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**Graduate Students: Alison C. Dreyfuss, Robert Baker, Grigor Sargsyan & David S. Kekejian**

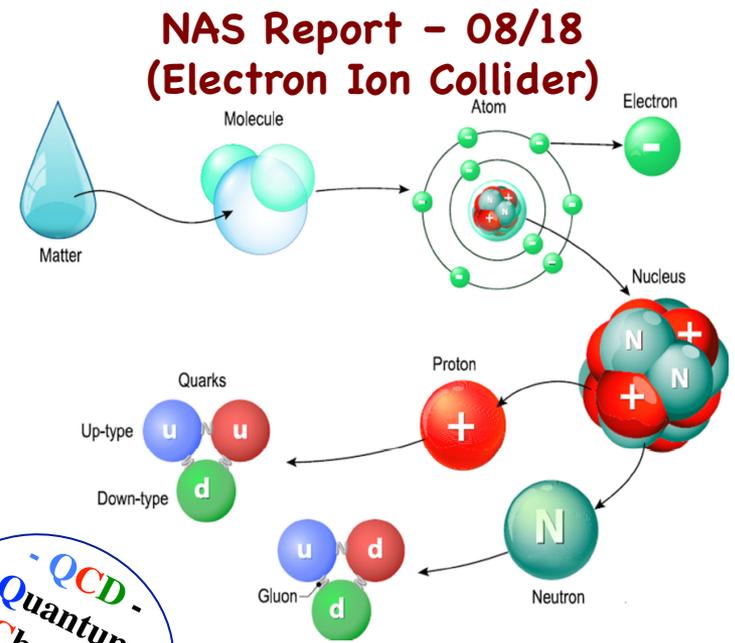
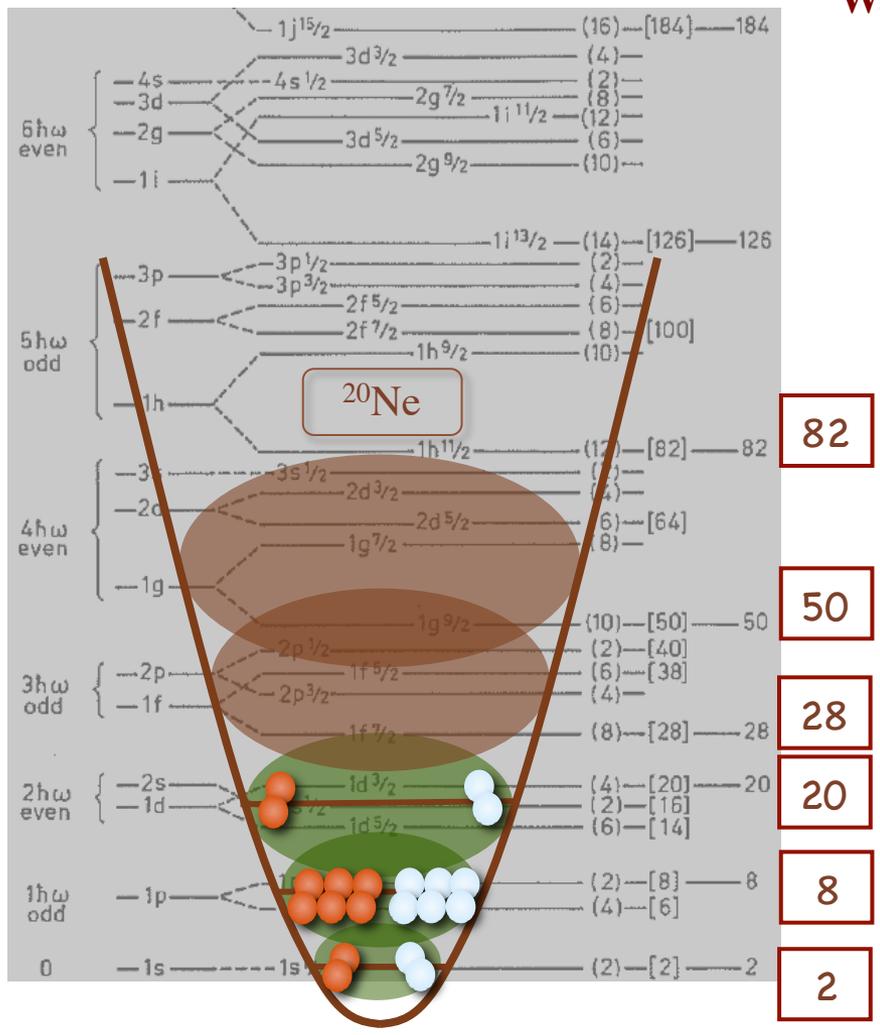
U.S. NSF & DOE plus LSU & SURA Sponsored Research

# ... before < 2000 > after ...

Legacy Shell Model ...

21<sup>st</sup> Century  
Walk Over

... Femto-Science World



NAS Report - 08/18  
(Electron Ion Collider)

Two forces – **strong color force** and the **electromagnetic force** – are responsible for holding the fundamental pieces – quarks & gluons plus electrons together ...

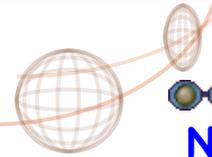
Where HPC, XYZ-NCSM & LE-QCD (χEFT) converge!



# Shell Model

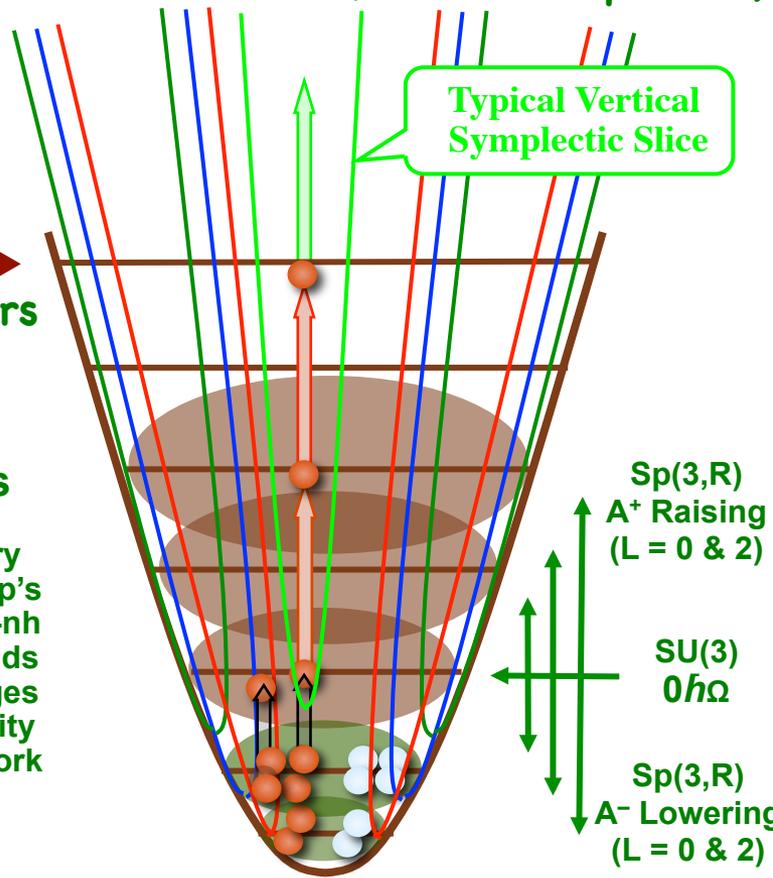
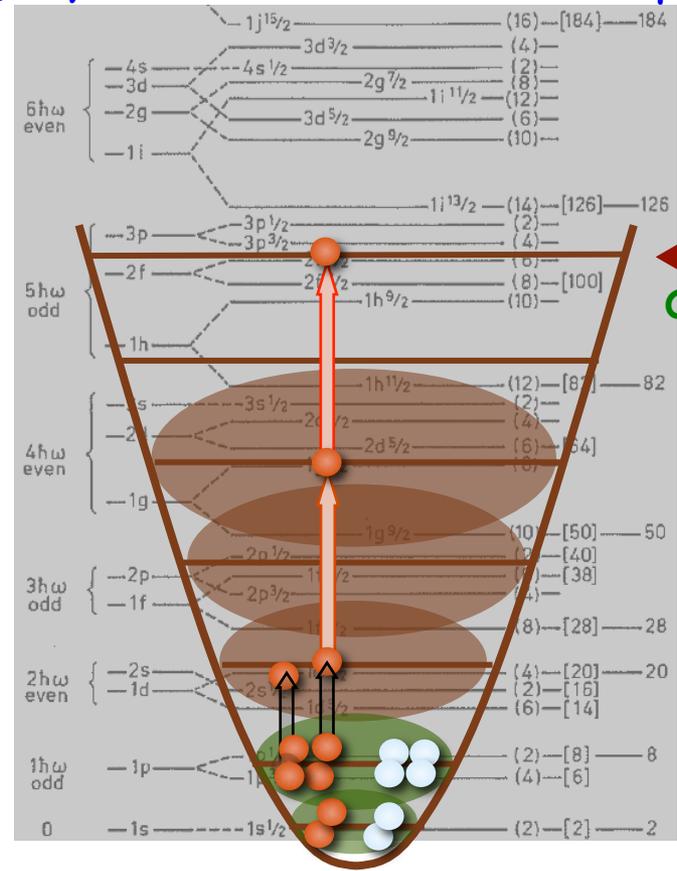
# No-Core

# Collective Model



**No-Core Shell Model (NCSM)\***  
 (Vary, Navratil, & Barrett, ~2000 to present)

**Symplectic Model (Sp-NCSM)\***  
 (Rowe & Rosensteel, ~1980s to present)



**Reorganize Shell-Model Space**

**Collective/Clusters Subspaces**

**Symmetry Adapted Basis (Special Features)**

- Canonical & Unitary
- Quadratic in  $x$ 's &  $p$ 's
- Band-heads  $\rightarrow$   $np$ - $nh$
- Spurious Free Bands
- No Effective Charges
- Captures Collectivity
- Algebraic Framework

Typical Vertical Symplectic Slice

$Sp(3,R)$   
 $A^+$  Raising ( $L = 0 \ \& \ 2$ )

$SU(3)$   
 $0h\Omega$

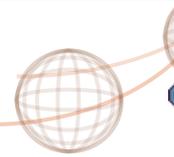
$Sp(3,R)$   
 $A^-$  Lowering ( $L = 0 \ \& \ 2$ )

- \*Realistic interaction (local or not; NN, NNN, ...)
- *In principle*, exact solutions, up to  $N_{max}$
  - Successful description up through  $^{16}O$

