

# *J-matrix analysis of resonant states in the shell model*

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# *Highlights*

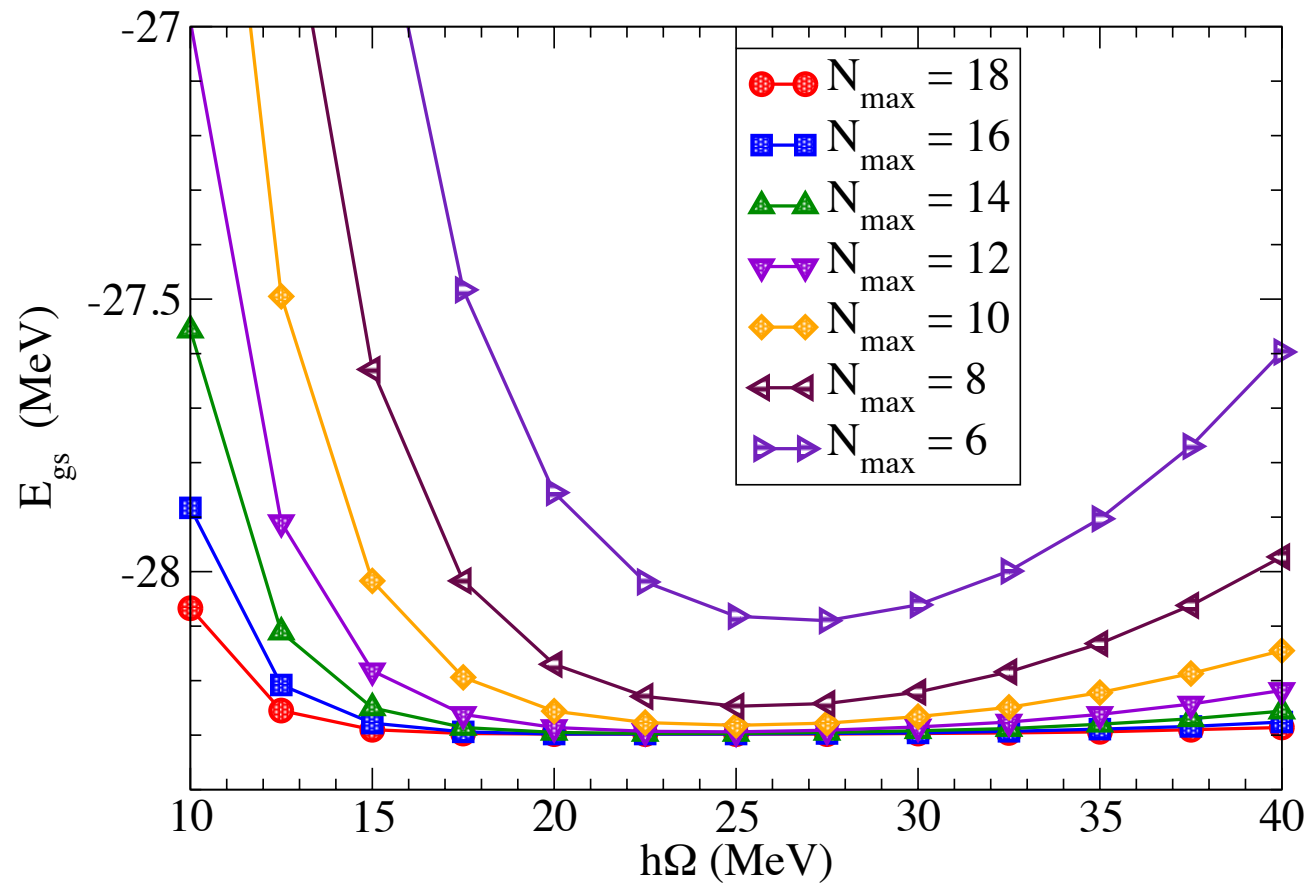
*some general properties for oscillator basis calculations;  
this is the only relevance to NCSM*

- ✓ Motivation
- ✓  $J$ -matrix formalism
- ✓ resonance information from  $E_v$

*$J$ -matrix  $\longleftrightarrow$  NCSM:  $N\alpha$  scattering*

# Motivation

$^4\text{He}$  ground state

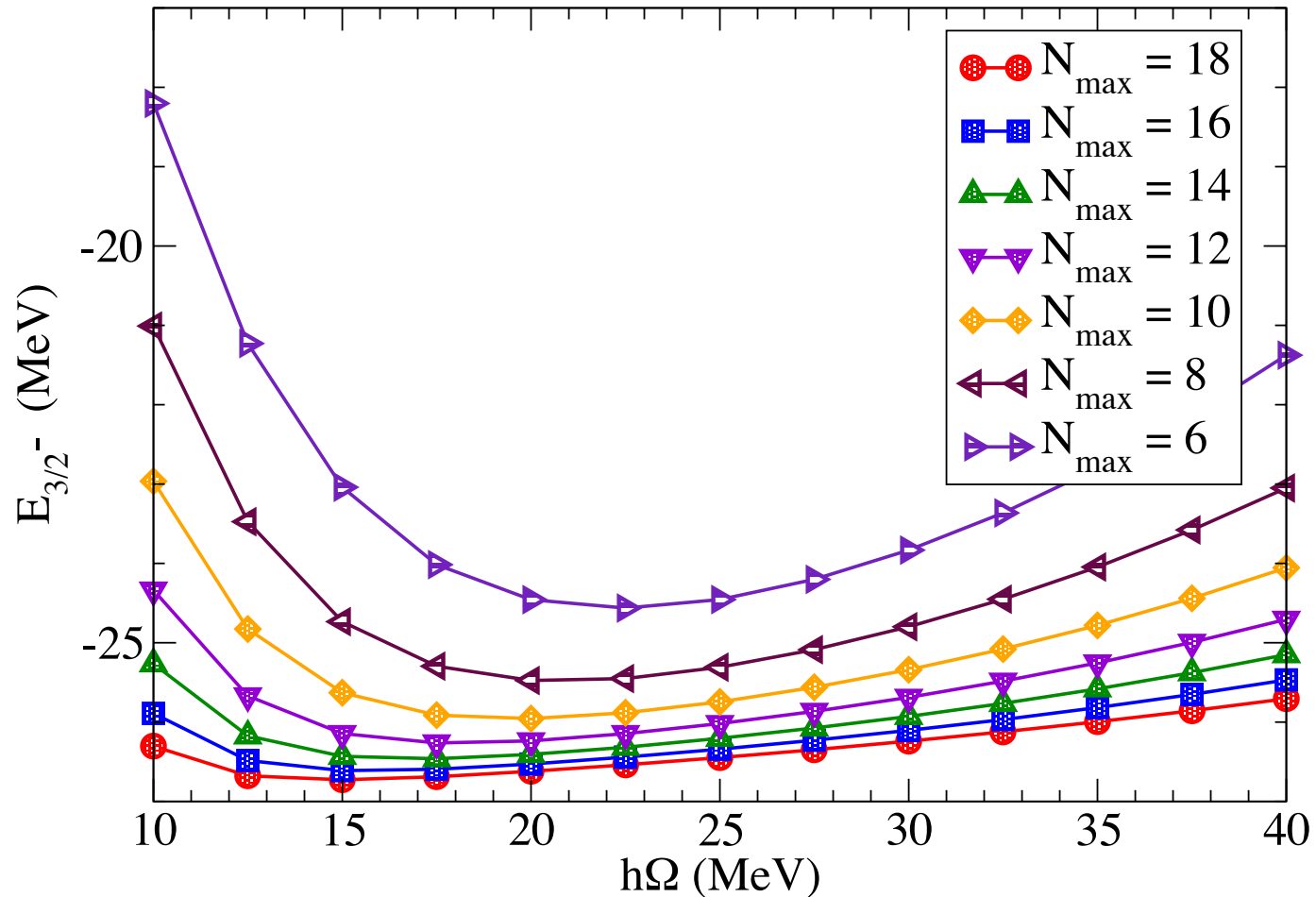


Conventional:

*bound state energies are associated with  
variational minimum in shell model calculations*

# Motivation

${}^5\text{He}$   $3/2^-$  state



*Can we extract resonance energy and width from calculations with oscillator basis?*

# *J-matrix*

**Schrödinger equation:**

$$H^l u_l(E, r) = E u_l(E, r).$$

**Expansion:**

$$u_l(E, r) = \sum_{n=0}^{\infty} a_{nl}(E) R_{nl}(r).$$

$$R_{nl}(r) = (-1)^n \sqrt{\frac{2n!}{r_0 \Gamma(n+l+3/2)}} \left(\frac{r}{r_0}\right)^{l+1} \exp\left(-\frac{r^2}{2r_0^2}\right) L_n^{l+1/2}\left(\frac{r^2}{r_0^2}\right)$$

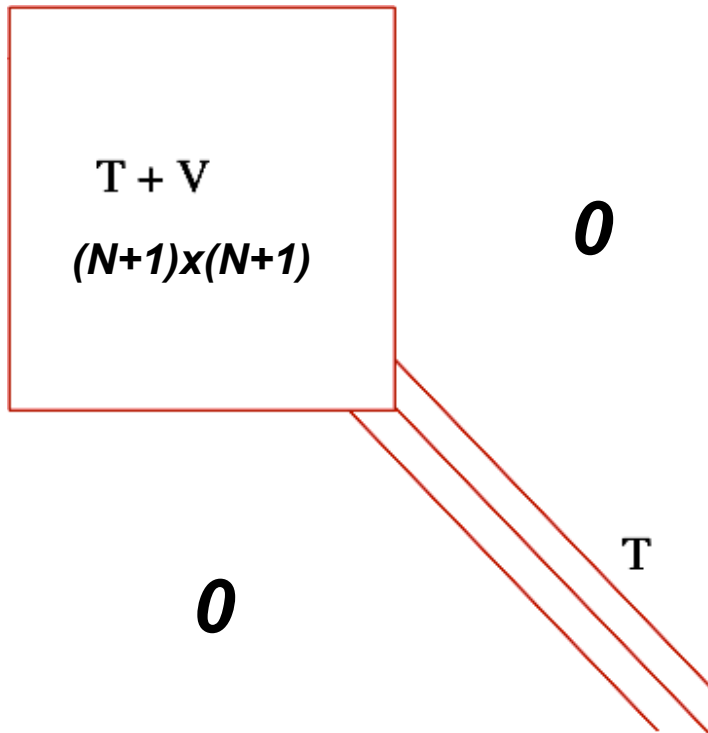
$$r_0 = \sqrt{\hbar / m\Omega}, \quad \hbar\Omega \quad \text{is oscillator parameters, } m \text{ is reduced mass.}$$

$$E = (q^2 / 2) \hbar\Omega \quad - \text{c.m. energy,} \quad q = kr_0 \quad - \text{dimensionless momentum.}$$

$$\sum_{n'=0}^{\infty} (H_{nn'}^l - \delta_{nn'} E) a_{n'l}(E) = 0.$$

# J-matrix

## Structure of Hamiltonian:



## Infinite Hamiltonian matrix:

$$H_{nn'}^l = T_{nn'}^l + V_{nn'}^l$$

### Non-zero kinetic energy matrix elements:

$$T_{nn}^l = \frac{\hbar\Omega}{2}(2n + l + 3/2)$$

$$T_{nn+1}^l = T_{n+1n}^l = -\frac{\hbar\Omega}{2}\sqrt{(n+1)(n+l+3/2)}$$

$$T_{nn'}^l \sim n$$

$$V_{nn'}^l - \text{decrease with } n, n' \rightarrow \infty$$



### Truncated potential matrix:

$$\tilde{V}_{nn'}^l = \begin{cases} V_{nn'}^l & \text{if } n \text{ and } n' \leq N; \\ 0 & \text{if } n \text{ or } n' > N. \end{cases}$$

