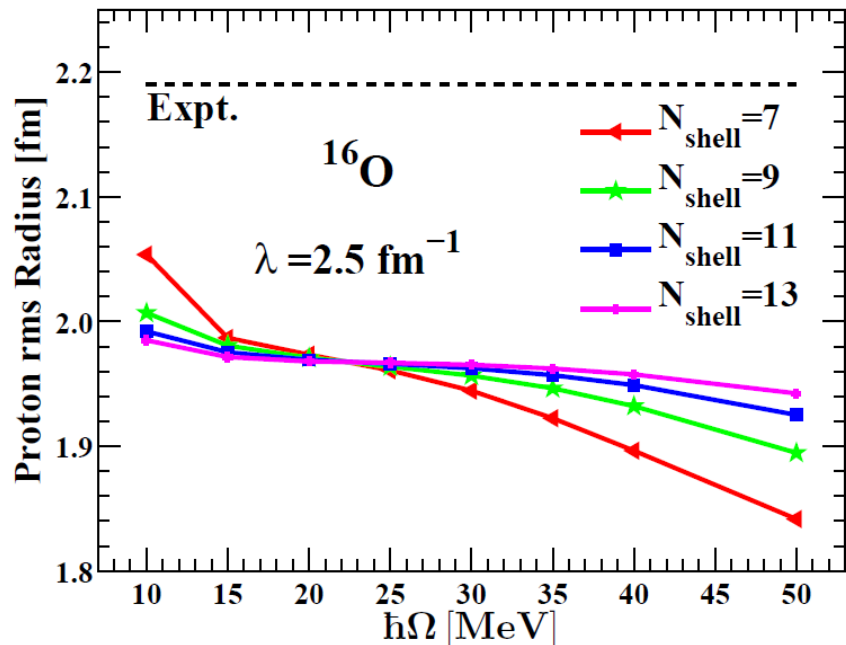


# Our MBPT: $N^3\text{LO}+\text{SRG}$ without 3NF



$^{16}\text{O}$

# Our MBPT calculations with $N^3\text{LO}+\text{SRG}$ : convergence in radius



# HF-MBPT calculations for ${}^4\text{He}$ with $\text{N}^3\text{LO-SRG}$ , $\text{N}_{\text{shell}}=13$ , $h\Omega=35$ MeV

		SRG flow parameter $\lambda$ (fm $^{-1}$ )			
		1.5	2.0	2.5	3.0
<b>Binding energy</b>	Expt. [60]	-28.296	-28.296	-28.296	-28.296
	NCSM [61]	-28.20	-28.41	-27.43	-26.80
	SHF	-25.754	-21.864	-15.854	-10.278
	PT2	-1.788	-5.088	-9.652	-13.783
	PT3	-0.391	-0.899	-1.523	-1.953
	SHF+PT2+PT3	-27.933	-27.850	-27.029	-26.013

$r_p(\text{NCSM})=1.418$ fm with $\text{N}_{\text{max}}=10$		SRG flow parameter $\lambda$ (fm $^{-1}$ )			
		1.5	2.0	2.5	3.0
<b>Point-proton rms radius</b>	Expt.	1.477	1.477	1.477	1.477
	SHF	1.677	1.652	1.714	1.816
	PT2	0.007	0.001	-0.021	-0.065
	$\Delta r_{\text{c.m.}}$	-0.226	-0.222	-0.227	-0.235
	SHF+PT2+ $\Delta r_{\text{c.m.}}$	1.458	1.431	1.466	1.516

## MBPT, but AV18 and UCOM

### Corrections to 3<sup>rd</sup> order in energy, 2<sup>nd</sup> order in radius

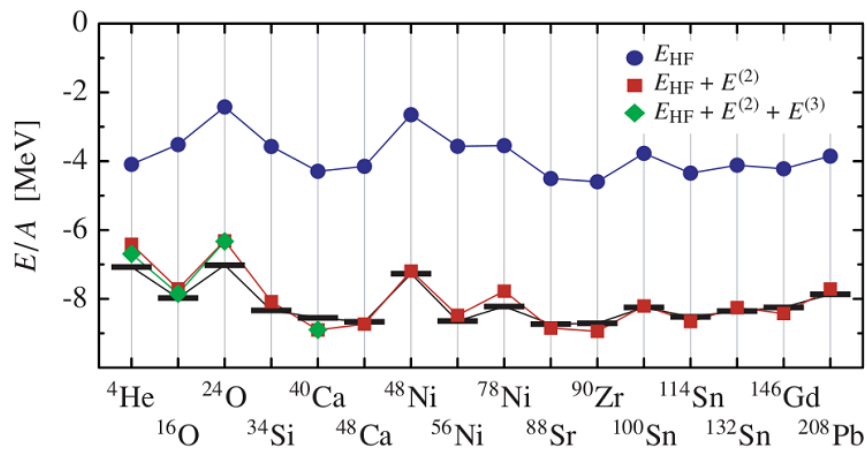


FIG. 5. (Color online) Ground-state energies for selected closed-shell nuclei in HF approximation and with added second- and third-order MBPT corrections. The correlated AV18 potential with  $I_\vartheta = 0.09 \text{ fm}^3$  was used. The bars indicate the experimental binding energies [31].