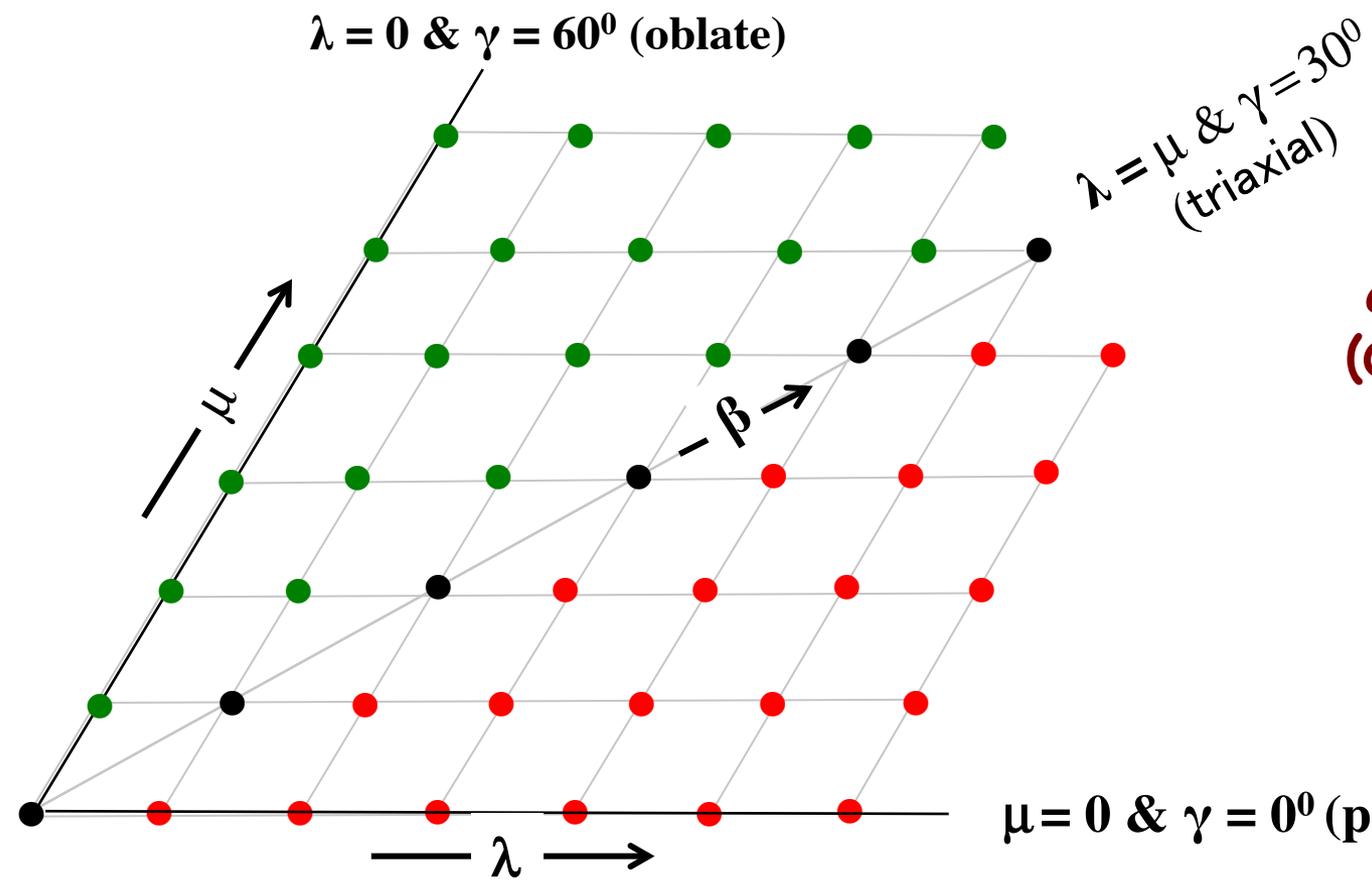
- \*Sound & Simple algebraic underpinning
- Elliott  $SU(3)$  if no symplectic modes
  - $Sp(3,R)$  add monopole & quadrupole



# Spatial Plateau



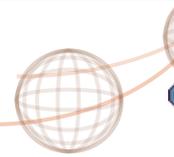
$U(\Omega) \rightarrow SU(3)$  Lattice versus Bohr-Mottelson Picture  $(\beta, \gamma)$



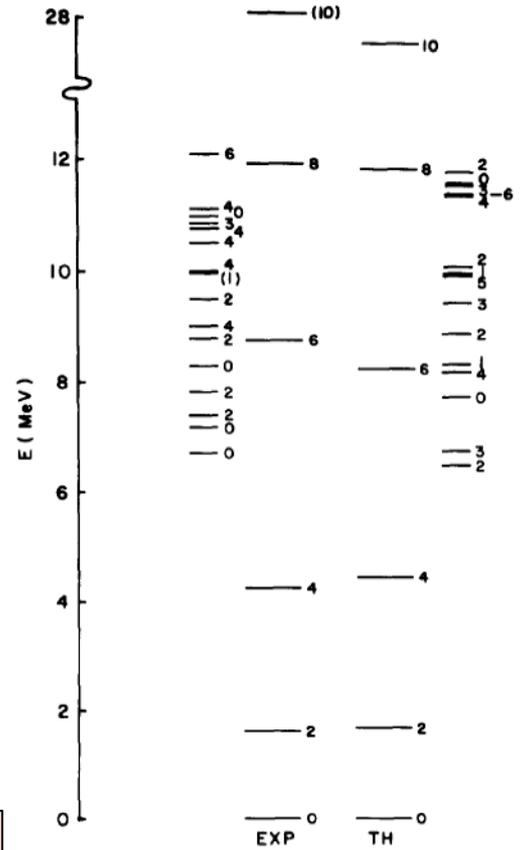
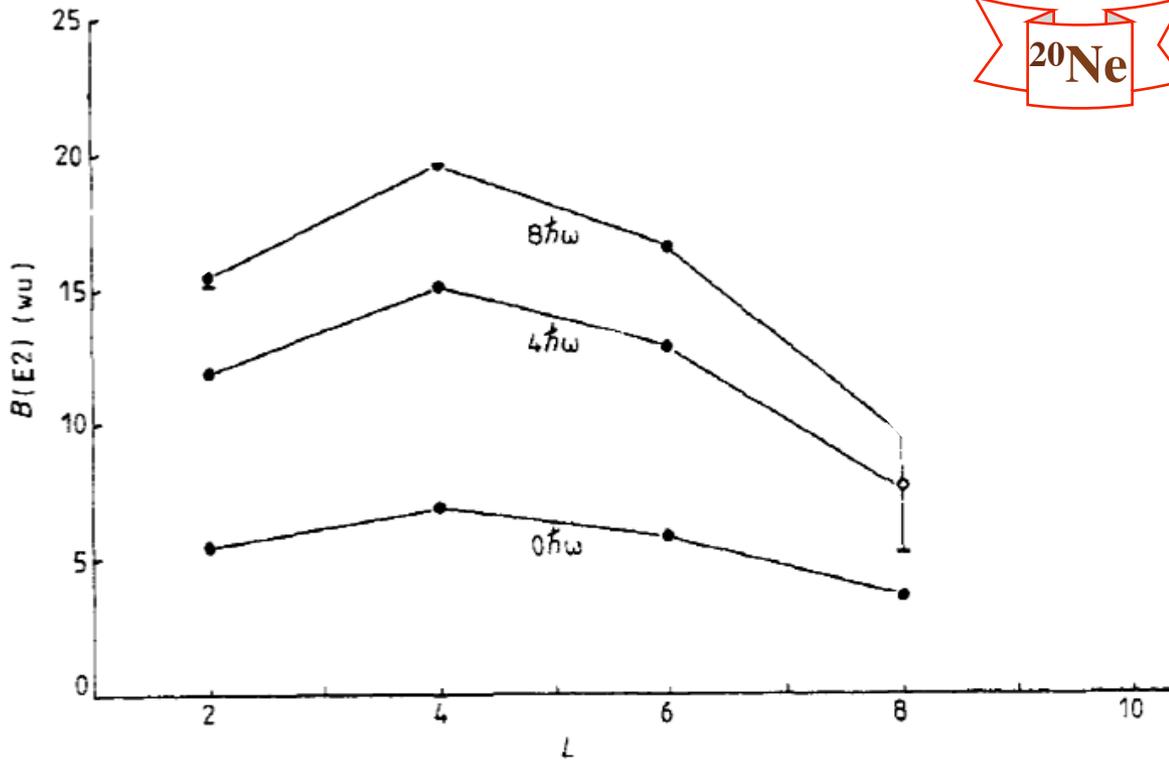
$SU(3)$  Irrep  $(\lambda, \mu)$   
are  $Sp(3, R)$  Irreps  
(Cluster Bandheads)

Bohr-Mottelson  
to  $SU(3)$  map ...  
 $\beta^2 \sim C_2$   
 $\beta^3 \cos(3\gamma) \sim C_3$

# Simple (Sp-NCSM) Picture



<sup>20</sup>Ne



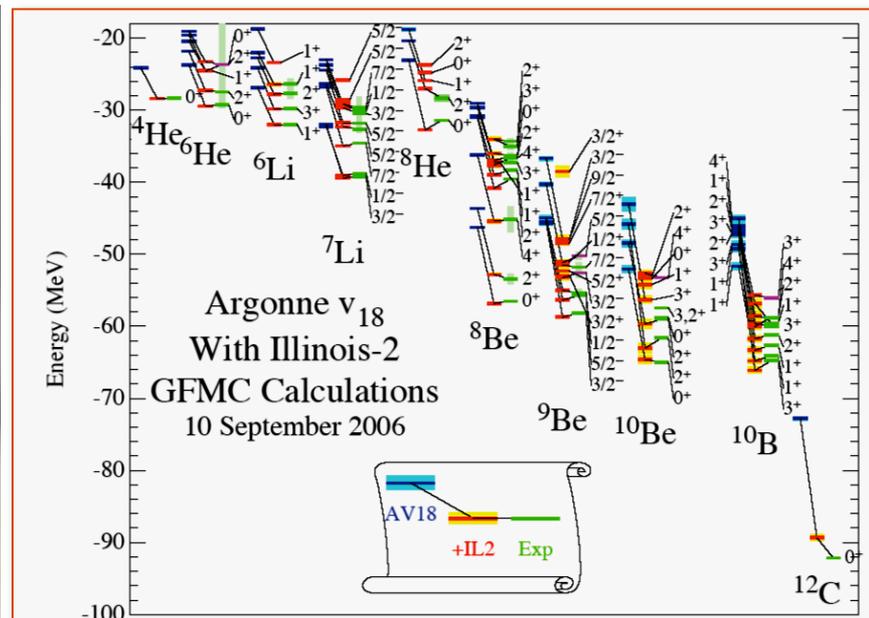
$$H = \hbar\omega H_0 + b_2 Q \cdot Q + b_3 (Q \times Q) \cdot Q + b_4 (Q \cdot Q)^2 + \sum_j \varepsilon_j n_j + G_0 P$$