# *J-matrix*

**Phase shift:**

$$\tan \delta(E) = - \frac{S_{Nl}(E) - G_{NN}(E) T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - G_{NN}(E) T_{N,N+1}^l C_{N+1,l}(E)}$$

***Regular and irregular oscillator solutions***

$$S_{nl}(E) = \sqrt{\frac{2r_0 n!}{\Gamma(n+l+3/2)}} q^{l+1} \exp\left(-\frac{q^2}{2}\right) L_n^{l+1/2}(q^2) ,$$

$$C_{nl}(E) = \sqrt{\frac{2r_0 n!}{\Gamma(n+l+3/2)}} \frac{\Gamma(l+1/2)}{\pi q^l} \exp\left(-\frac{q^2}{2}\right) \Phi(-n-l-1/2, -l+1/2; q^2) .$$

$$\sum_{n=0}^{\infty} S_{nl}(E) R_{nl}(r) = \sqrt{\frac{2}{\pi}} kr j_l(kr),$$

$$\sum_{n=0}^{\infty} C_{nl}(E) R_{nl}(r) \rightarrow -\sqrt{\frac{2}{\pi}} kr n_l(kr).$$

$$E = \frac{q^2}{2} \hbar \Omega$$

# *J-matrix*

**Phase shift:**

$$\tan \delta(E) = - \frac{S_{Nl}(E) - G_{NN}(E) T_{N,N+1}^l S_{N+1,l}(E)}{C_{Nl}(E) - G_{NN}(E) T_{N,N+1}^l C_{N+1,l}(E)}$$

$$G_{NN}(E) = - \sum_{\nu=0}^N \frac{\langle N | \nu \rangle^2}{E_{\nu} - E}$$

$$E_{\nu}, \langle n | \nu \rangle \quad (\nu = 0, 1, \dots, N)$$

**are obtained from**

$$\sum_{n'=0}^N H_{nn'}^l \langle n' | \nu \rangle = E_{\nu} \langle n | \nu \rangle, \quad n \leq N$$

***All NCSM states are needed that is impossible to obtain***

# *J-matrix*

**S-matrix:**

$$S(E) = \frac{C_{N,l}^{(-)}(E) - G_{NN}(E)T_{N,N+1}^l C_{N+1,l}^{(-)}(E)}{C_{N,l}^{(+)}(E) - G_{NN}(E)T_{N,N+1}^l C_{N+1,l}^{(+)}(E)}$$

$$C_{nl}^{(\pm)}(E) = C_{nl}(E) \pm i S_{nl}(E)$$

$$\sum_{n=0}^{\infty} C_{nl}^{(\pm)}(E) R_{nl}(r) \rightarrow \sqrt{\frac{2}{\pi}} k r h_l^{(\pm)}(k r).$$

# *J-matrix*

**$E = E_v$ :**



$$\delta(E_v) = -\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right)$$



$$S(E_v) = \frac{C_{N+1,l}^{(-)}(E_v)}{C_{N+1,l}^{(+)}(E_v)}$$

***Only one eigenvalue  $E_v$  is an input!***

$E_v(\hbar\Omega)$ ,  $\hbar\Omega$  – continuous parameter



$\delta(E)$ ,  $S(E)$

# *J-matrix*

*universal function*

$$f_{N+1,l}(E) = -\arctan\left(\frac{S_{N+1,l}(E)}{C_{N+1,l}(E)}\right)$$

$$\delta(E_v) = f_{N+1,l}(E_v)$$

*asymptotics*

$$N \gg q$$

$$z \rightarrow \infty$$

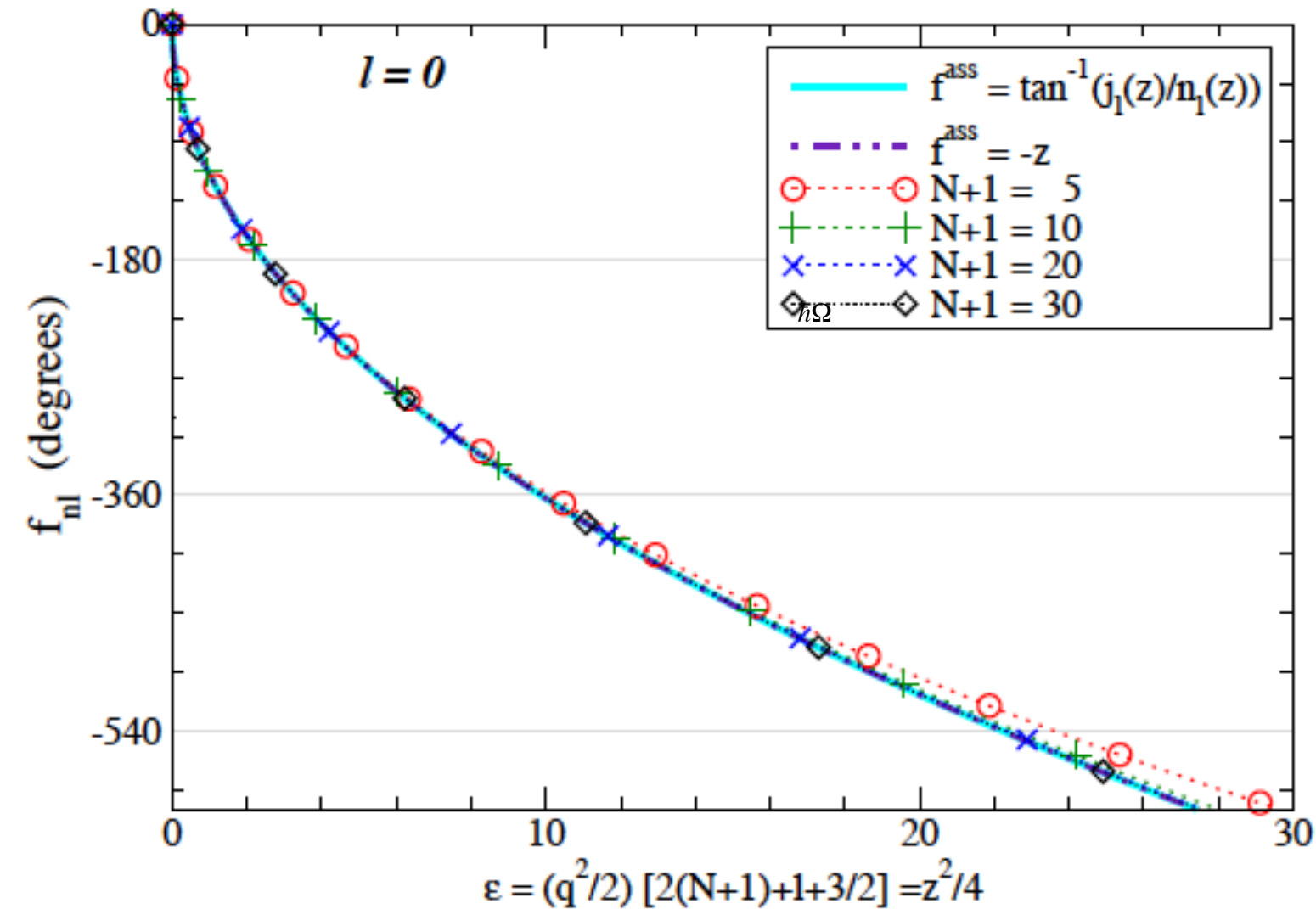
$$f_{N+1,l}(E_v) = \delta(E_v) \approx \arctan\left(\frac{j_l(z_v)}{n_l(z_v)}\right) \approx \frac{\pi l}{2} - z_v$$

$$z_v = 2q_v \sqrt{(N+1) + l/2 + 3/4}$$

# *J-matrix*

*universal function*

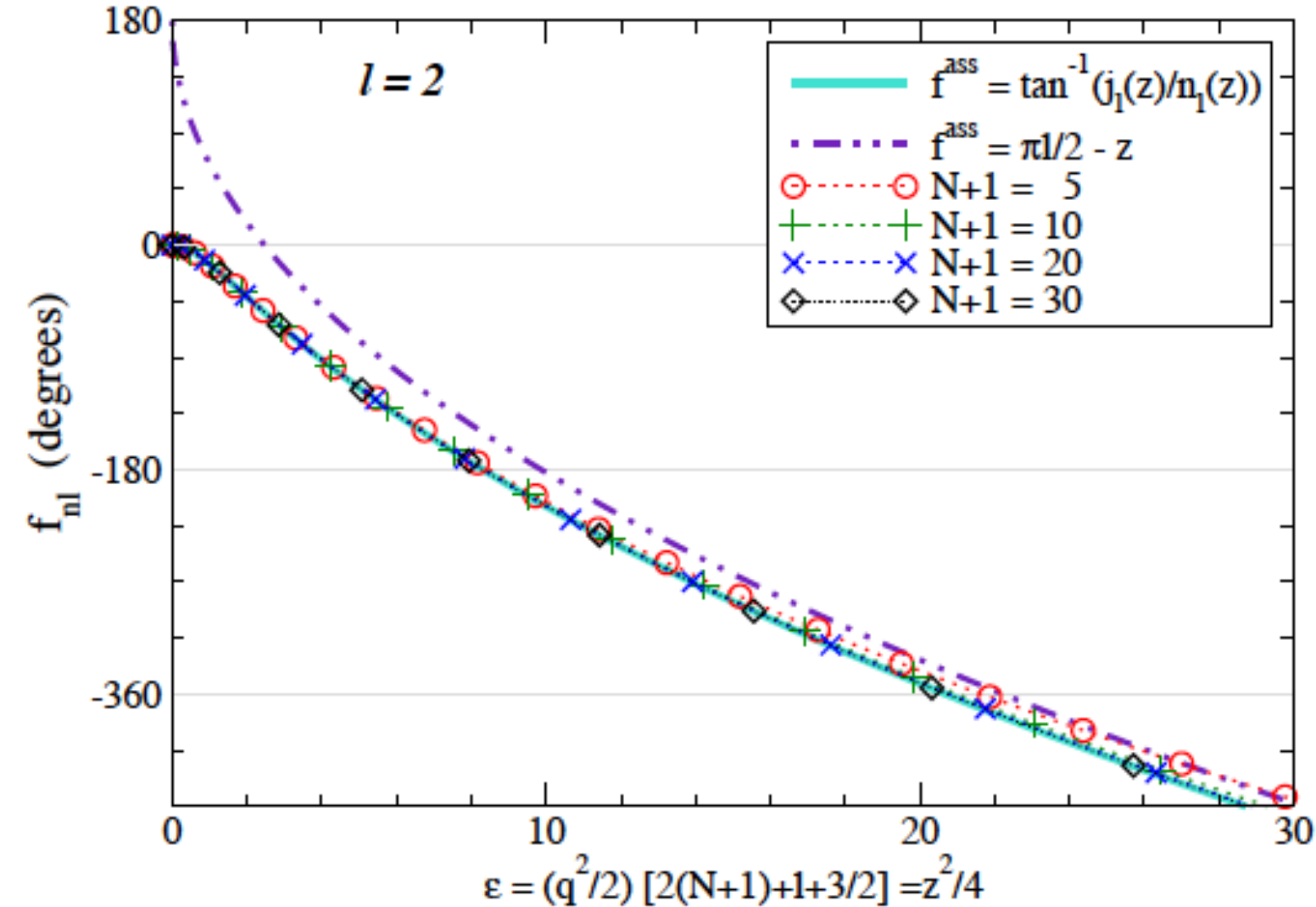
$$f_{N+1,l} = -\arctan\left(\frac{S_{N+1,l}(E)}{C_{N+1,l}(E)}\right)$$