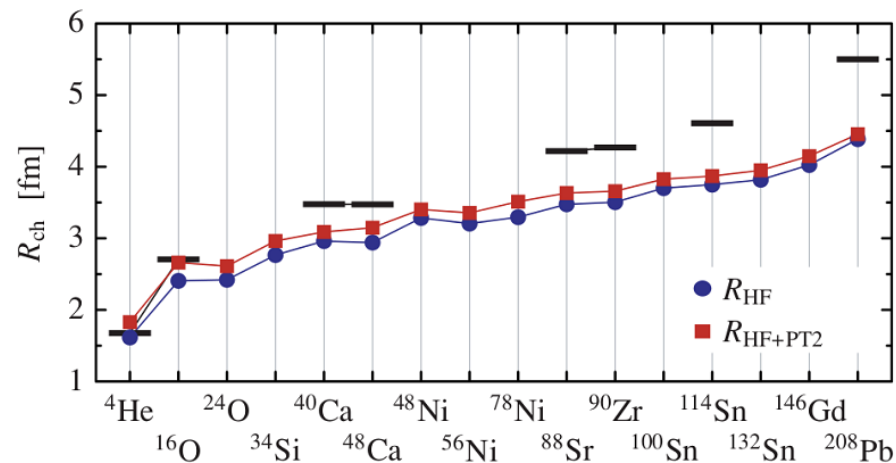
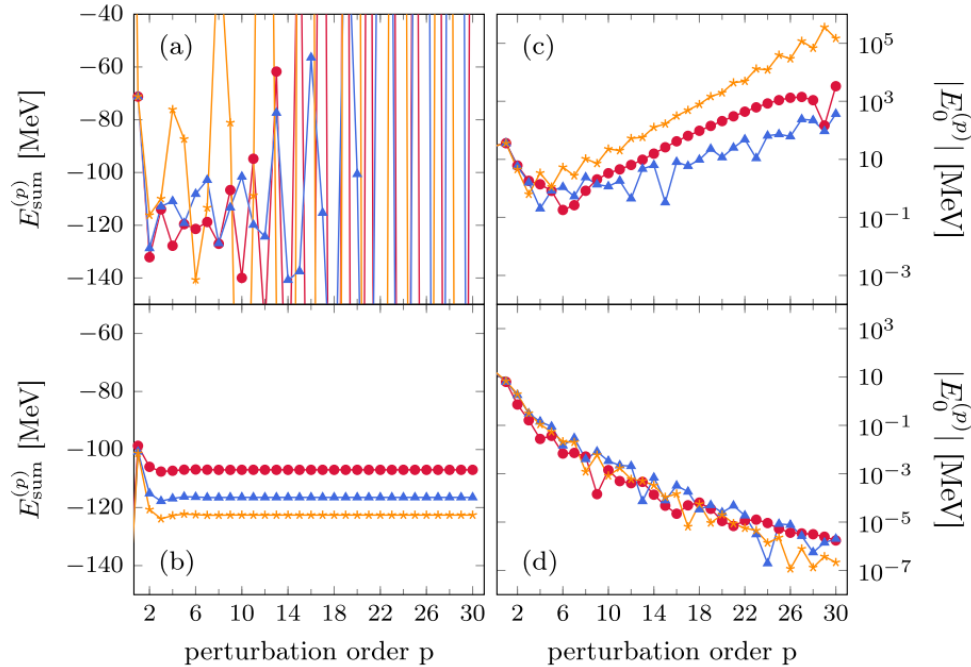


FIG. 8. (Color online) Charge radii for selected closed-shell nuclei in the HF approximation and with added second-order MBPT corrections. The correlated AV18 potential with  $I_\vartheta = 0.09 \text{ fm}^3$  was used. The bars indicate experimental charge radii [32].

MBPT,  $N^3\text{LO}(\text{NN})+N^2\text{LO}(\text{NNN})$ , SRG



MBPT in HO basis  
divergent

MBPT in HF basis  
well convergent

**Fig. 1.** Partial sums for the ground-state energy of  $^{16}\text{O}$  in the HO basis (a) and the HF basis (b) for the NN+3N-full interaction with  $\alpha = 0.08 \text{ fm}^4$  and model-space truncation parameters  $N_{\text{max}} = 2$  ( $\bullet$ ), 4 ( $\blacktriangle$ ), and 6 ( $\star$ ). The corresponding energy corrections for each order are displayed in panels (c) and (d), respectively. All calculations are performed at frequency  $\hbar\Omega = 24 \text{ MeV}$ .

# Conclusions

- 1) *Ab initio* MBPT calculations of nuclear structures.**
- 2) Hartree-Fock provides a good basis to get the MBPT calculation converged.**
- 3) It seems that realistic nuclear forces give smaller radii of nuclei compared with data, not only in MBPT but also other *ab initio* methods.**
- 4) 3NFs should be required for calculations of heavy nuclei.**

## Southern Center for Nuclear-Science Theory (SCNT)

- Located in Huizhou city, Guangdong Province (HIAF is there)
- Hosted by Institute of Modern Physics, Chinese Academy of Sciences

Director: Bingsong Zou (ITP)

Deputy Director: Jujun Xie (IMP)

1. Nuclear structure and reactions (Furong Xu, PKU)
2. Hadron spectroscopy (Fengkun Guo, ITP)
3. Hadron structure (Bowen Xiao, CUHK-Shenzhen)
4. EIC theory (Yuxiang Zhao, IMP)





*Best wishes to Prof. James Vary*



From Furong and Junchen' groups at PKU (June 5, 2023)