G. Rosensteel and D.J. Rowe - 1977

J.P. Draayer, K.J. Weeks, G. Rosensteel - 1984

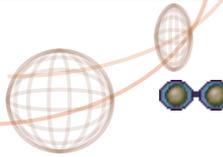


# ... Contemporary Interpretation ...

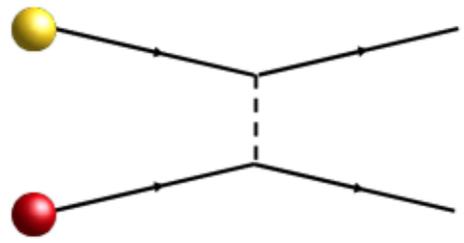


- Variational & Green's Function Monte Carlo (VMC/GFMC):  $A \leq 12$ , local interactions
- Coupled-cluster Theory (CCT): near closed-shell nuclei ( $^4\text{He}$ ,  $^{16}\text{O}$ ,  $^{40}\text{Ca}$ ); space truncation
- No-core Shell Model:  $A \leq 16$ , space truncation - binomial space growth is model's Achilles' Heel
- No-Core Symplectic Model (first results, major investment time/effort required - think smart!)

# ... Contemporary Interpretation ...

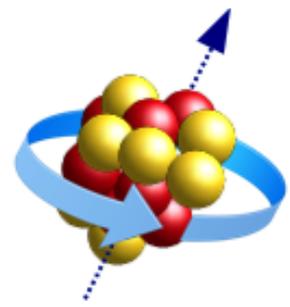


## Strong Interaction



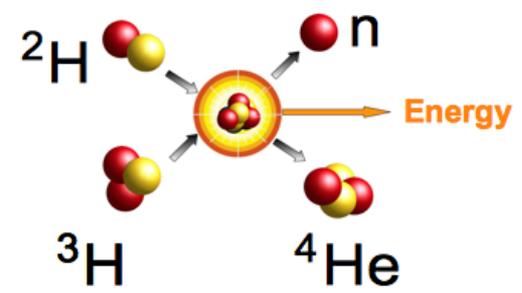
realistic interaction  
&  
nuclear potentials

## Many-body Dynamics



nuclear properties  
&  
collective phenomena

## Nuclear Reactions



reaction dynamics  
&  
nuclear cross-sections

# 'Symmetry Adapted' NCSM Campaign

Timeline: 5 (2002-06) + 5 (2007-2011) + 5 (2012-16)

## Goal -

Reproduce and predict properties of heavy as well as light nuclei, starting with and building upon QCD/EFT informed and inspired interactions ...

## Plan -

- ✓ Exploit existing capabilities to evaluate probability of success and level of effort required to develop a full-blown symmetry adapted NCSM
- ✓ **Develop a symmetry adapted no-core shell model code that capitalizes on exact and approximate symmetries of nuclei (SA-NCSM)**
  - Exploit existing NCSM technology to prove efficacy of method, revealing (or not) any inherent limitations
  - Explore need (or not) for renormalization, winnowing space to physically relevant and tractable subspaces
  - Evaluate extensibility of theory and its characteristics vis-à-vis current/emerging computational resources
- ✓ **Study the emergence of collective phenomena, tracking their evolution to and from fundamental features of the interaction**
  - Apply the theory to study of extreme processes known to be important to understanding nuclei and nuclear systems
  - Develop a user friendly desktop version of code for simple applications as well as educational and training purposes
  - Extend theory to include coupling to the continuum, and apply to the result to the study of nuclear reactions

# High Performance Computing Era\*

## Shell Model

NCSM

Coupled Cluster Theory

Monte Carlo Methods

.....

**NSF**  
Stampede } (TACC)  
Wrangler }  
Blue Waters (NCSA)  
... upgrades ...

**\*21<sup>st</sup> Century  
– Ab Initio –  
Nuclear Physics**

*HPC Era ...*

**DOE**  
NERSC-X (Berkeley)  
Summit (Oak Ridge)  
Aurora (Argonne)  
... upgrades ...

## Collective Model

NCSM

Sp-NCSM

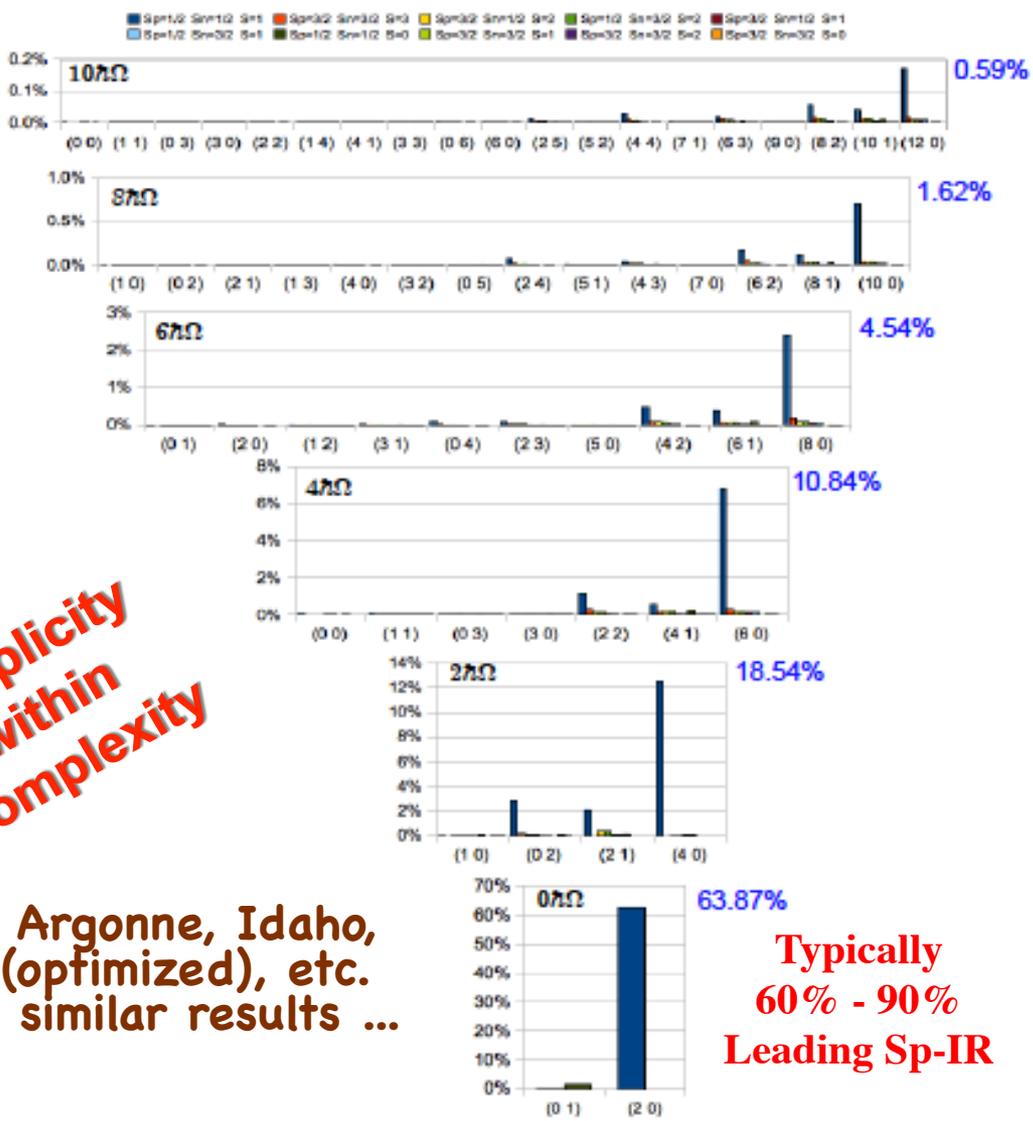
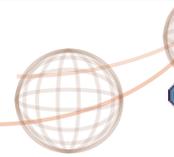
SA-NCSM

.....

Sp(3,R)

SU(3)

# First Results for ${}^6\text{Li}$ with $N_{\text{max}} = 10$



**Simplicity within Complexity**

\*Bonn, Argonne, Idaho, N3LO (optimized), etc. ... yield similar results ...

**Typically 60% - 90% Leading Sp-IR**

... Proof of Principle ...

> 99% of Physics In < 1% of the "reorganized" space

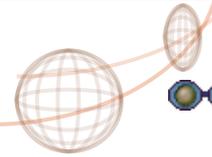
JISP16\* bare interaction in  $N_{\text{max}} = 10$  space with  $\hbar\Omega = 20$  MeV

... Team Work ...