# *J-matrix*

*universal function*

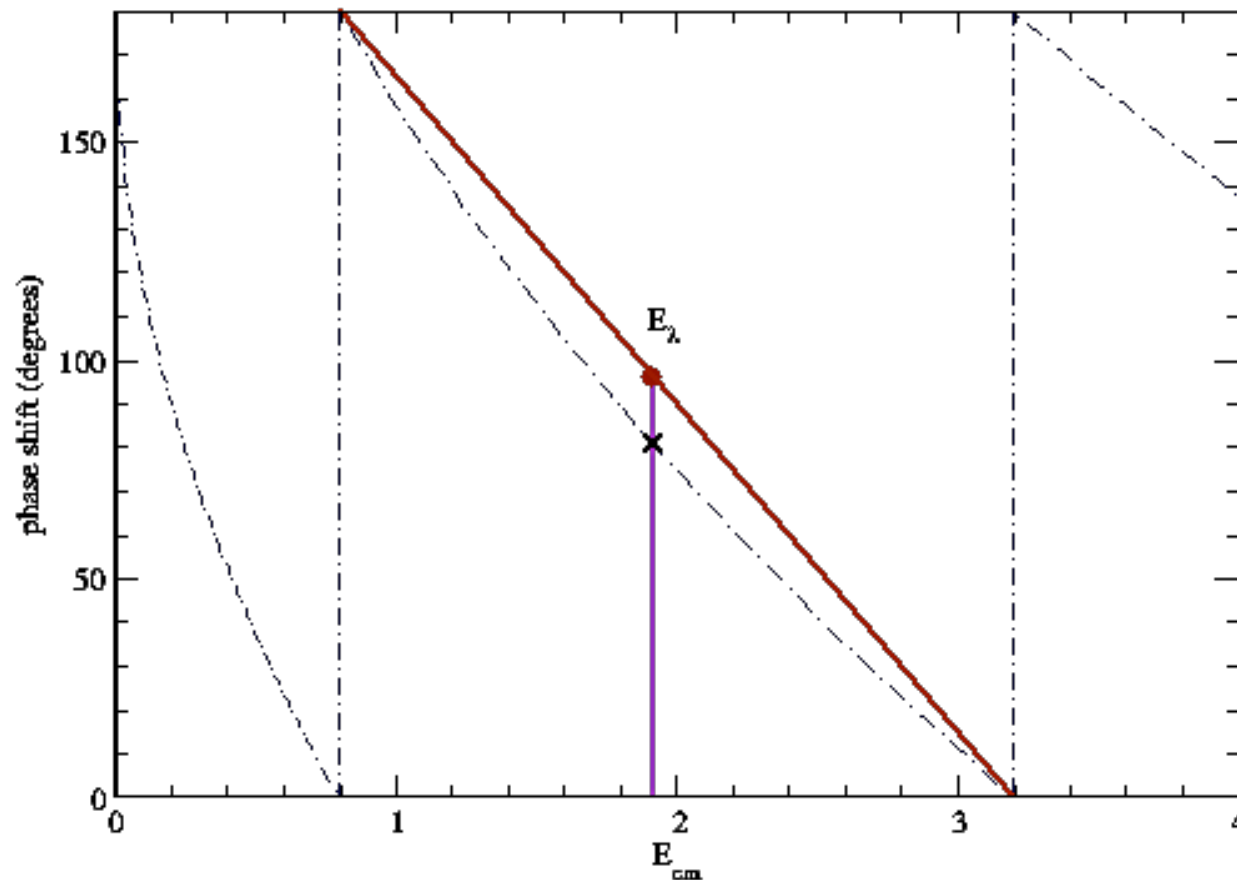
$$f_{N+1,l} = -\arctan\left(\frac{S_{N+1,l}(q)}{C_{N+1,l}(q)}\right)$$



# *J-matrix*

## *compare J-matrix with Lifshitz*

- I. M. Lifshitz (1947).
- O. Rubtsova, V. Kukulín, V. Pomerantsev, JETP Lett. 90, 402 (2009); Phys. Rev. C 81, 064003 (2010).



*Lifshitz:* ●

$$H = H^0 + V$$

$$\delta(E_\lambda) = -\pi \frac{E_\lambda - E_j^0}{D_j}$$

$$D_j = E_{j+1}^0 - E_j^0$$

*J-matrix:* ✕

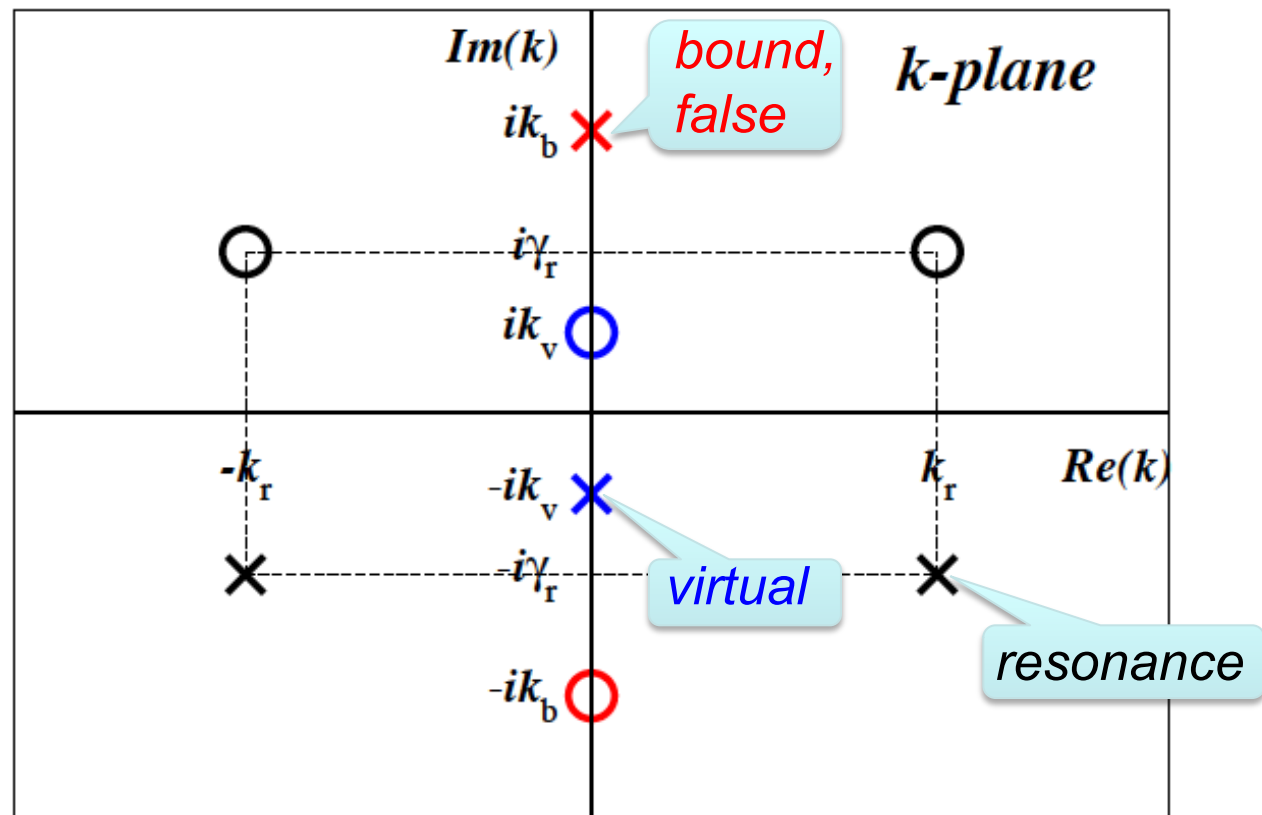
$$\delta(E_\lambda) = -\arctan \left( \frac{S_{N+1,l}(E_\lambda)}{C_{N+1,l}(E_\lambda)} \right)$$

# *S*-matrix symmetry

$$S(-k) = \frac{1}{S(k)}$$

$$S(k^*) = \frac{1}{S^*(k)}$$

$$S(-k^*) = S^*(k)$$



$$S(k) = S_r^1 S_r^2 \dots S_b^1 S_b^2 \dots S_f^1 S_f^2 \dots S_v^1 S_v^2 \dots$$

*bound*

$$S_b = \frac{(k + ik_b)}{(k - ik_b)}$$

*false*

$$S_f = \frac{(k + ik_f)}{(k - ik_f)}$$

*virtual*

$$S_v = \frac{(k - ik_v)}{(k + ik_v)}$$

*resonance state*

$$S_r = \frac{(k - \kappa_r^*)(k + \kappa_r)}{(k - \kappa_r)(k + \kappa_r^*)}$$

$$\kappa_r = k_r - i\gamma_r$$

# Resonance

$$S(k) = S_r^1 S_r^2 \dots S_b^1 S_b^2 \dots S_f^1 S_f^2 \dots S_v^1 S_v^2 \dots$$



$$\delta(E) = \delta_r^1 + \delta_r^2 + \dots + \delta_b^1 + \dots + \delta_f^1 + \dots + \delta_v^1 + \dots$$

*resonance phase shift*

$$\delta_r = -\arctan\left(\frac{2k\gamma_r}{k^2 - k_r^2 - \gamma_r^2}\right)$$

*phase shift behaviour far from resonance*

$$\delta_r \sim \frac{2k\gamma_r}{k_r^2 + \gamma_r^2} \sim c_r \sqrt{E}$$

*bound (false)*

$$\delta_{b(f)} = \arctan\left(\frac{k_{b(f)}}{k}\right)$$

*far from resonance*  $\delta_{b(f)} \sim c_1 - c_2 \sqrt{E}$

*virtual*

$$\delta_v = -\arctan\left(\frac{k_v}{k}\right)$$

*far from resonance*  $\delta_v \sim c_1 + c_2 \sqrt{E}$

# Resonance

*In vicinity of the resonance with  $E_r$ ,  $\Gamma$*

$$\delta \approx -\arctan\left(\frac{a\sqrt{E}}{E-b^2}\right) + c\sqrt{E}$$

$$E_r = b^2 - \frac{a^2}{2}, \quad \frac{\Gamma}{2} = a\sqrt{b^2 - \frac{a^2}{4}},$$

*definition of the resonance parameters*

$$E = \frac{\hbar^2}{2m} \kappa_r^2 = \frac{\hbar^2}{2m} (k_r - i\gamma_r)^2 = \frac{\hbar^2}{2m} [(k_r^2 - \gamma_r^2) - i2k_r\gamma_r]$$



$$E_r = \frac{\hbar^2}{2m} (k_r^2 - \gamma_r^2), \quad \Gamma / 2 = \frac{\hbar^2}{m} k_r \gamma_r$$

*+ J-matrix:*

$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

# Resonance

+ *J-matrix*:

$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

$$E_r = b^2 - \frac{a^2}{2}, \quad \frac{\Gamma}{2} = a\sqrt{b^2 - \frac{a^2}{4}},$$

*How should depend  $E_v$  on  $\hbar\Omega$  and  $N$  in the vicinity of resonance?*

# Model 1: s-wave scattering

Model potential

$$V(r) = V_0 \frac{1}{1 + \exp((r - R) / d_1)} + V_s \frac{d_2}{r} \frac{\exp((r - R) / d_1)}{[1 + \exp((r - R) / d_1)]^2}$$

$$V_0 = -50 \text{ MeV}, V_s = 207 \text{ MeV}, d_1 = 0.53 \text{ fm}, d_2 = 3.774 \text{ fm}, R = 3.08 \text{ fm}$$

Resonance:

$$E_r = 3.403 \text{ MeV}$$

$$\Gamma = 0.225 \text{ MeV}$$

fit:

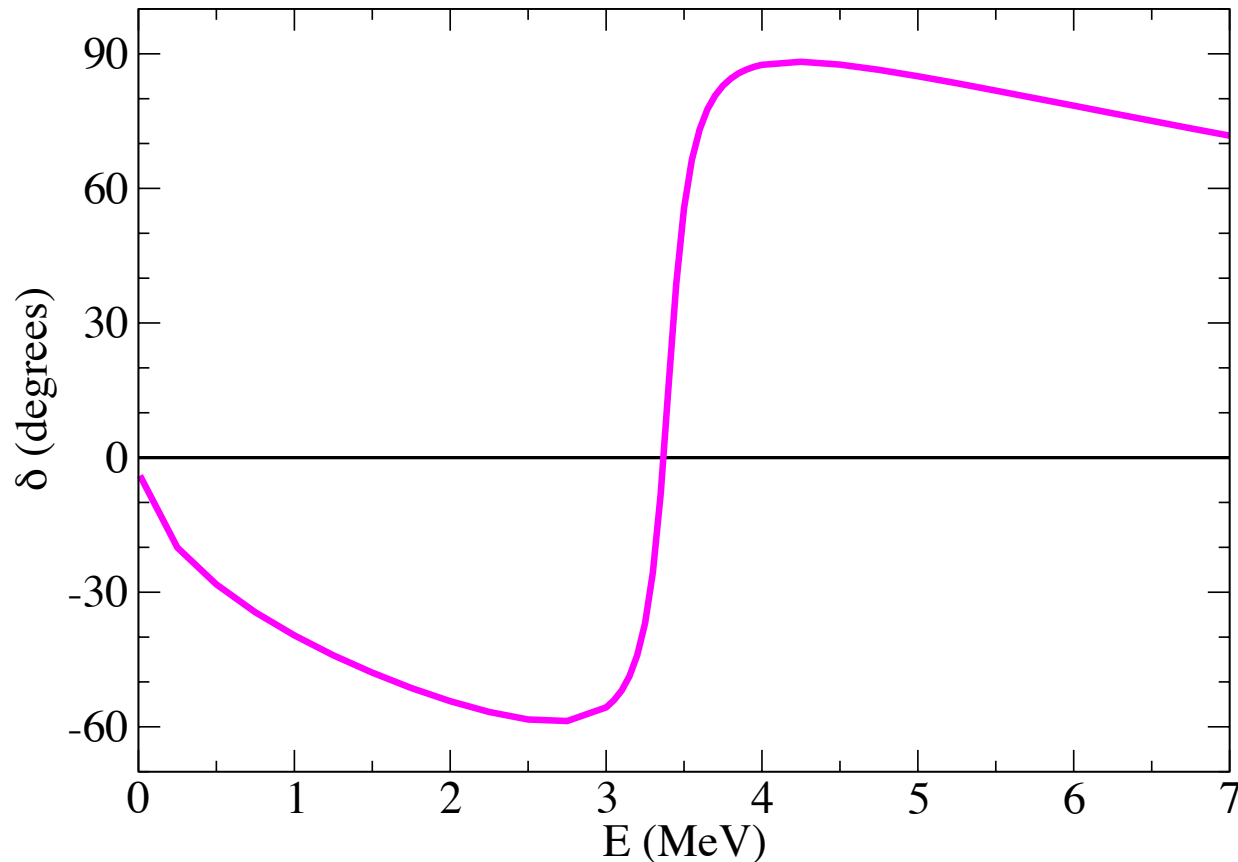
$$E_r = 3.404 \text{ MeV}$$

$$\Gamma = 0.223 \text{ MeV}$$

$$a = 0.0605 \text{ MeV}^{1/2}$$

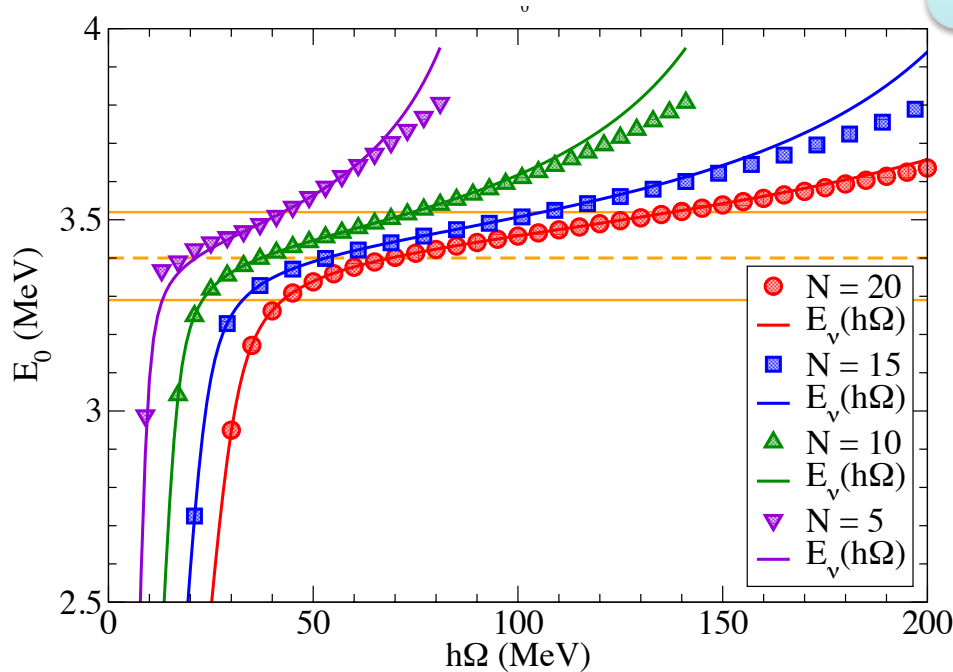
$$b^2 = 3.4061 \text{ MeV}$$

$$c = -0.7059 \text{ MeV}^{-1/2}$$



# Model 1: s-wave scattering

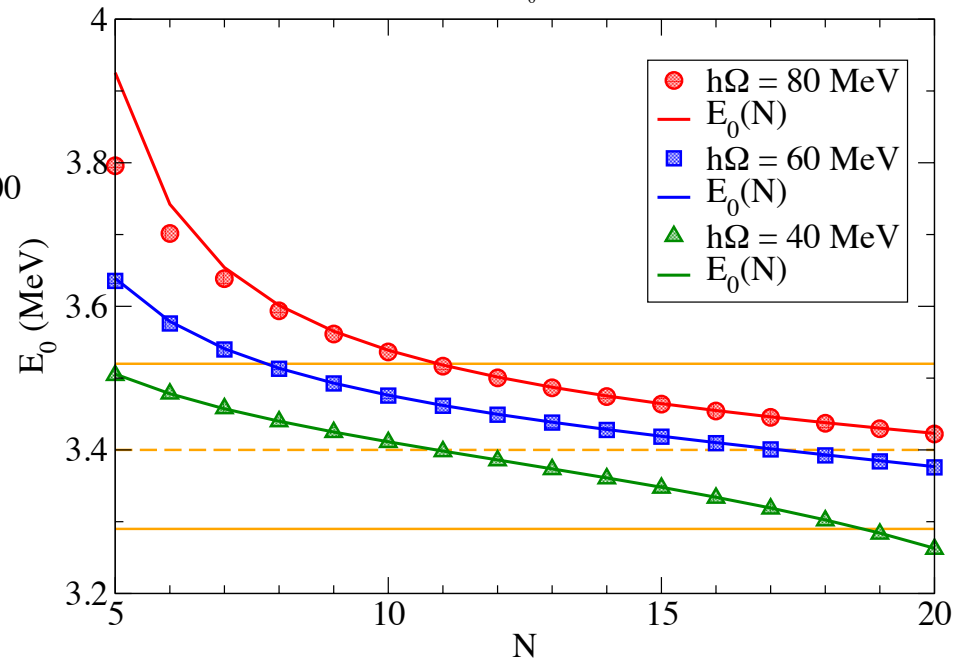
$E_0(h\Omega)$



$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}E_v}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