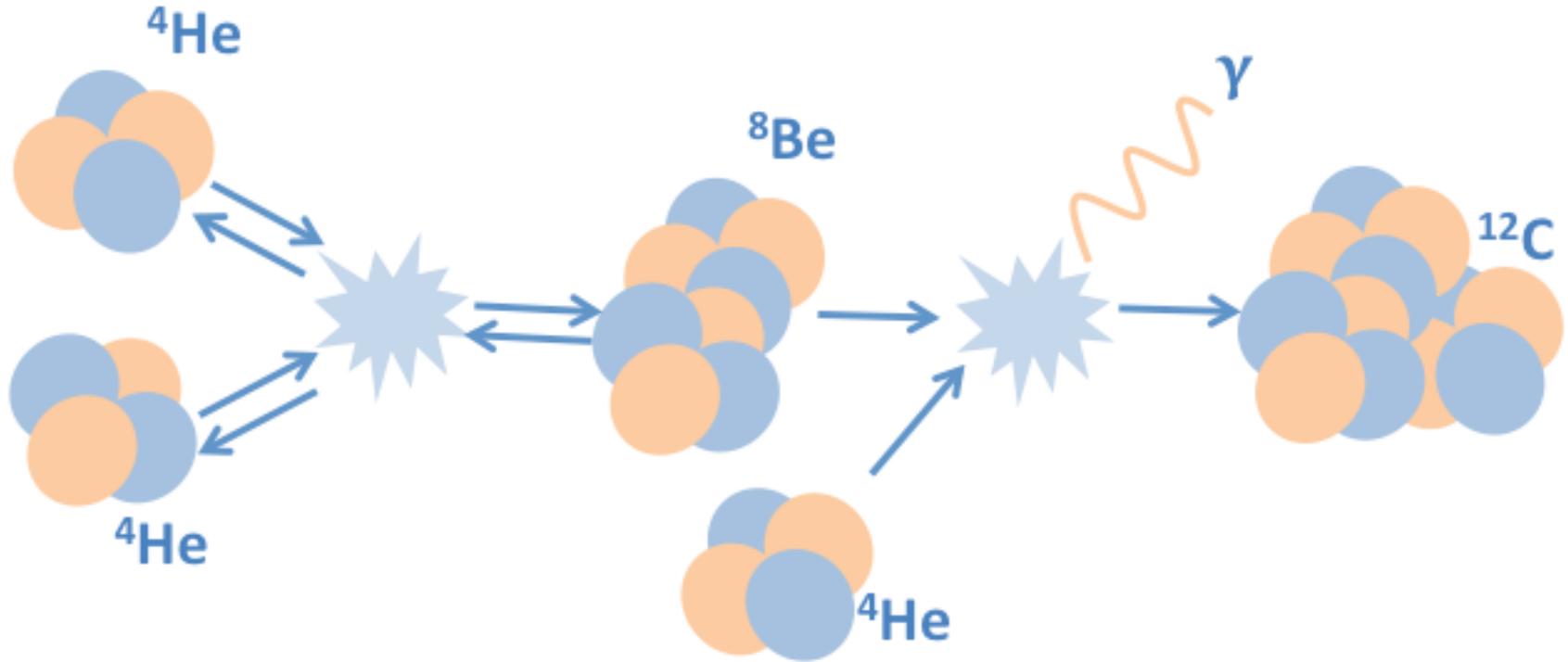
Many "helps" along the way ... e.g., James Vary making his NCSM available to us, Mark Caprio (ND) visiting LSU on a sabbatical, along with quality input from Anna Hayes of LANL, various collaborators from Bulgaria, China, Mexico, and so on. Also to NSF for a PetaApps award, and DOE for an EPSCoR grant, plus SURF for release time and financial assistance with postdoc team!



# Pushing NC-Shell / Cluster Connection

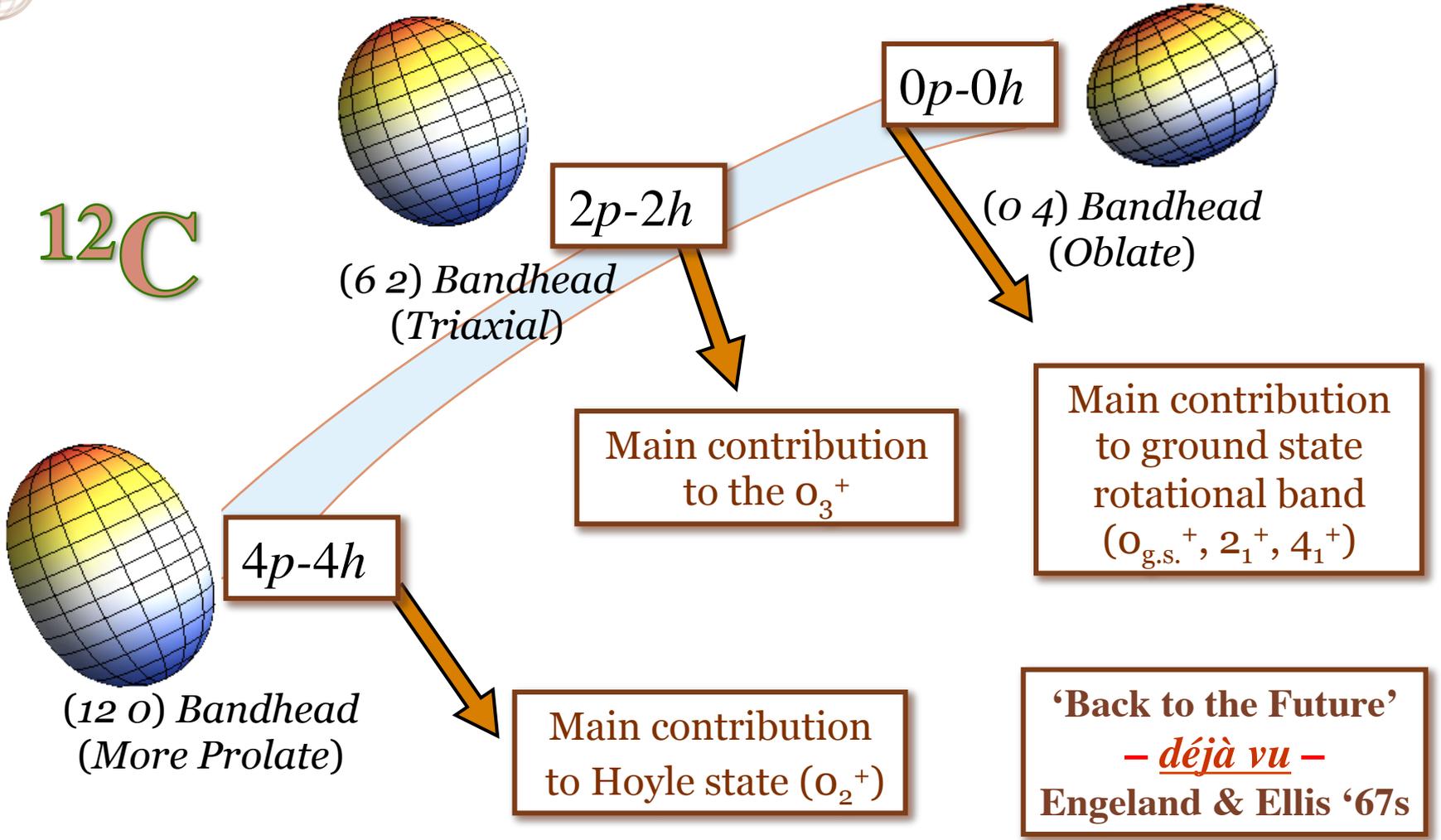
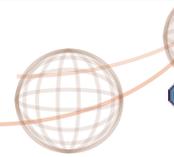


## Creation of $^{12}\text{C}$ in hot stars



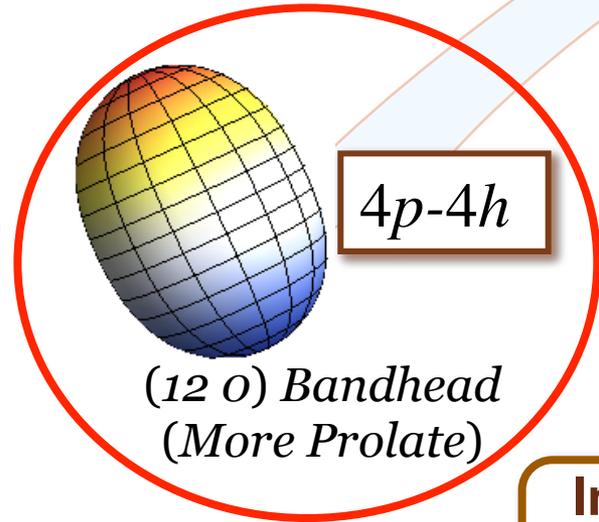
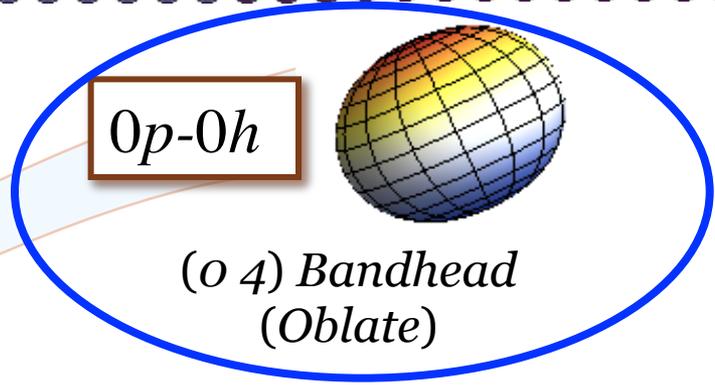
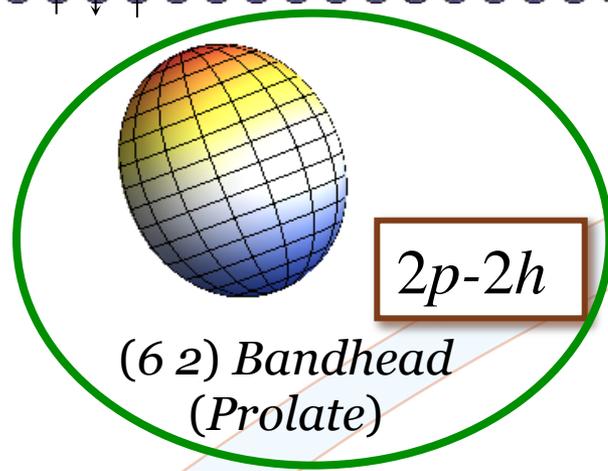
... The elusive Hoyle state ...

# Three Slice Scenario (legacy / modern)

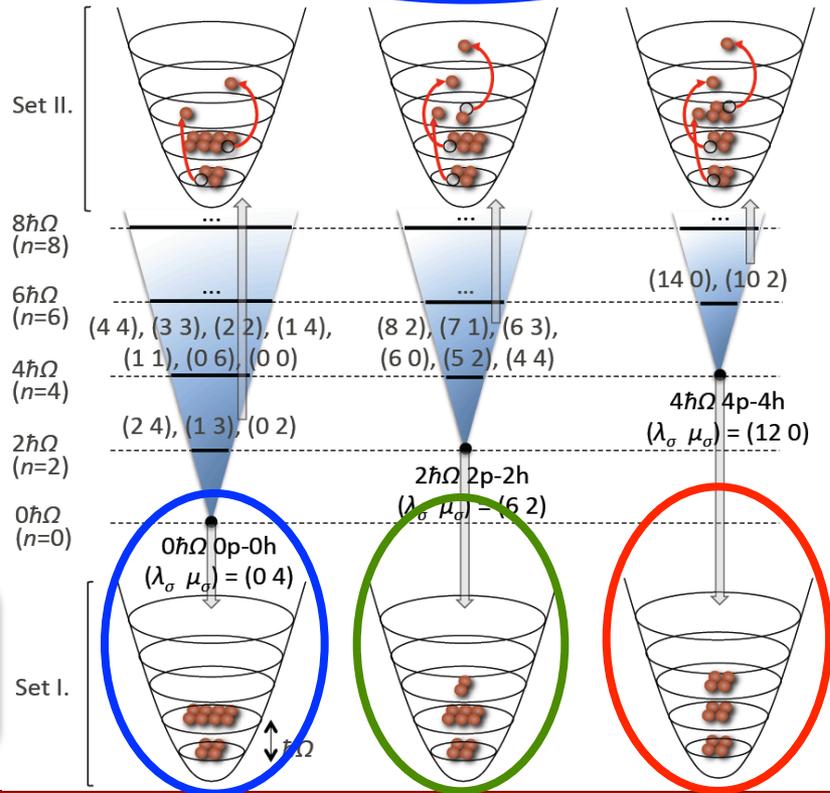


# Choose Three Slices (NCSpM View)

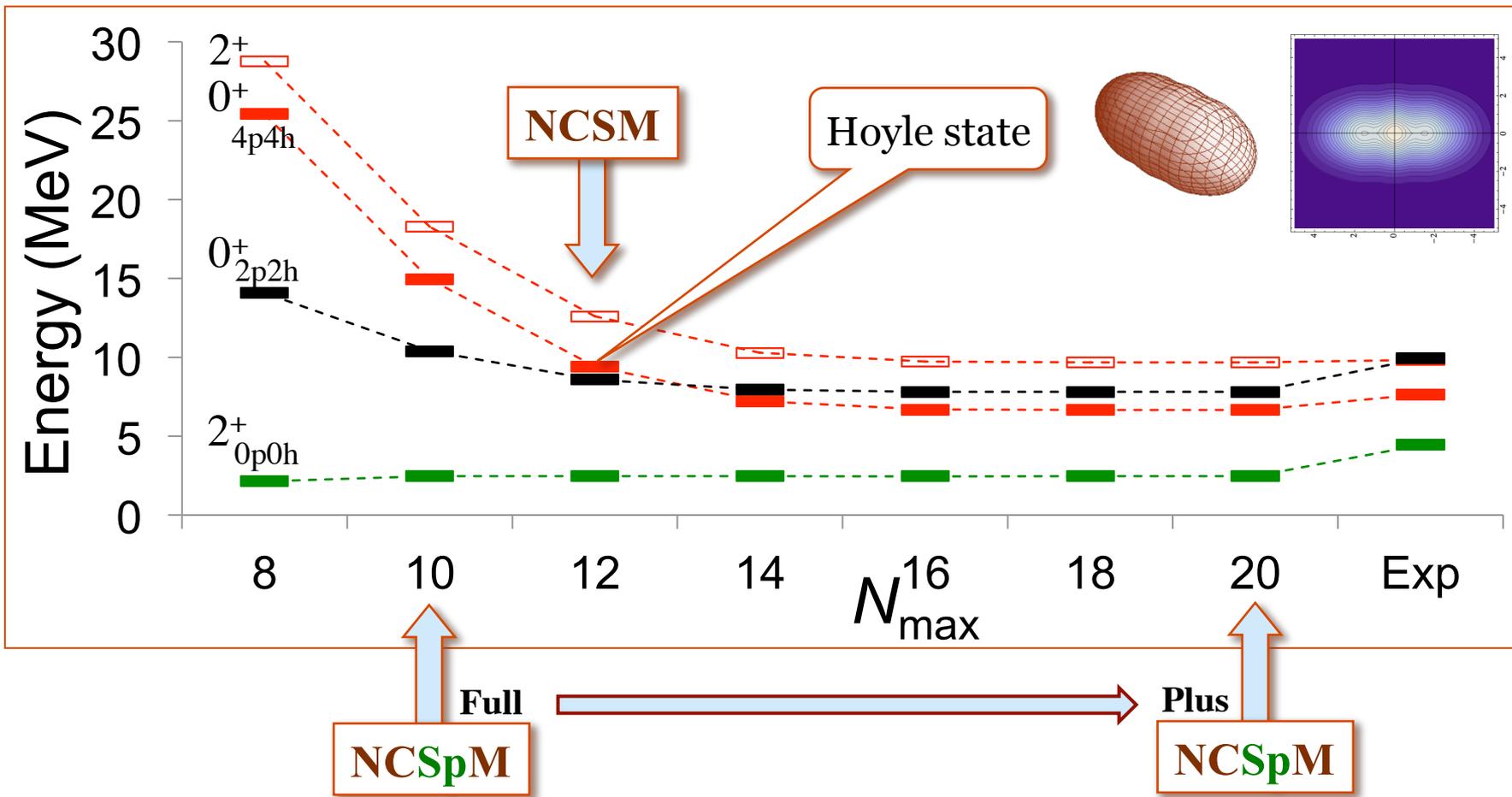
$^{12}\text{C}$



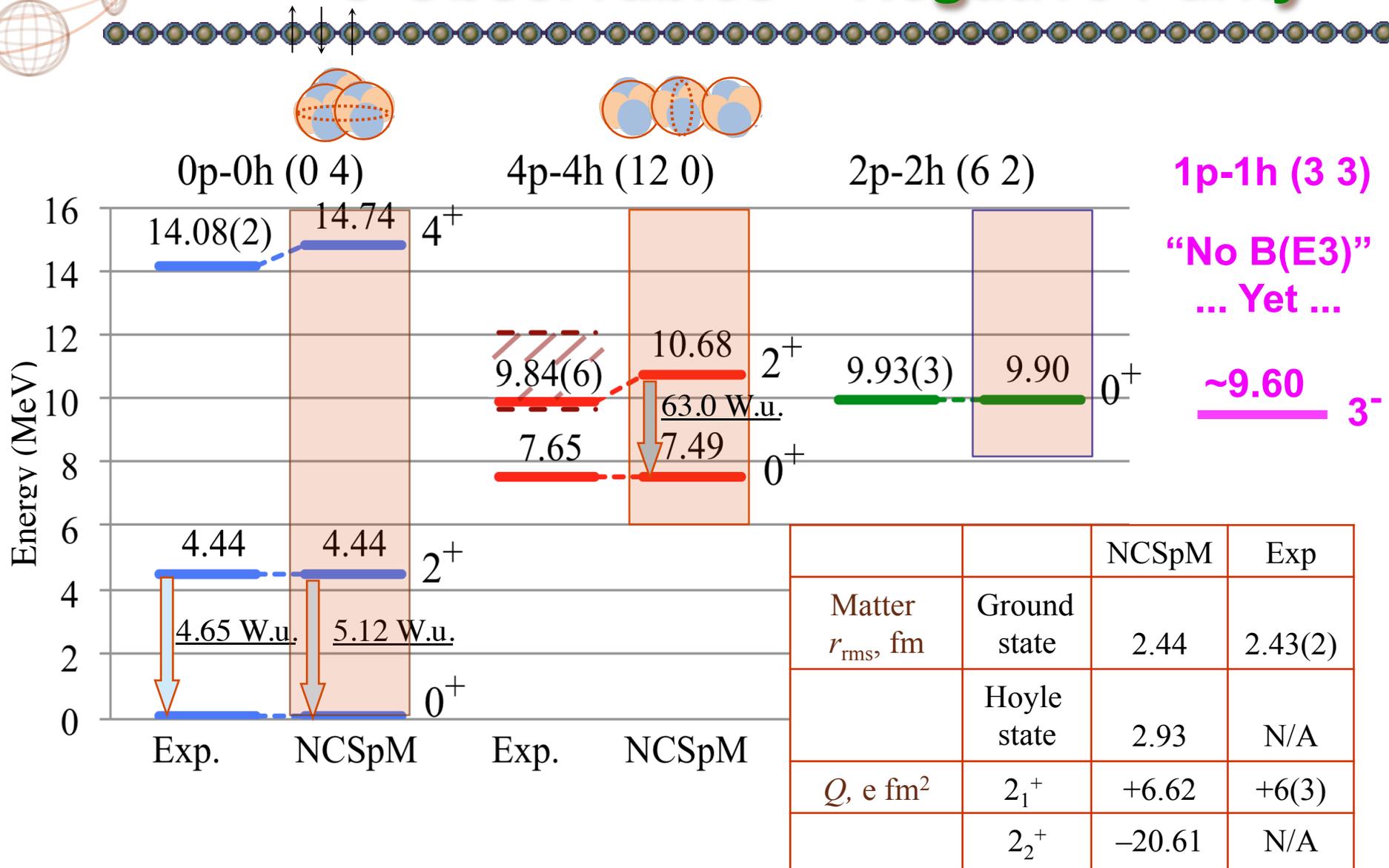
**Intertwining  
Shell & Alpha  
Cluster Picture**



# $^{12}\text{C}$ : Systematics (Function of $N_{\text{max}}$ )



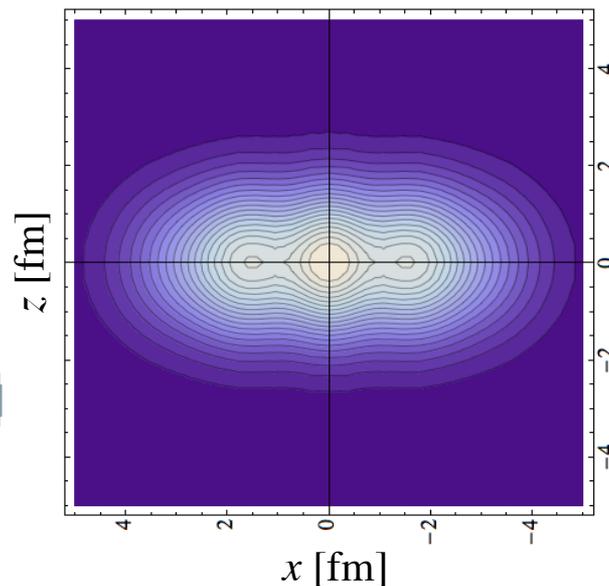
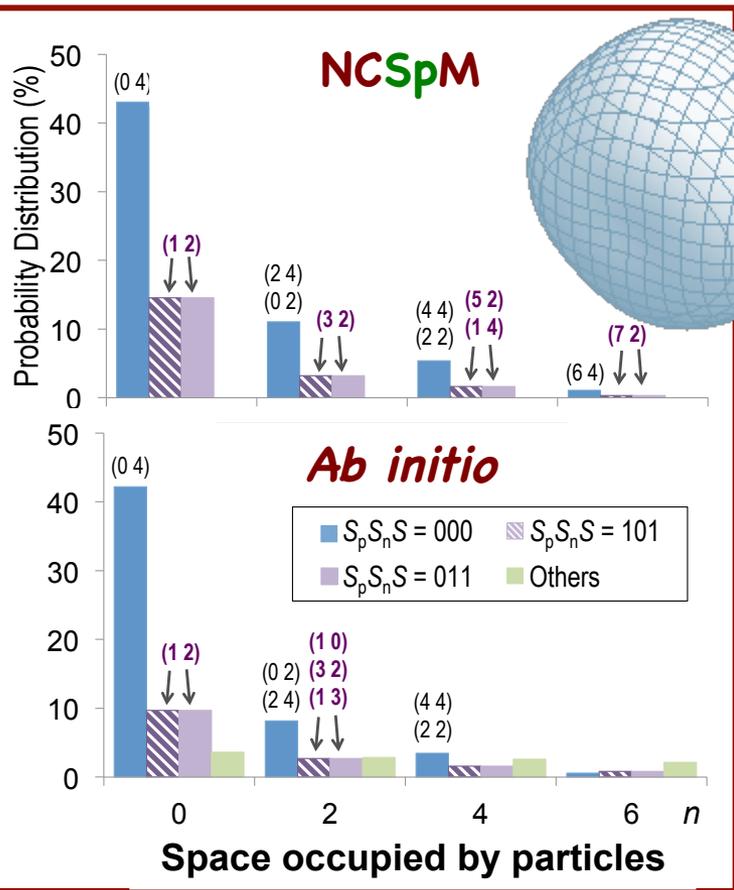
# <sup>12</sup>C Observables – Negative Parity