$$dE_0/d(h\Omega) > 0 !$$

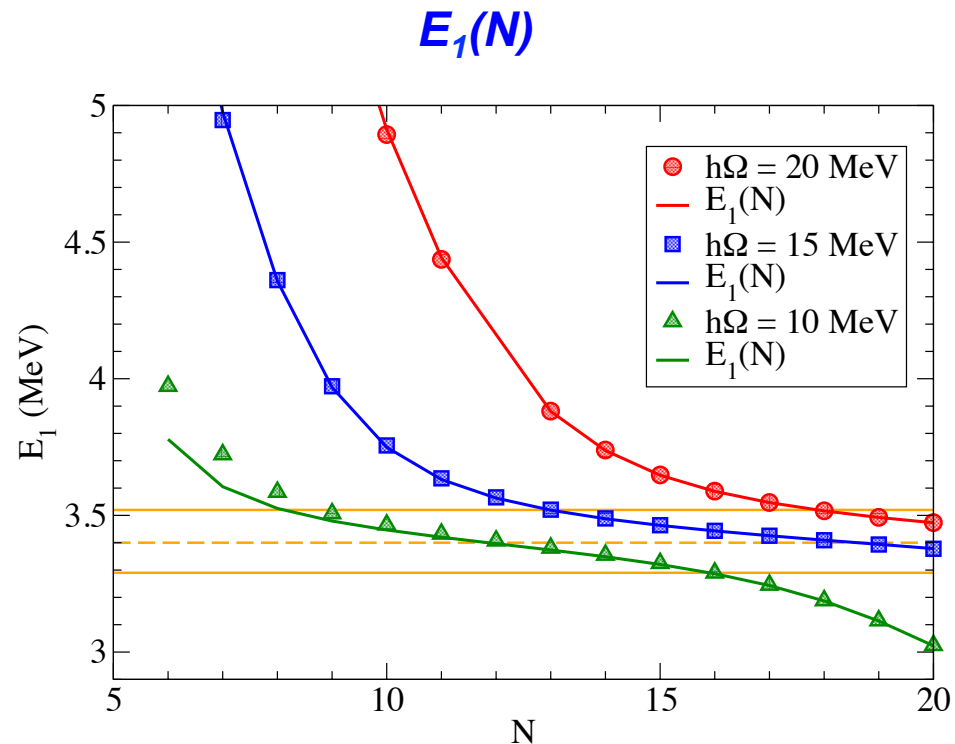
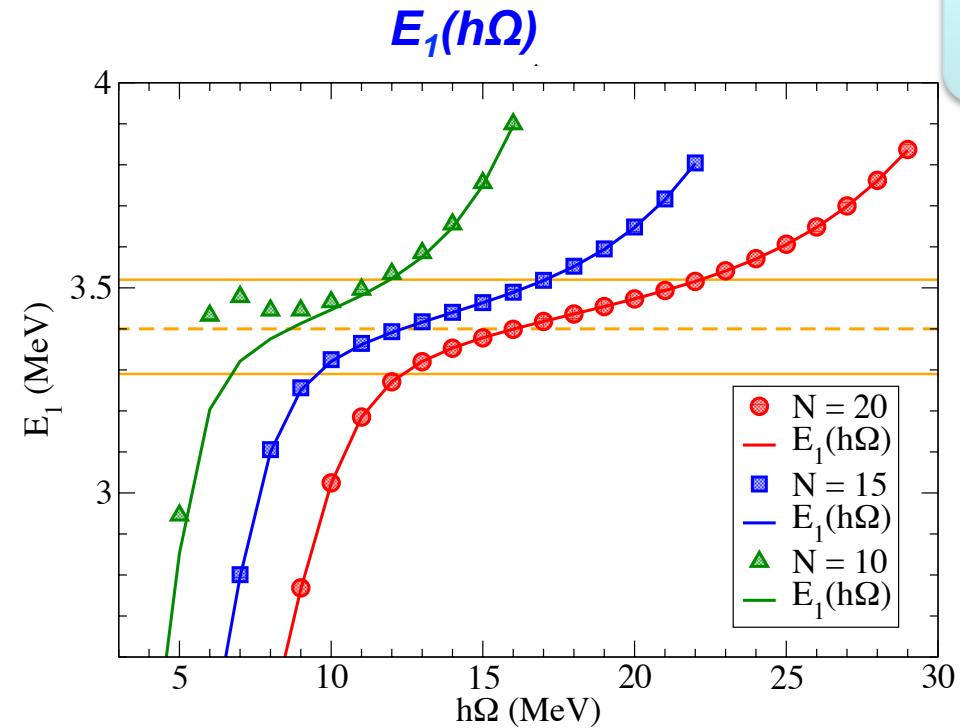
$E_0(N)$



# Model 1: s-wave scattering

$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

$$dE_1/d(h\Omega) > 0 !$$



# Model 1: s-wave scattering

## Phase shift comparison

### Resonance:

$$E_r = 3.403 \text{ MeV}$$

$$\Gamma = 0.225 \text{ MeV}$$

### fit:

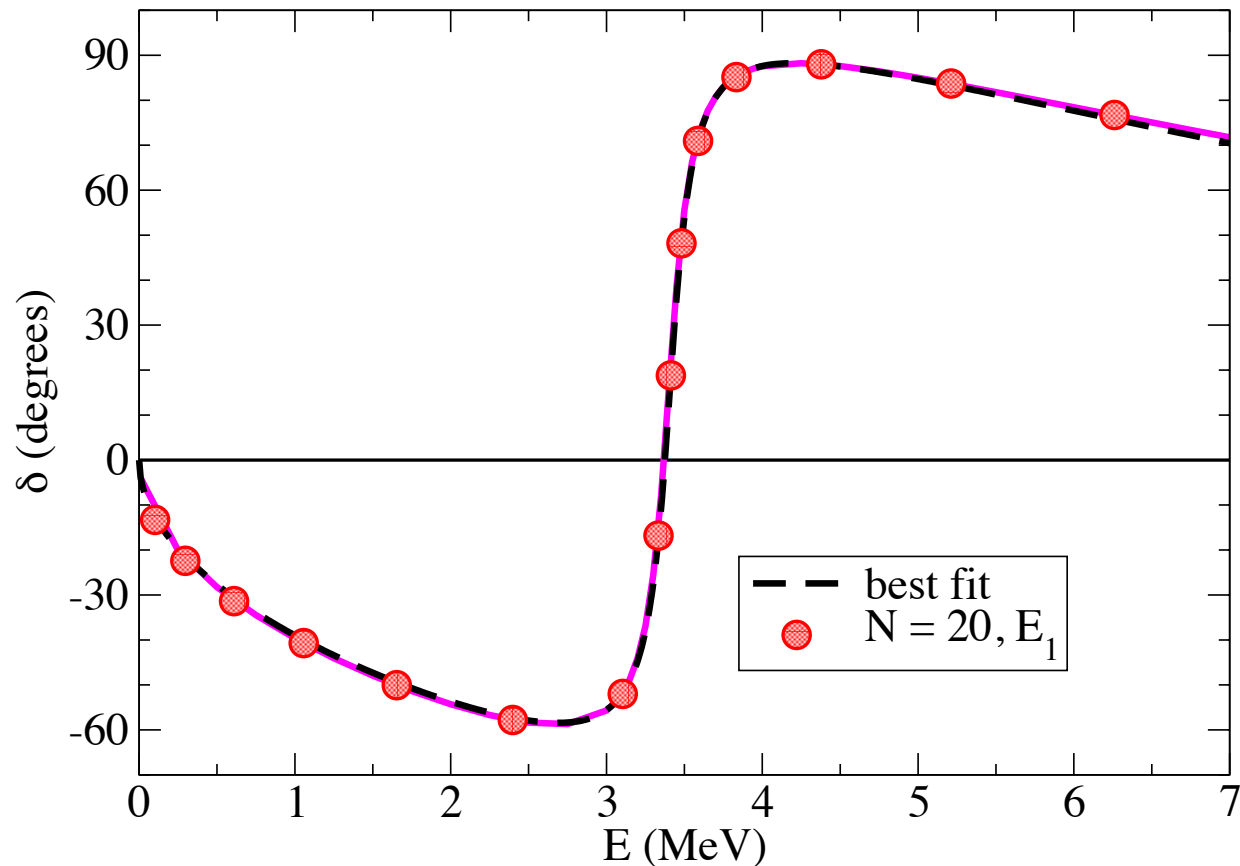
$$E_r = 3.404 \text{ MeV}$$

$$\Gamma = 0.223 \text{ MeV}$$

$$a = 0.0605 \text{ MeV}^{1/2}$$

$$b^2 = 3.4061 \text{ MeV}$$

$$c = -0.7059 \text{ MeV}^{-1/2}$$



# Model 2: d-wave scattering

Model potential

$$V(r) = V_0 \frac{1}{1 + \exp((r - R) / d_1)} + V_s \frac{d_2}{r} \frac{\exp((r - R) / d_1)}{[1 + \exp((r - R) / d_1)]^2}$$

$$V_0 = -48 \text{ MeV}, V_s = -20 \text{ MeV}, d_1 = 0.53 \text{ fm}, d_2 = 3.774 \text{ fm}, R = 3.08 \text{ fm}$$

Resonance:

$$E_r = 0.8319 \text{ MeV}$$

$$\Gamma = 0.0612 \text{ MeV}$$

fit:

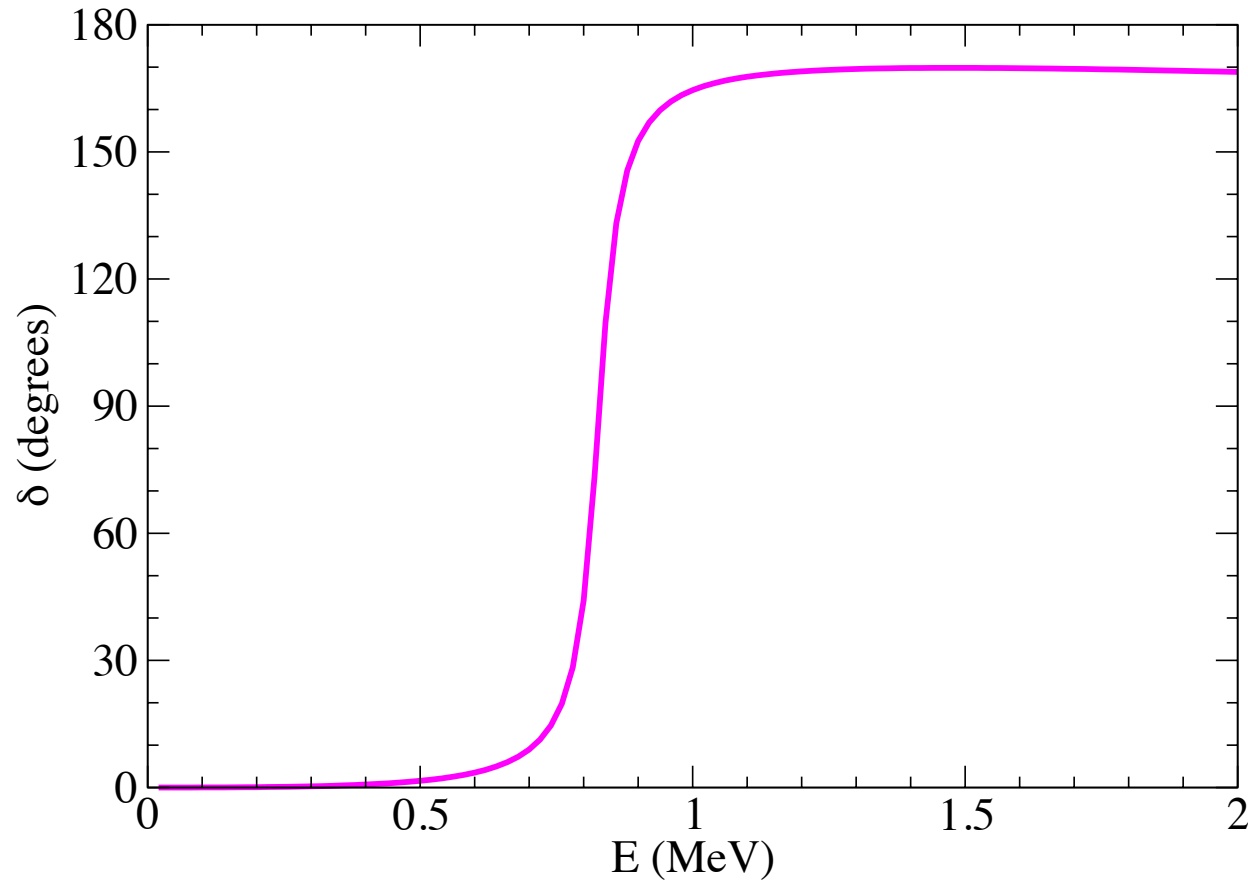
$$E_r = 0.8319 \text{ MeV}$$

$$\Gamma = 0.0589 \text{ MeV}$$

$$a = 0.0317 \text{ MeV}^{1/2}$$

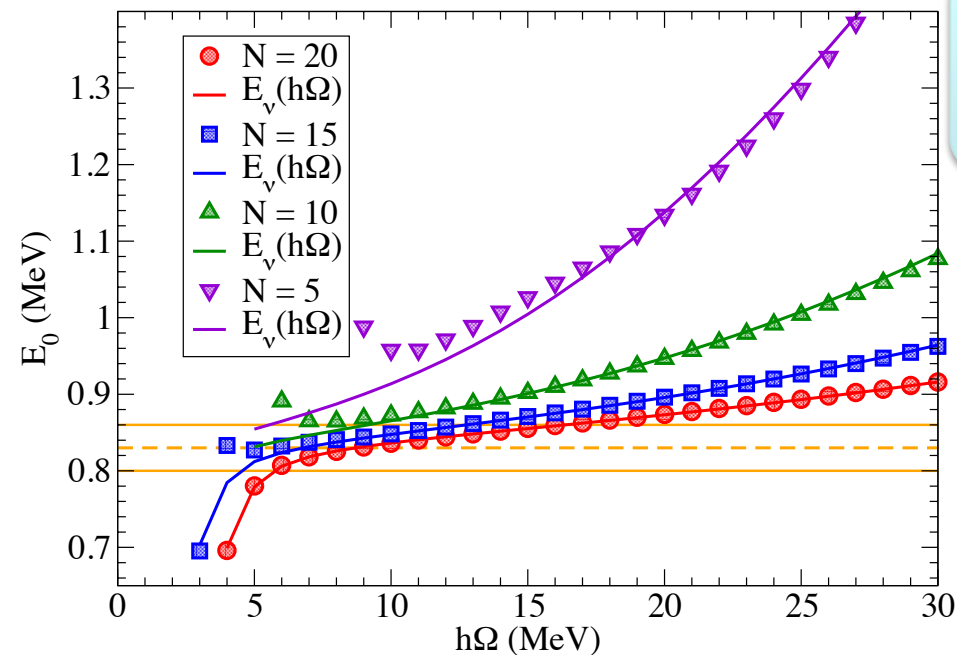
$$b^2 = 0.8324 \text{ MeV}$$

$$c = -0.0955 \text{ MeV}^{-1/2}$$



# Model 2: d-wave scattering

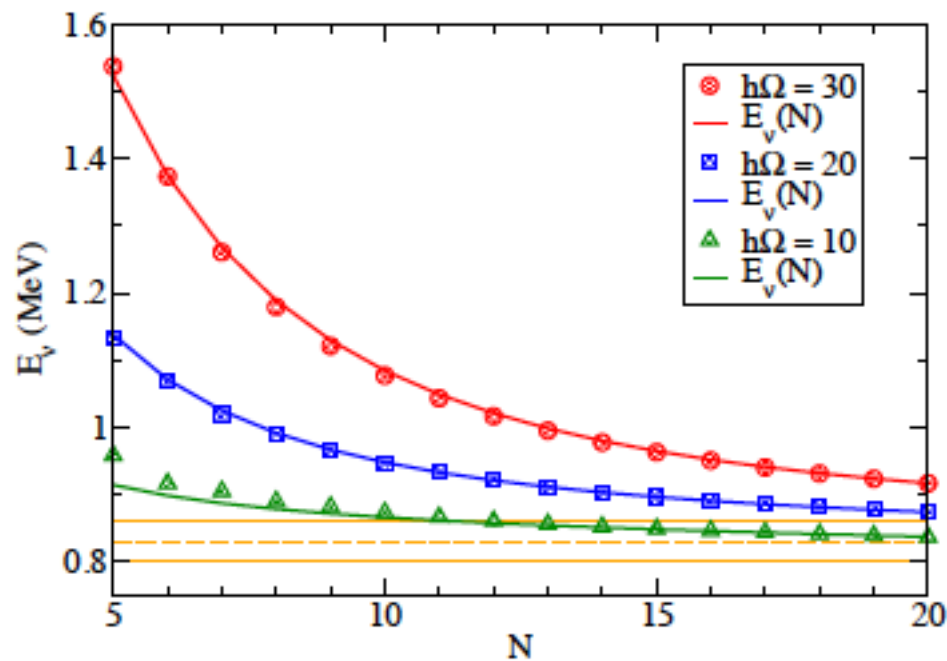
$E_0(h\Omega)$



$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

$dE_0/d(h\Omega)$  should be  $>0$  !

$E_v(N)$



# Model 2: d-wave scattering

## Phase shift comparison

### Resonance:

$$E_r = 0.8319 \text{ MeV}$$

$$\Gamma = 0.0612 \text{ MeV}$$

### fit:

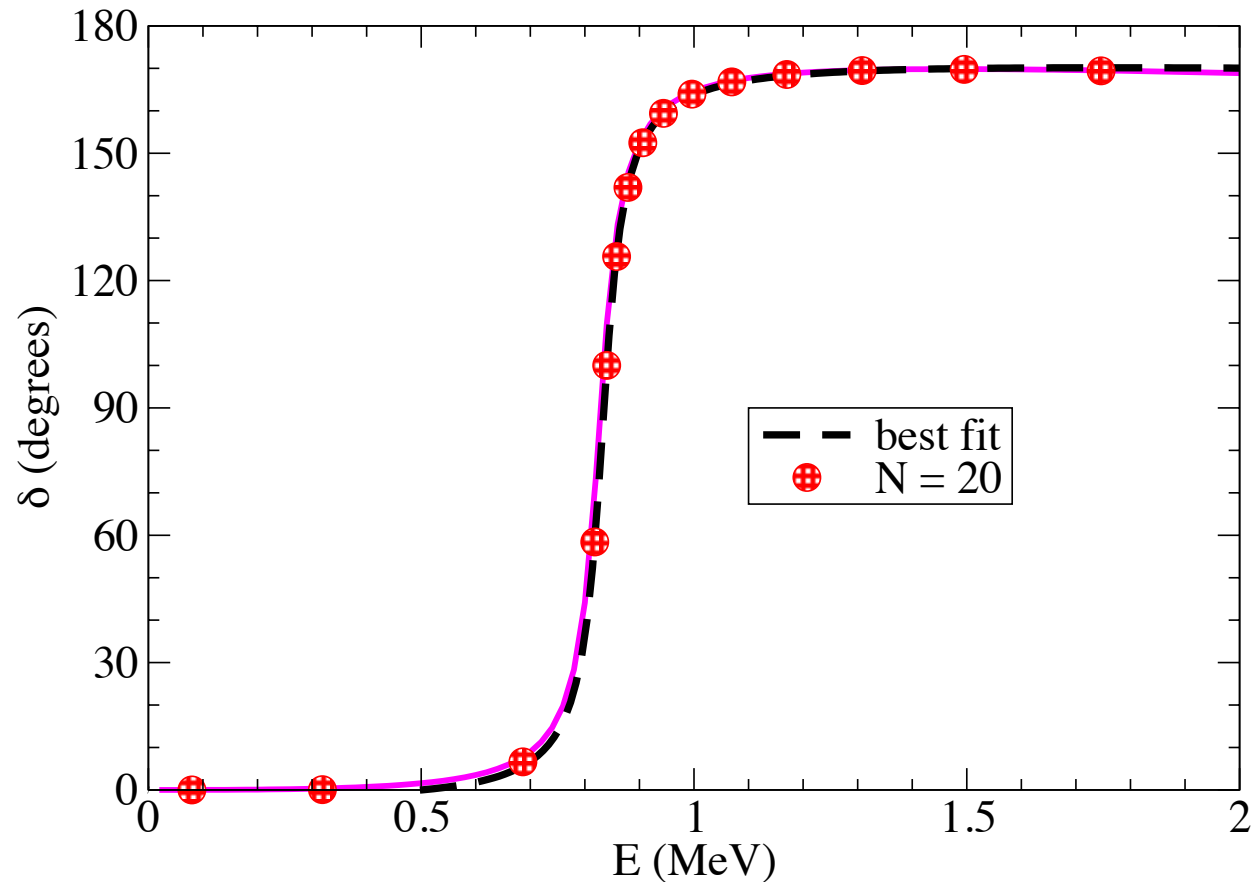
$$E_r = 0.8319 \text{ MeV}$$

$$\Gamma = 0.0589 \text{ MeV}$$

$$a = 0.0317 \text{ MeV}^{1/2}$$

$$b^2 = 0.8324 \text{ MeV}$$

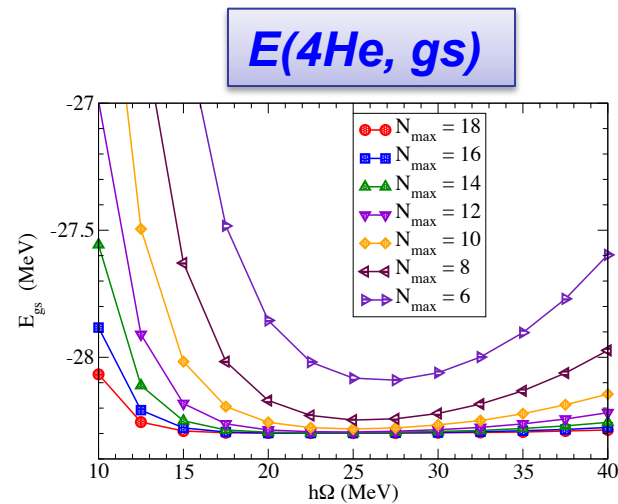
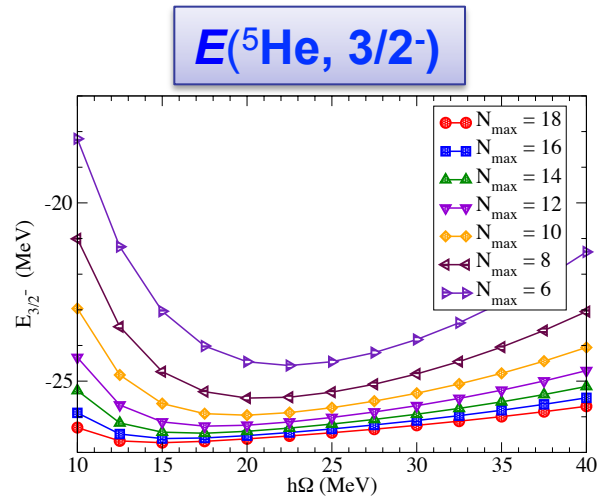
$$c = -0.0955 \text{ MeV}^{-1/2}$$



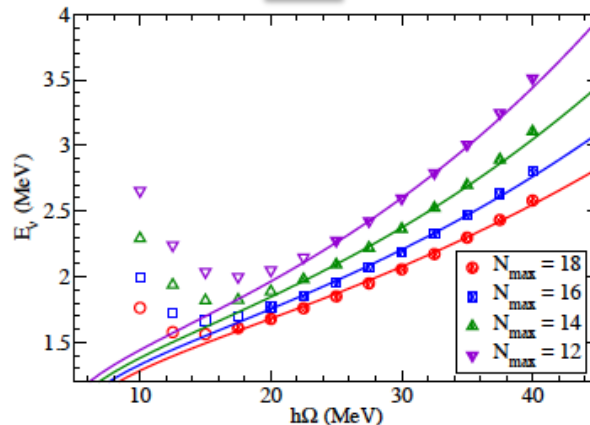
# $n\alpha$ – scattering, resonance $3/2^-$

## NCSM calculations for ${}^5\text{He}$ with JISP16

Redefined level energy from  $n\alpha$  threshold:  $E = E({}^5\text{He}, 3/2^-) - E({}^4\text{He}, \text{gs})$



$E$

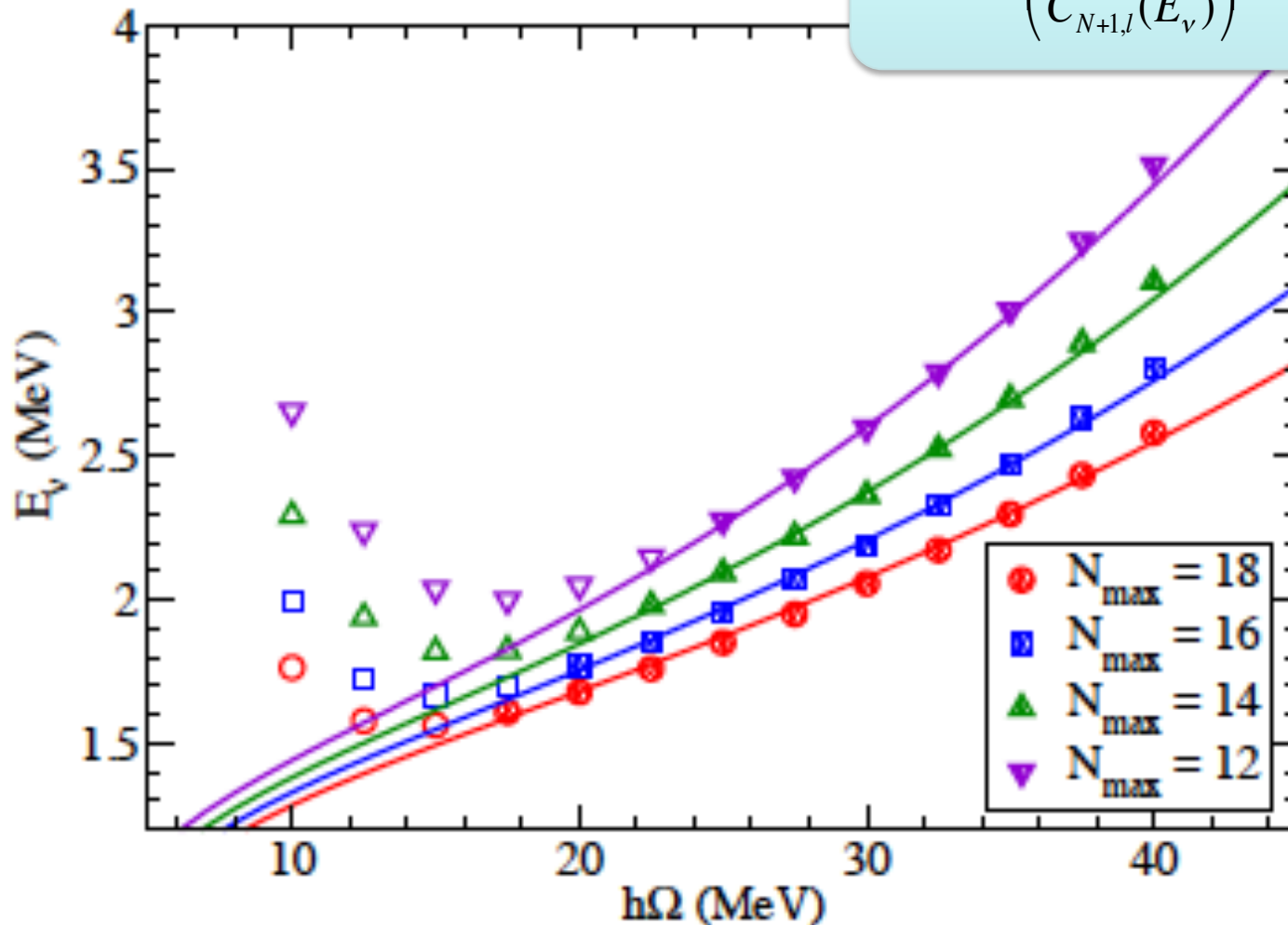


# $n\alpha$ – scattering, resonance $3/2^-$

NCSM calculations for  $^5\text{He}$  with JISP16

$E(^5\text{He}, 3/2^-) - E(^4\text{He}, \text{gs})$

$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$



**Best fit:**

$$a = 0.392 \text{ MeV}^{1/2}$$

$$b^2 = 1.286 \text{ MeV}$$

$$c = -0.475 \text{ MeV}^{-1/2}$$

**Resonance:**

$$E_r = 1.209 \text{ MeV}$$

$$\Gamma = 0.875 \text{ MeV}$$

$$\sqrt{\chi^2 / \text{datum}} = 1.2^\circ$$

# $n\alpha$ – scattering, resonance 3/2-

## Phase shift and resonances parameters

Resonance:

$$E_r = 1.209 \text{ MeV}$$

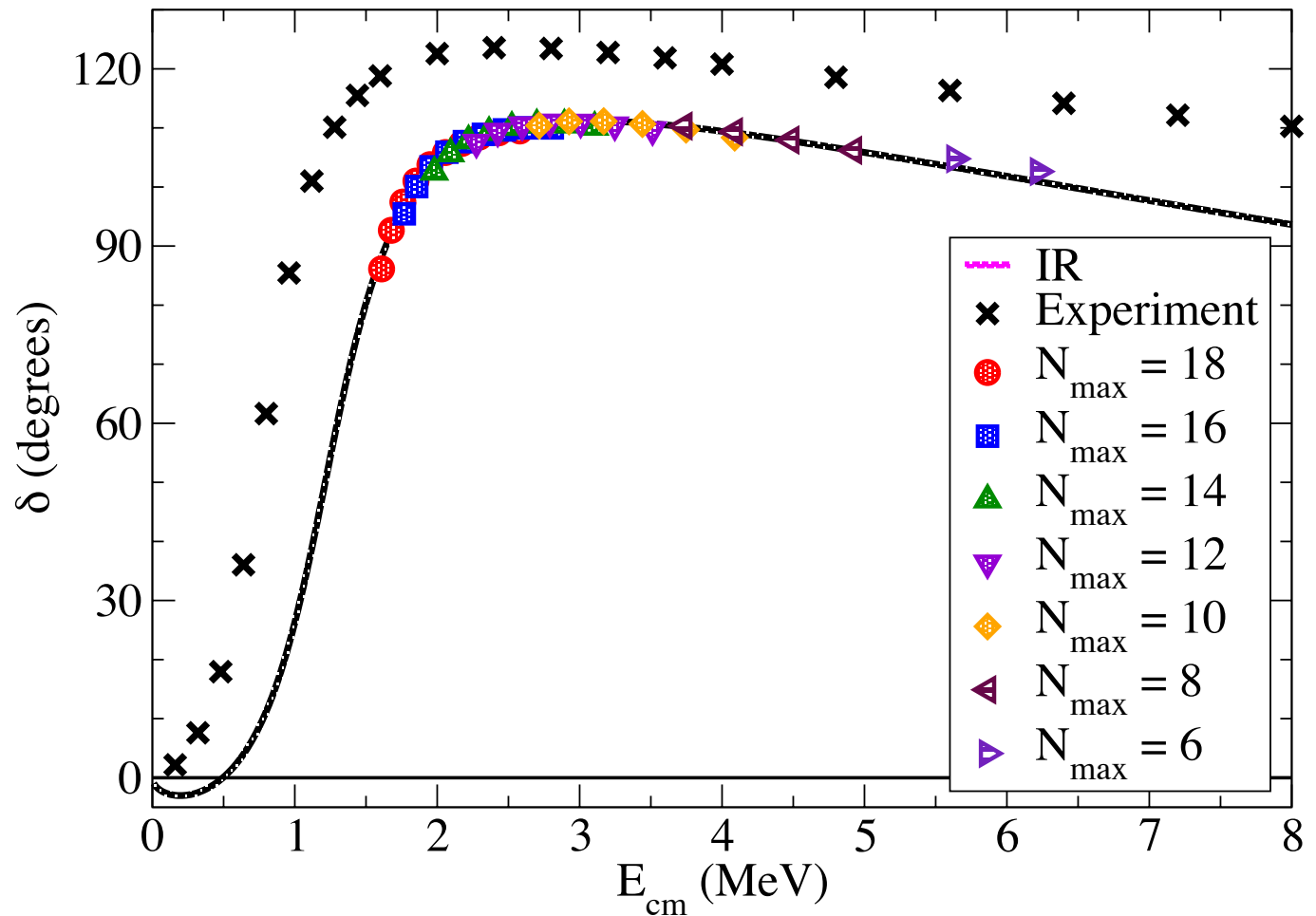
$$\Gamma = 0.875 \text{ MeV}$$

$$\sqrt{\chi^2 / datum} = 1.2^\circ$$

Experiment:

$$E_r = 0.80 \text{ MeV}$$

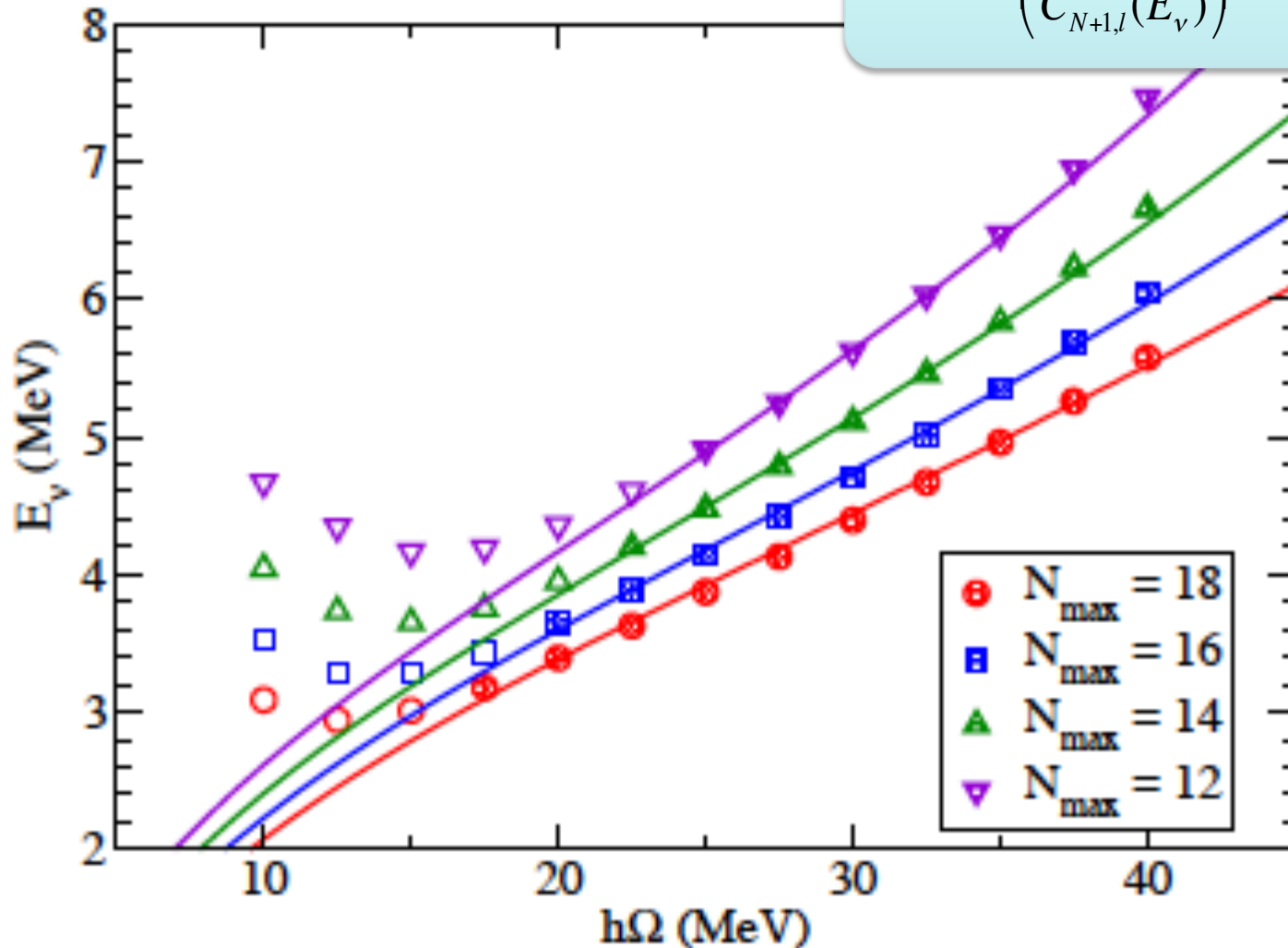
$$\Gamma = 0.65 \text{ MeV}$$



# $n\alpha$ – scattering, resonance 1/2-

NCSM calculations for  ${}^5\text{He}$  with JISP16

$E({}^5\text{He}, 1/2^-) - E({}^4\text{He}, \text{gs})$



$$-\arctan\left(\frac{S_{N+1,l}(E_v)}{C_{N+1,l}(E_v)}\right) = -\arctan\left(\frac{a\sqrt{E_v}}{E_v - b^2}\right) + c\sqrt{E_v}$$

**Best fit:**

$$a = 1.292 \text{ MeV}^{1/2}$$

$$b^2 = 3.432 \text{ MeV}$$

$$c = -0.535 \text{ MeV}^{-1/2}$$

**Resonance:**

$$E_r = 2.598 \text{ MeV}$$

$$\Gamma = 4.485 \text{ MeV}$$

$$\sqrt{\chi^2 / \text{datum}} = 0.8^\circ$$

# $n\alpha$ – scattering, resonance $3/2^-$

## Phase shift and resonances parameters

Resonance:

$$E_r = 2.598 \text{ MeV}$$

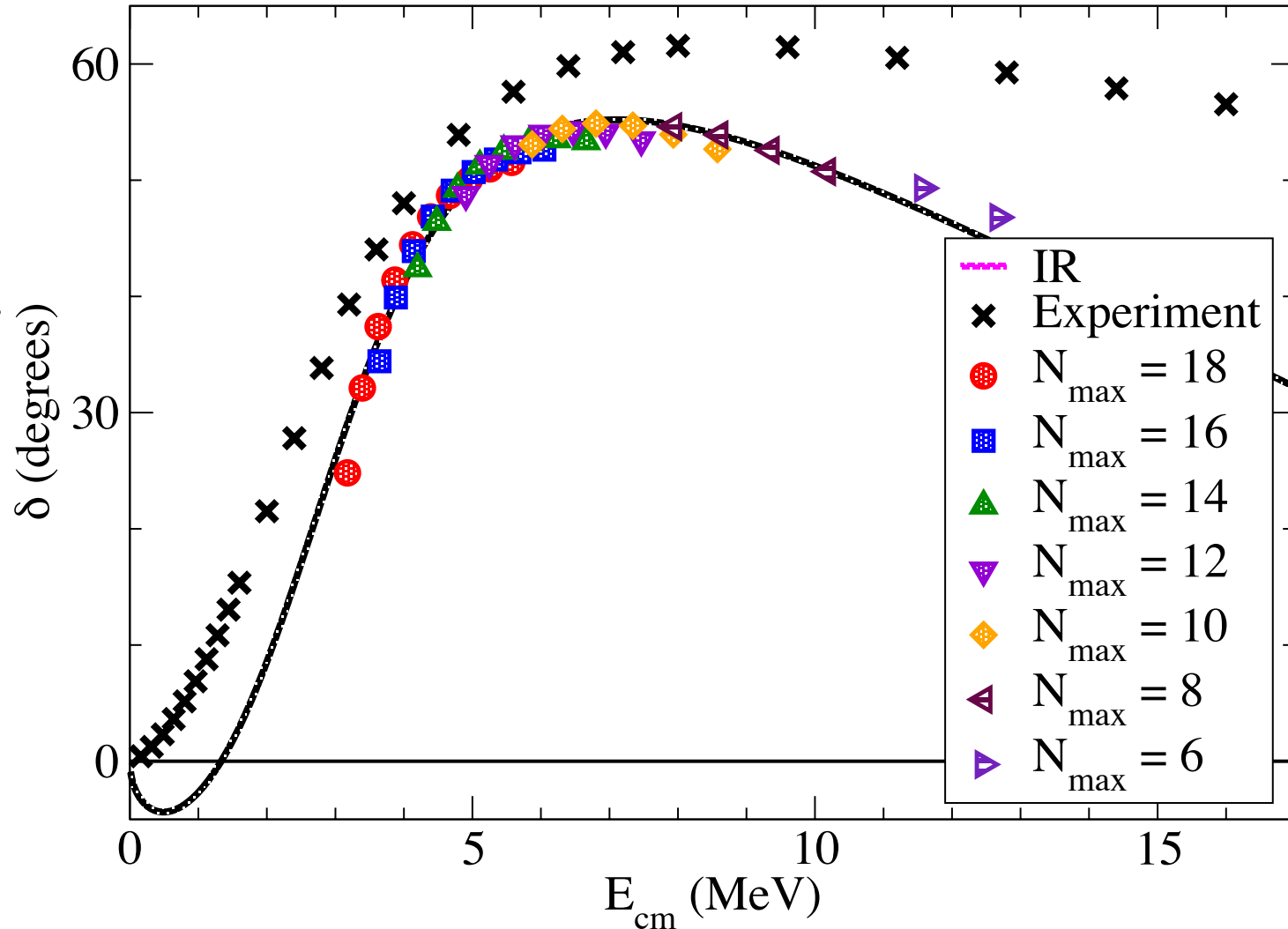
$$\Gamma = 4.485 \text{ MeV}$$

$$\sqrt{\chi^2 / datum} = 0.8^\circ$$

Experiment:

$$E_r = 2.07 \text{ MeV}$$

$$\Gamma = 5.57 \text{ MeV}$$



# *Conclusions*

- *We suggest a new method to evaluate resonance energy and width. This method based on J-matrix is simple enough. But it works and provides a good estimate of resonance parameters.*
- *It seems that we achieved convergence of results for  $n\alpha$  scattering extracted from NCSM calculations. It appears that disagreements between theory and experiment are due to JISP16, the NN interaction utilized in the study.*



***Thank you!***