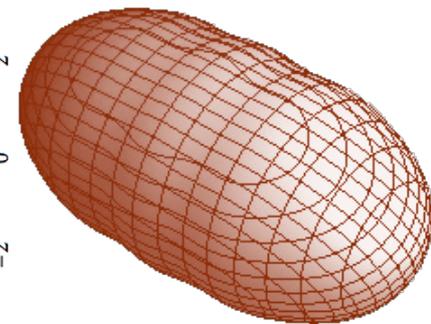
# Formation of Clusters!

## Ground state

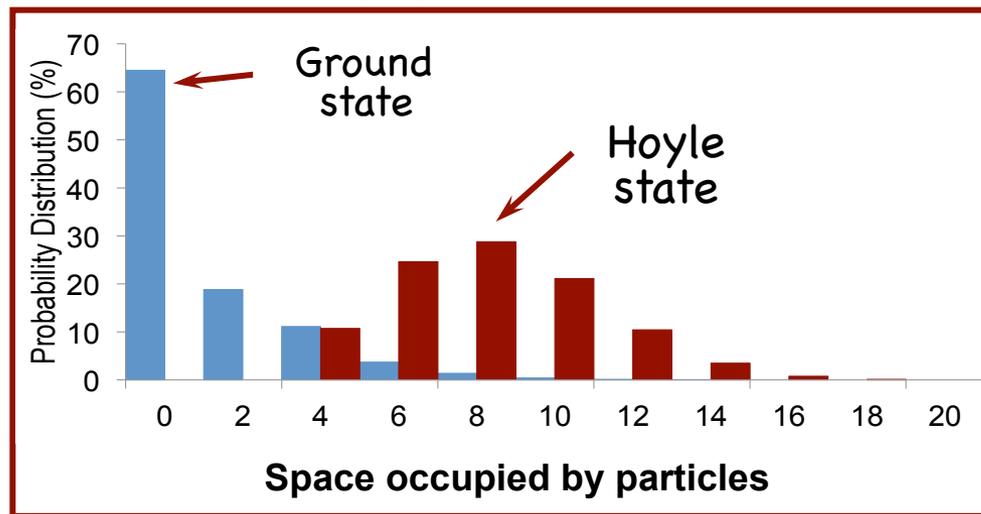
Agrees with first-principle results in smaller spaces



## Hoyle state



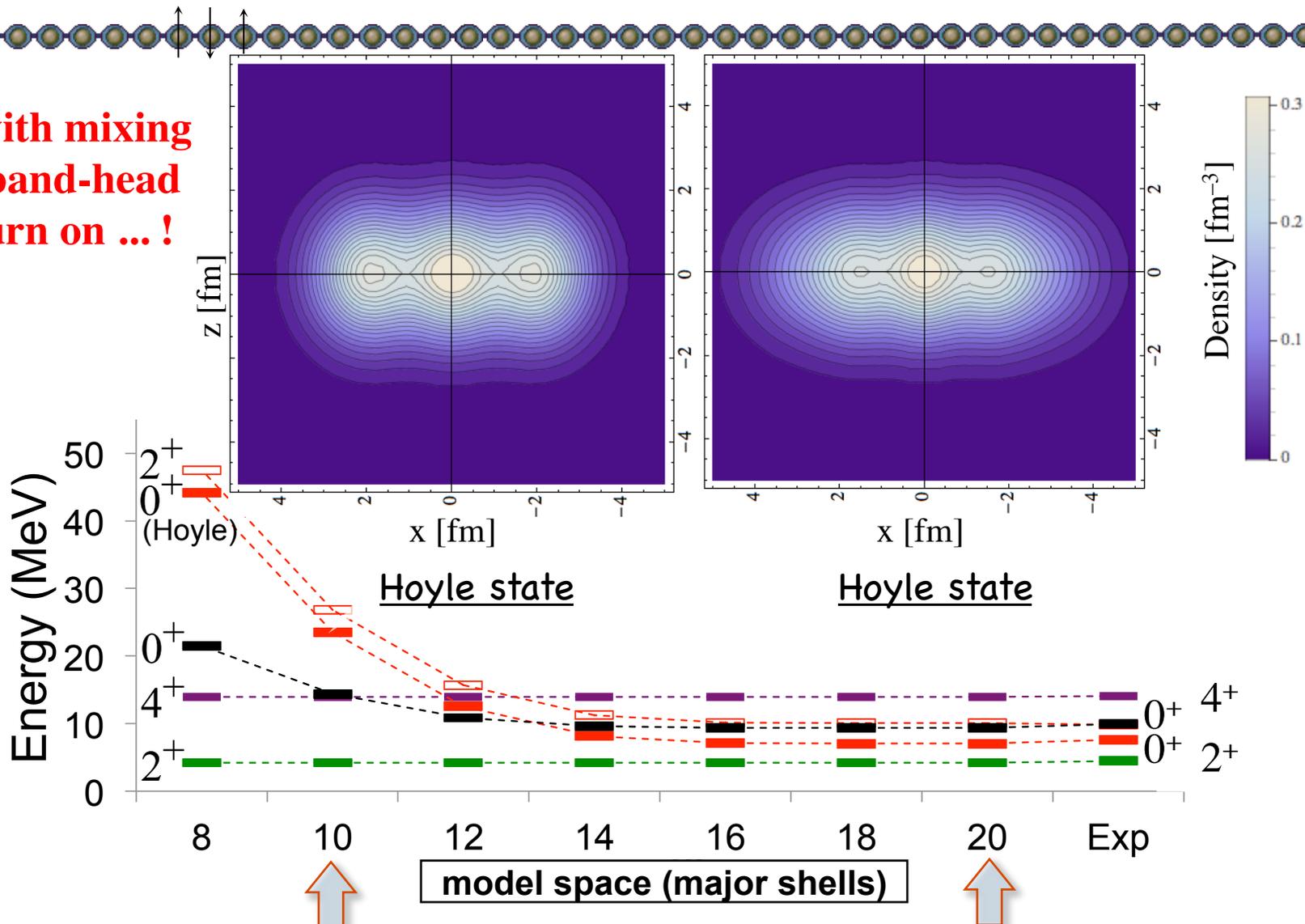
Needs at least 18-20 shells



# Formation of Clusters!

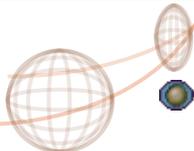


**\*Now with mixing at the band-head level turn on ... !**



Standard ab initio NCSM

**\* (NCSpM & Band Mixing)**



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Review

Symmetry-guided large-scale shell-model theory

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Hoyle state

Orderly patterns in nuclei from first principles

## ABSTRACT

In this review, we present a symmetry-guided strategy that utilizes exact as well as partial symmetries for enabling a deeper understanding of and advancing *ab initio* studies for determining the microscopic structure of atomic nuclei. These symmetries expose physically relevant degrees of freedom that, for large-scale calculations with QCD-inspired interactions, allow the model space size to be reduced through a very structured selection of the basis states to physically relevant subspaces. This can guide explorations of simple patterns in nuclei and how they emerge from first principles, as well as extensions of the theory beyond current limitations toward heavier nuclei and larger model spaces. This is illustrated for the *ab initio* symmetry-adapted no-core shell model (SA-NCSM) and two significant underlying symmetries, the symplectic  $Sp(3, \mathbb{R})$  group and its deformation-related  $SU(3)$  subgroup. We review the broad scope of nuclei, where these symmetries have been found to play a key role—from the light *p*-shell systems, such as  ${}^6\text{Li}$ ,  ${}^8\text{B}$ ,  ${}^8\text{Be}$ ,  ${}^{12}\text{C}$ , and  ${}^{16}\text{O}$ , and *sd*-shell nuclei exemplified by  ${}^{20}\text{Ne}$ , based on first-principle explorations; through the Hoyle state in  ${}^{12}\text{C}$  and enhanced collectivity in intermediate-mass nuclei, within a no-core shell-model perspective; up to strongly deformed species of the rare-earth and actinide regions, as investigated in earlier studies. A complementary picture, driven by symmetries dual to  $Sp(3, \mathbb{R})$ , is also discussed. We briefly review symmetry-guided techniques that prove useful in various nuclear-theory models, such as Elliott model, *ab initio* SA-NCSM, symplectic model, pseudo- $SU(3)$  and pseudo-symplectic models, *ab initio* hyperspherical harmonics method, *ab initio* lattice effective field theory, exact pairing-plus-shell model approaches, and cluster models, including the resonating-group method. Important implications of these approaches that have deepened our understanding of emergent phenomena in nuclei, such as enhanced collectivity, giant resonances, pairing, halo, and clustering, are discussed, with a focus on emergent patterns in the framework of the *ab initio* SA-NCSM with no *a priori* assumptions.

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... Also ...

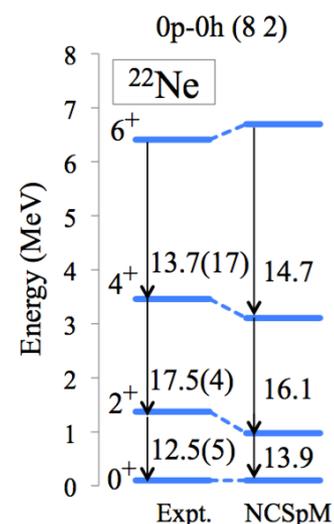
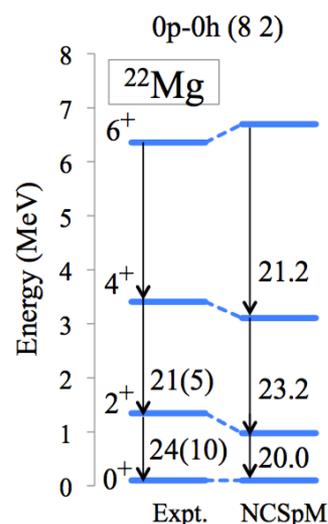
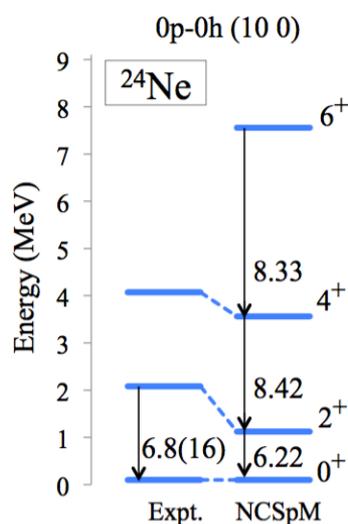
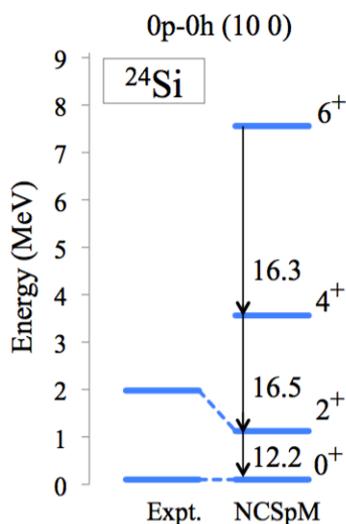
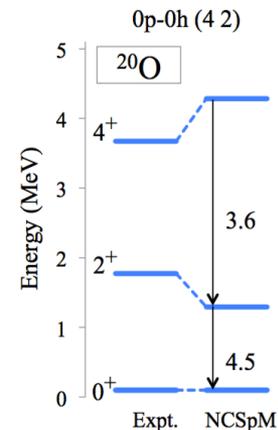
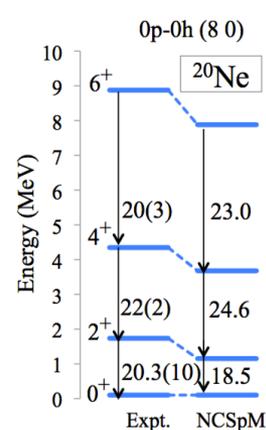
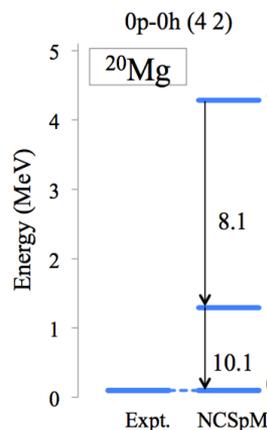
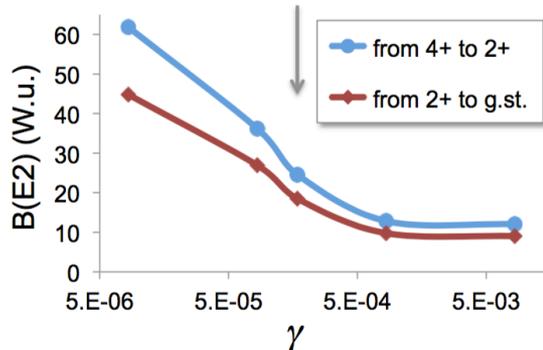
– Kristina Launey –  
"State of the Art in  
Nuclear Cluster  
Physics"  
(SOTANCP3)  
Yokohama, Japan  
May 26-30, 2014

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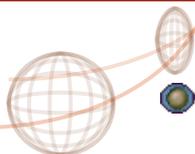
– Kristina Launey –  
"State of the Art in  
Nuclear Cluster  
Physics"  
(SOTANCP4)  
Galveston, Texas, USA  
May 13-18, 2018

# Medium Mass Nuclei (Gregory Tobin / REU)

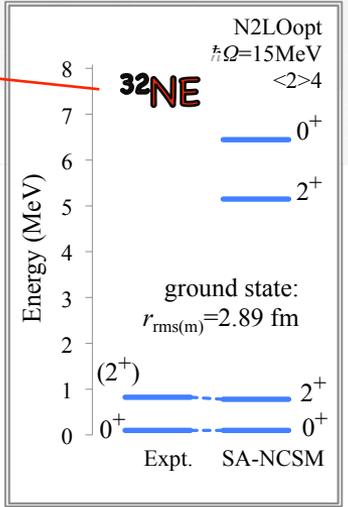
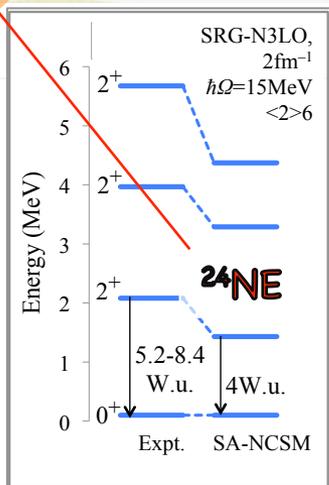
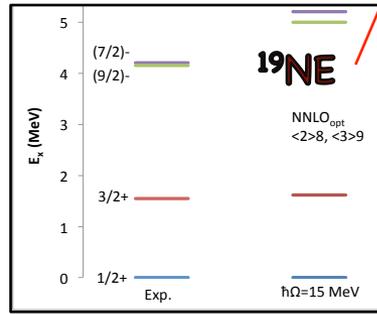
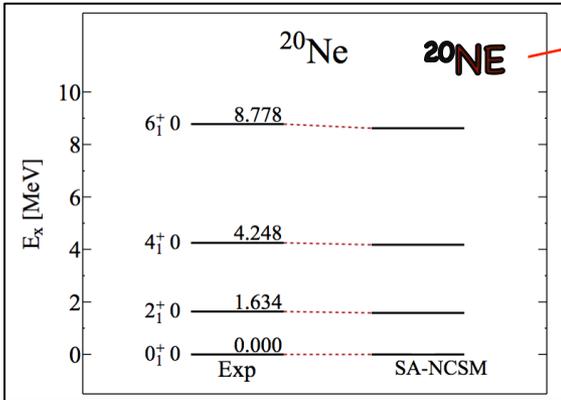
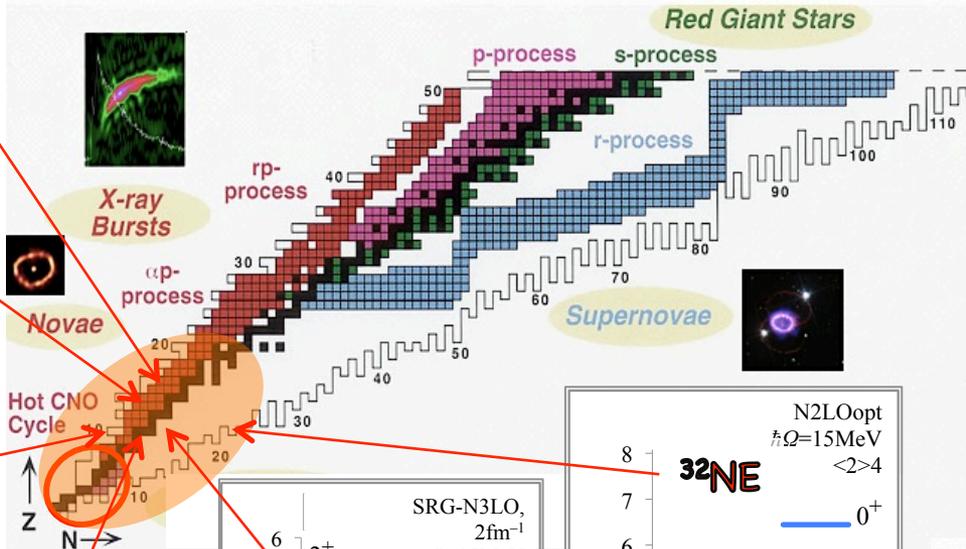
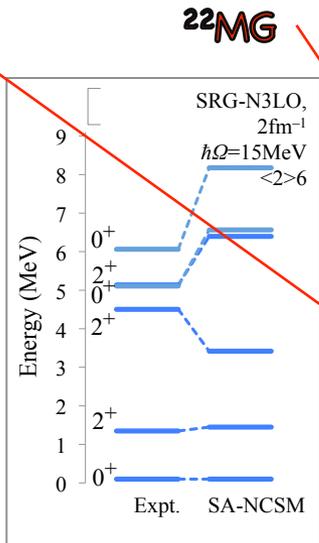
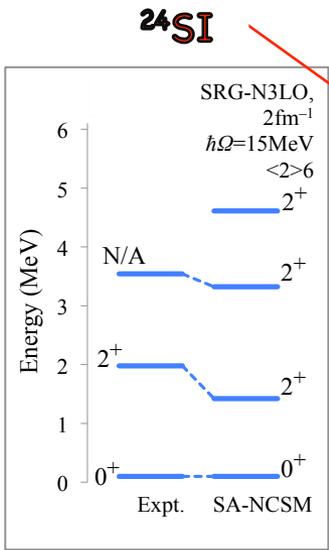
Ne-20: grey arrow is gamma used



# Further sd-shell Results (Robert Baker / GS)



Selected (pre-thesis) Examples  
(Now onto Beta Decay)

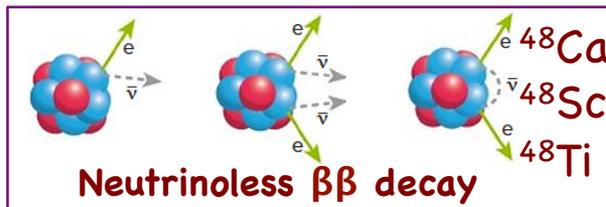


Ab initio description (converged selected spaces) (NNLO<sub>opt</sub>,  $\hbar\Omega=15$  MeV, 13 HO shells)



# Plus fp-shell Results (Grigor Sargsyan / GS)

$^{48}\text{Ca}$



$^{48}\text{Ti}$

**8 shells, N2LOopt**

$0^+$

SA-NCSM (selected): ..... 966,152

Complete model space: ..... 3,162,511,819

$2^+$

SA-NCSM (selected): ..... 3,055,554

Complete model space: ... 14,522,234,982

**8 shells, N2LOopt**

$0^+$

SA-NCSM (selected): ..... 602,493

Complete model space: .... 24,694,678,414

$2^+$

SA-NCSM (selected): ..... 1,178,834

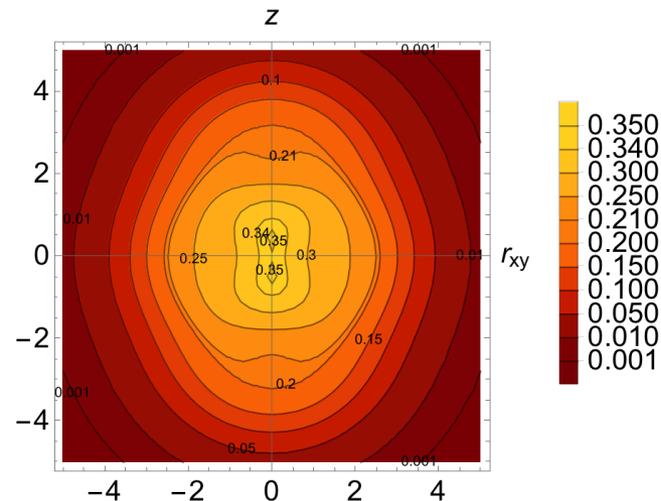
Complete model space: .... 113,920,316,658

$^{48}\text{Ti}$ ,  $Q(2^+)$  [ $e \text{ fm}^2$ ]

-----  
Experiment ..... -17.7

8 shells ..... -19.3

**(no effective charges)**



## Nucleosynthesis: Type I X-Ray Burst

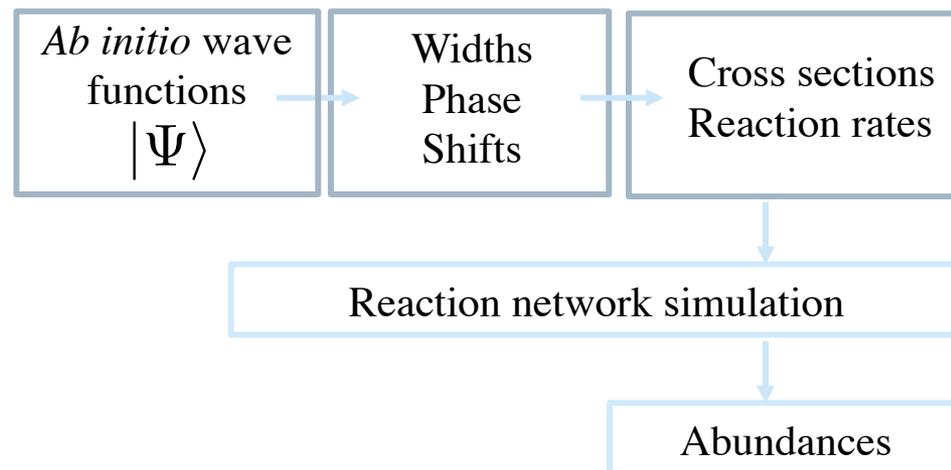
TABLE 2

REACTIONS THAT IMPACT THE BURST LIGHT CURVE  
IN THE MULTI ZONE X-RAY BURST MODEL.

Rank	Reaction	Type <sup>a</sup>	Sensitivity <sup>b</sup>	Category
1	$^{15}\text{O}(\alpha,\gamma)^{19}\text{Ne}$	D	16	1
2	$^{56}\text{Ni}(\alpha,p)^{59}\text{Cu}$	U	6.4	1
3	$^{59}\text{Cu}(p,\gamma)^{60}\text{Zn}$	D	5.1	1
4	$^{61}\text{Ga}(p,\gamma)^{62}\text{Ge}$	D	3.7	1
5	$^{22}\text{Mg}(\alpha,p)^{25}\text{Al}$	D	2.3	1
6	$^{14}\text{O}(\alpha,p)^{17}\text{F}$	D	5.8	1
7	$^{23}\text{Al}(p,\gamma)^{24}\text{Si}$	D	4.6	1
8	$^{16}\text{Ne}(\alpha,p)^{21}\text{Na}$	U	1.8	1
9	$^{63}\text{Ga}(p,\gamma)^{64}\text{Ge}$	D	1.4	2
10	$^{19}\text{F}(p,\alpha)^{16}\text{O}$	U	1.3	2
11	$^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$	U	2.1	2
12	$^{26}\text{Si}(\alpha,p)^{29}\text{P}$	U	1.8	2
13	$^{17}\text{F}(\alpha,p)^{20}\text{Ne}$	U	3.5	2
14	$^{24}\text{Mg}(\alpha,\gamma)^{28}\text{Si}$	U	1.2	2
15	$^{57}\text{Cu}(p,\gamma)^{58}\text{Zn}$	D	1.3	2
16	$^{60}\text{Zn}(\alpha,p)^{63}\text{Ga}$	U	1.1	2
17	$^{17}\text{F}(p,\gamma)^{18}\text{Ne}$	U	1.7	2
18	$^{40}\text{Sc}(p,\gamma)^{41}\text{Ti}$	D	1.1	2
19	$^{48}\text{Cr}(p,\gamma)^{49}\text{Mn}$	D	1.2	2

Simulations for XRB are sensitive to certain reaction rates

- $^{23}\text{Al}(p,\gamma)^{24}\text{Si}$
- improve rate precision to improve simulations



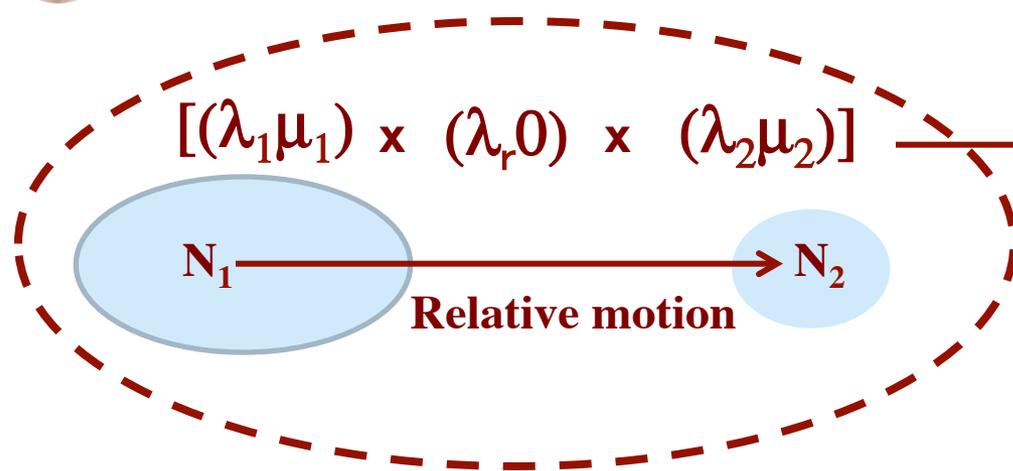
<sup>a</sup> Up (U) or down (D) variation that has the largest impact

<sup>b</sup>  $M_{LC}^{(i)}$  in units of  $10^{38}$  ergs/s

# Scattering Theory (Alexis Mercenne / PDoc)



## SA-NCSM + RGM

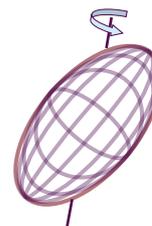


$(\lambda, \mu)$



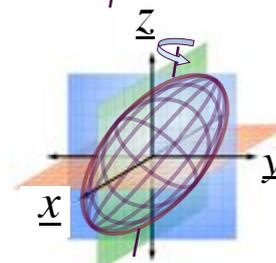
Deformation

L



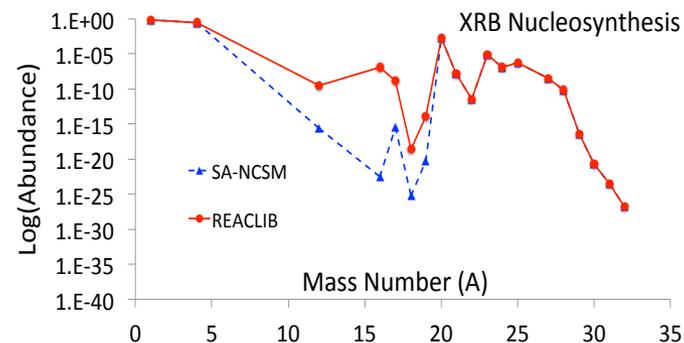
Rotations

M

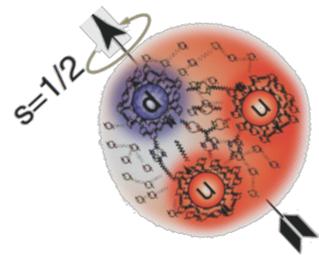
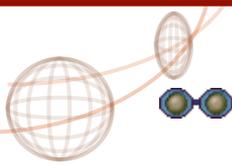


Spatial Orientation

- Deformation is the only relevant information
- All calculations prior to R-matrix use the SA basis/deformation, that is the SU(3) symmetry and corresponding Wigner-Eckart theorem:
  - Norm and Hamiltonian Kernel
  - CM treatment
  - Inversion of the Norm Kernel
- Dependence on orbital momentum (and partial waves) needed to compute cross section, which is introduced at the last step



# Future Considerations



## Jefferson Lab 12GeV Era

- Excited States of the Nucleon
- Electric Charge vs Magnetic Distribution
- Total Spin -> Angular Momentum plus Quark Spin

## "Femtography of Nucleon"

"Normal Concept"

versus

"Pseudo Concept"

$$\bar{L} + \bar{S} = \bar{J} = \tilde{L} + \tilde{S}$$

## "Collusion within & among Nucleons"



# 2<sup>nd</sup> Decade (& Beyond) of 21<sup>st</sup> Century



- Robust stand-alone SA-NCSM code, publicly available (... tunable to available computational resources ...)
- Designed to handle up to 3-body & 4-body interactions (... important for studying 3 & 4-particle correlations ...)
- Push forward on the Sp-NCSM – Hybrid model  $N^{\text{plus}}[N_{\text{full}}]$  (... including band-head symplectic symmetry mixing...)
- Continue SA-NCSM development & applications – laptop version ( ${}^6\text{Li}$ ,  ${}^X\text{C}$ ,  ${}^X\text{O}$ ,  ${}^X\text{Ne}$ ,  ${}^X\text{Mg}$  ... odd-A, and greater A...)

**Next Up ... Deformed Versions ... DSp-NCSM & DSA-NCSM**



... Future is Golden ...

**The End**  
**&**  
**A New Beginning**

---

**“Back to the Future”**

**... Déjà-vu ...**

**[Armed with many more tools; especially, simple symmetries and special (unique) collaborations!]**

